

# Enablers and Inhibitors in Causal Justifications of Logic Programs

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UNIVERSIDADE DA CORUÑA



## A Causal Semantics for Logic Programming

September 11th, 2015

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- A pair of **multi-valued** approaches with **algebraic constructions**:
  - ▶ **Why-not Provenance** [Damásio et al 2013]: oriented to debugging and explanation, well-founded semantics
  - ▶ **Causal Graphs** [Cabalar and Fandinno 14]: causes = proof graphs from (the positive part) of an ASP program

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Our interest: representing **(relevant) causal knowledge** rather than debugging or providing all possible explanations



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- If James Bond drinks drug **d**, he will have paralysis **p** unless has been administered an antidote **a**.
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- Le Chiffre has poured drug **d** on Bond's drink!
- Bond does not have paralysis, but **why not?**

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- Suppose now that, that day, Bond was actually on a **holiday** with Vesper.

p: p ← d, not a

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- **Counterfactuals:**
  - ▶ Had Le Chiffre not poured the drug *d*, Bond would not have paralysis *d*.
  - ▶ Had Bond not being on holiday *h*, he would not have paralysis *d*.

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- **Causality:**

- ▶ Is the drug *d* a cause of Bond's paralysis *d*? Yes!!
- ▶ Is a holiday *h* a cause of Bond's paralysis *d*?

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- **Causality:**

- ▶ Is the drug *d* a cause of Bond's paralysis *d*? Yes!!
- ▶ Is a holiday *h* a cause of Bond's paralysis *d*? Controversial!!!

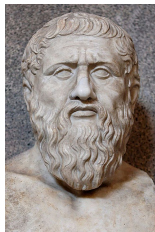


## Motivation Example

- Is a holiday  $h$  a cause of Bond's paralysis  $d$ ?
  - ▶ **Yes:** [Lewis73; Halpern and Pearl2001/2005]
  - ▶ **No:** [Hall2004/2007; Mauldin2004]
  - ▶ **May be:** [Halpern and Hitchcock2011]

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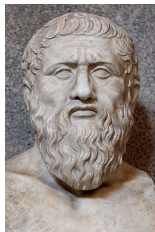
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- Plato: “**distinguish the real cause** from that without which the cause would not be able to act as a cause.”
  - ▶ The drug  $d$  is the **real cause** producing the paralysis.
  - ▶ The holiday  $h$  is an **enabler** of the cause.

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- Plato: “**distinguish the real cause** from that without which the cause would not be able to act as a cause.”
  - ▶ The drug  $d$  is the **real cause** producing the paralysis.
  - ▶ The holiday  $h$  is an **enabler** of the cause.
- When not on holiday, the antidote  $a$  becomes an **inhibitor** of the potential cause (the drug) of Bond's paralysis.

- We propose a **multivalued semantics for LP**, Extended Causal Justifications (ECJ), that captures causes, enablers and inhibitors.
- **Generalizes**, under the well-founded semantics, both
  - ▶ **Causal Graph justifications** (CG): only captures real causes.
  - ▶ **Why-not Provenance** (WnP): only captures counterfactual dependence
- We also obtain a **formal comparison between CG and WnP**.

- 1 **Extended Causal Justifications**
- 2 Relation to Causal Graph Justifications
- 3 Relation to Why not Provenance Justifications
- 4 Conclusions and Ongoing Work

- Syntax: as usual plus an (optional) **rule label**

$$r_i : H \leftarrow B_1, \dots, B_m, \text{not } B_{m+1}, \dots, \text{not } B_n$$

with  $H$  and  $B_j$  atoms.  $r_i$  can be a label  $r_i = \ell$  or  $t = 1$ .

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- We will assign the expression formed by rule labels  $(\sim a * d) \cdot p$  to the atom  $p$ .
  - ▶  $d$  (negated label) is an inhibitor of the drug  $d$ .
  - ▶ ‘.’ captures the order of rule application:  $p$  would have been applied to  $d$  if  $a$  had not hold.

- **Causal terms** are expressions of the form

$$t ::= \ell \mid \prod S \mid \sum S \mid t_1 \cdot t_2 \mid \sim t_1$$

where  $\ell$  is a rule label,  $S$  is a set of causal terms and  $t_1$  and  $t_2$  are causal terms.

