

# Datalog with Negation

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- 1 Motivation
  - Introducing Negation
  - Normal Programs
  - Semantics
- 2 Stratifiable Programs
  - Dependency Graph
  - Stratification
  - Perfect Models
- 3 Recursive Negation
- 4 Well-founded Models
  - Unfounded Sets
  - Well-founded Operator
- 5 Stable Models
  - Gelfond-Lifschitz Reduct

# Nonmonotonic Queries

- Some simple queries cannot be written in positive Datalog.
- Example:  $(\pi_1 R) - S$
- This query is **nonmonotone**!
- Adding tuples to  $S$  may retract result tuples.
- Positive Datalog can express only monotone queries.

# Nonmonotonic Queries

- In Relational Calculus ( $\pi_1 R$ ) –  $S$  is written using negation.
- Introduce negation also for Datalog!
- **Problem:** Negation through recursion?

# Outline

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# Closed World Assumption

- Atoms for which it is not necessary to be true should be considered as false.
- Only those items which are known should be true.
- Example: Timetable
- Reason for Minimal Model semantic!

# Closed World Assumption

## Definition

For a positive program  $\mathcal{P}$ ,  $CWA(\mathcal{P}) = \{A \mid \mathcal{P} \not\models A\}$ .

Equivalently:  $CWA(\mathcal{P}) = \mathbf{HB}(\mathcal{P}) - MM(\mathcal{P})$

Is this as simple if we allow rules with negative body literals?

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# Normal Programs – Syntax

## Definition

A **normal** rule is

$$h \leftarrow b_1, \dots, b_m, \text{not } b_{m+1}, \dots, \text{not } b_n.$$

$$1 \leq m \leq n$$

Let

$$B^+(r) = \{b_1, \dots, b_m\}$$

$$B^-(r) = \{b_{m+1}, \dots, b_