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- By **1** and **0** we denote the empty product  $\prod \emptyset$  and sum  $\sum \emptyset$ , respectively.
- **Causal values** are the equivalence classes of causal terms under completely distributive (complete) lattice

$$\frac{\textit{Associativity}}{t + (u+w) = (t+u) + w \quad t * (u*w) = (t*u) * w}$$

$$\frac{\textit{Commutativity}}{t + u = u + t \quad t * u = u * t}$$

$$\frac{\textit{Absorption}}{t = t + (t*u) \quad t = t * (t+u)}$$

$$\frac{\textit{Distributive}}{t + (u*w) = (t+u) * (t+w) \quad t * (u+w) = (t*u) + (t*w)}$$

$$\frac{\textit{Identity}}{t = t + 0 \quad t = t * 1}$$

$$\frac{\textit{Annihilator}}{1 = 1 + t \quad 0 = 0 * t}$$

plus ...

- plus the following axioms for ‘.’

*Associativity*

$$t \cdot (u \cdot w) = (t \cdot u) \cdot w$$

*Product Distributivity*

$$\begin{aligned}c \cdot (d * e) &= (c \cdot d) * (c \cdot e) \\(c * d) \cdot e &= (c \cdot e) * (d \cdot e)\end{aligned}$$

*Identity*

$$\begin{aligned}t &= t \cdot 1 \\t &= 1 \cdot t\end{aligned}$$

*Addition distributivity*

$$\begin{aligned}t \cdot (u + w) &= (t \cdot u) + (t \cdot w) \\(t + u) \cdot w &= (t \cdot w) + (u \cdot w)\end{aligned}$$

*Absorption*

$$\begin{aligned}t + u \cdot t \cdot w &= t \\t * u \cdot t \cdot w &= u \cdot t \cdot w\end{aligned}$$

*Annihilator*

$$\begin{aligned}0 &= t \cdot 0 \\0 &= 0 \cdot t\end{aligned}$$

*Label idempotence*

$$l \cdot l = l$$

*Graph Representation*

$$c \cdot d \cdot e = (c \cdot d) * (d \cdot e) \text{ with } d \neq 1$$

# Causal Values

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$$\frac{\text{Graph Representation}}{c \cdot d \cdot e = (c \cdot d) * (d \cdot e) \text{ with } d \neq 1}$$

- plus the following axioms for ' $\sim$ '

$$\frac{\text{pseudo-compl.}}{t * \sim t = 0 \\ \sim \sim t = \sim t}$$

$$\frac{\text{De Morgan}}{\sim(t + u) = (\sim t * \sim u) \\ \sim(t * u) = (\sim t + \sim u)}$$

$$\frac{\text{excluded middle}}{\sim t + \sim \sim t = 1}$$

$$\frac{\text{appl. negation}}{\sim(t \cdot u) = \sim(t * u)}$$

- Every causal value can be represented by a term in **disjunctive normal form**
  - ▶ sum '+' is not in the scope of another connective: it separates **alternative causes**
  - ▶ negation '~' is only applied to labels or negated labels

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- $\sim a$  means that  $a$  is an **inhibitor** of  $d$ .
- Negation is not classical,  $\sim \sim h \neq h$  allows to **distinguish between an enabler  $h$  and the real cause  $d$  ...**

## Definition (Causal model)

A **causal model** of  $P$  is an interpretation satisfying, for each rule:

$$\left( I(B_1) * \dots * I(B_n) * I(\text{not } B_{m+1}) * \dots * I(\text{not } B_n) \right) \cdot t \leq I(H)$$

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## Theorem

*A positive program has a least model, which can be computed by iteration of a direct consequences operator from the bottom interpretation.*

## Definition (Reduct)

The reduct  $P^J$  of a program  $P$  w.r.t. an interpretation  $J$  contains

$$r_i: \quad H \leftarrow B_1, \dots, B_m, J(\text{not } B_{m+1}), \dots, J(\text{not } B_n)$$

per each rule in  $P$ .

- By  $\Gamma_P(J)$ , we denote the least model  $I$  of  $P^J$ .
- $\Gamma_P(J)$  is antimonotonic and, thus  $\Gamma_P^2(J)$  is monotonic.

## Definition (Causal well-founded model)

The **causal well-founded model**  $\mathbb{W}_P$  is a mapping such that

$$\begin{aligned} \mathbb{W}_P(A) &\stackrel{\text{def}}{=} \text{lfp}(\Gamma_P^2)(A) \\ \mathbb{W}_P(\text{not } A) &\stackrel{\text{def}}{=} \sim\text{gfp}(\Gamma_P^2)(A) \\ \mathbb{W}_P(\text{undef } A) &\stackrel{\text{def}}{=} \sim\mathbb{W}_P(A) * \sim\mathbb{W}_P(\text{not } A) \end{aligned}$$

- For instance, the least and greatest fixpoint of

$$\begin{array}{ll} p: & p \leftarrow d, \text{ not } a & d: & d \\ a: & a \leftarrow \text{not } h & h: & h \end{array}$$

coincide and satisfy

$$\text{lfp}(\Gamma_p^2)(a) = \sim h \cdot a \qquad \text{lfp}(\Gamma_p^2)(p) = (\sim a * d) \cdot p + (\sim \sim h * d) \cdot p$$

- Each addend is a justification:  $\sim h \cdot a$ ,  $(\sim a * d) \cdot p$  and  $(\sim \sim h * d) \cdot p$ .



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- If a justification contains a negated label (odd num. of times) is said to be **inhibited**.
  - ▶ The antidote  $a$  has not been administered because Bond was on a holiday  $h$ .

## Well-founded causal model

- For instance, the least and greatest fixpoint of

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- If a justification contains a negated label (odd num. of times) is said to be **inhibited**.
  - ▶ The antidote  $a$  has not been administered because Bond was on a holiday  $h$ .
- Otherwise, a justification is said to be **enabled**.
  - ▶ Bond is paralyzed because  $h$  has enabled  $d$  to cause  $p$ :  $(\sim h * d) \cdot p$ .

- The axiom  $\sim(t \cdot u) = \sim(t * u)$  allow us to break justifications into inhibited and enabled:

$$\begin{aligned}(\sim(\sim h \cdot a) * d) \cdot p &= (\sim(\sim h * a) * d) \cdot p \\ &= ((\sim h + \sim a) * d) \cdot p \\ &= (\sim h * d) \cdot p + (\sim a * d) \cdot p\end{aligned}$$

### Theorem

*An atom is true, false or undefined in the standard well-founded model iff there is some **enabled justification** for it.*

## Theorem

*Inhibited justifications become enabled justifications when the inhibitors are removed.*

- For instance,  $\sim h \cdot a$  is an inhibited justification for  $a$  in

$p: p \leftarrow d, \text{not } a$	$d: d$
$a: a \leftarrow \text{not } h$	$h: h$

and rule  $a$  is an enabled justification for  $a$  in

$p: p \leftarrow d, \text{not } a$	$d: d$
$a: a \leftarrow \text{not } h$	<del><math>h: h</math></del>

- Consider the usual cycle

$r_1$ : `a ← not b`

$r_2$ : `b ← not a`

## Undefined literals

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- $a$  is not true because of  $r_2$  and it is not false because of  $r_1$ .
- $a$  is undefined because of rules  $r_1$  and  $r_2$ .

$$\begin{aligned} \mathbb{W}_p(\text{undef } a) &= \sim \mathbb{W}_p(a) * \mathbb{W}_p(\text{not } a) \\ &= \sim(\sim r_2 \cdot r_1) * \sim r_1 = \sim r_1 * \sim r_2 \end{aligned}$$

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## Causal Graph Justifications

- Causal Graph Justifications (CG) is an extension of the **stable model semantics** whereas ECJ is an extension of the **well-founded semantics**.
- CG does **not capture enablers** nor **inhibited justifications**.

$$\begin{array}{c} (\sim a * d) \cdot p \\ \lambda^c \downarrow \\ 0 \end{array}$$

$$\begin{array}{c} (\sim h * d) \cdot p \\ \lambda^c \downarrow \\ d \cdot p \end{array}$$

- ECJ justifications can be mapped into CG justifications.
  - ▶ Removing all inhibited justifications and
  - ▶ Removing all enablers for the remaining ones.

CG stable models can be related to the  $\Gamma_P^2$  fixpoints.

### Theorem

*For any enabled justification, there is a CG-justification w.r.t all stable models obtained by removing all enablers.*

- The converse does not hold in general as happened with the standard well-founded and stable model semantics.

$r_1: a \leftarrow \text{not } b$        $r_2: b \leftarrow \text{not } a, \text{not } c$        $r_4: d \leftarrow b, \text{not } d$   
 $r_3: c \leftarrow a$        $c: c$

- Two-valued standard well-founded model  $\{a, c\}$ .  $\mathbb{W}_P(c) = c$ .  
Unique standard stable model  $\{a, c\}$ .  $I(c) = c + r_1 \cdot r_3$ .

- 1 Extended Causal Justifications
- 2 Relation to Causal Graph Justifications
- 3 Relation to Why not Provenance Justifications**
- 4 Conclusions and Ongoing Work

- Why-not Provenance (WnP) Justifications
  - ▶ is also defined as an extension of **well-founded semantics**.

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# Why-not Provenance Justifications

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- ▶ it **may require extra labels** asserting which facts cannot hold.

$$\begin{array}{c} (\sim a * d) \cdot p \\ \lambda^p \downarrow \\ \neg a \wedge d \wedge p \end{array}$$

$$\begin{array}{c} (\sim h * d) \cdot p \\ \lambda^p \downarrow \\ h \wedge d \wedge p \end{array}$$

$$\begin{array}{c} d \cdot p \\ \lambda^p \downarrow \\ \text{not}(a) \wedge d \wedge p \end{array}$$

- ECJ justifications can be mapped into WnP justifications.

- ▶ Replacing both, '\*' and '.', by '^'.
- ▶ Replacing double negated labels by positive ones  $\sim h \implies h$
- ▶ Adding  $\text{not}(a)$  for each negative literal  $\text{not } a$  in the body of some rule in the justification.

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- WnP may contain more justifications that we call **hypothetical**.
  - ▶ Suppose that Le Chiffre did not pour the drug and Bond is not on holidays.

$p: p \leftarrow d, \text{not } a$

$a: a \leftarrow \text{not } h$

- Clearly, Bond is not paralyzed, but there is an hypothetical WnP justification  $\neg \text{not}(d) \wedge \neg \text{not}(h) \wedge \text{not}(a) \wedge p$  meaning that  $p$  would have been true if we had added facts  $d$  and  $h$  to the program while not adding fact  $a$ .

### Theorem

*For any non-hypothetical WnP justification, there is a corresponding ECJ justification, and vice-versa.*

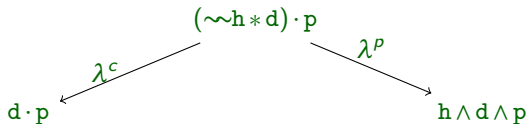
- Hypothetical WnP justifications can be captured augmenting the program with facts

$\sim not(a) : a$

for every atom  $a$  which is not already a fact.

## Why-not Provenance Justifications

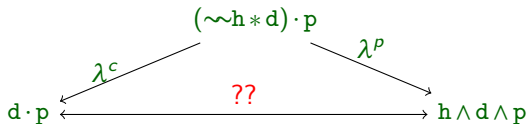
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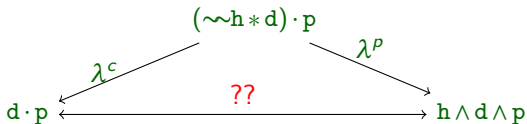
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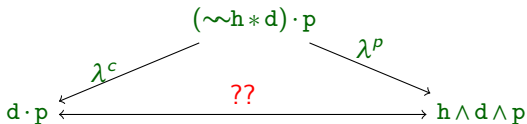
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### Theorem

*For any non-hypothetical and enabled WnP-justification, there is some CG-justification, w.r.t. to all causal stable models, such that the former contains all the vertices of the later (may contain more).*

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### Theorem

*For any non-hypothetical and enabled WnP-justification, there is some CG-justification, w.r.t. to all causal stable models, such that the former contains all the vertices of the later (may contain more).*

- As usual the converse does not hold.

### Conclusions

- A **multivalued** extension of **well-founded semantics** that captures inhibitors, enablers and causes by introducing ' $\sim$ ' in the CG algebra.
- Allows distinguishing between **enablers** ' $\sim a$ ' and real **causes** ' $a$ '.
- The existence of enabled justifications is a **sufficient and necessary condition** for the truth value of an atom.
- It **captures both WnP and CJ** justification.
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### Ongoing work

- Incorporate enablers and inhibitors to the **stable model semantics**.
- **Deriving new conclusions** from the cause-effect relations (ASPOCP 2015)
- **Non deterministic** causal laws: disjunctive and probabilistic LP

# Enablers and Inhibitors in Causal Justifications of Logic Programs

Pedro Cabalar and Jorge Fandinno

Thanks for your attention!

September 28th, 2015  
LPNMR'15  
Lexington, KY, USA

- Programs with odd negative cycles may have standard stable model, but no  $\Gamma_p$  fixpoint

$$\begin{aligned}r_1 : p &\leftarrow \\r_2 : p &\leftarrow \text{not } p\end{aligned}$$

- ... although they have  $\Gamma_p^2$  fixpoints.

$$\text{lfp}(\Gamma_p^2)(p) = r_1 \qquad \text{gfp}(\Gamma_p^2)(p) = r_1 + \sim r_1 \cdot r_2$$

- $p$  is clearly true because of  $r_1$ , but what about  $r_2$ ?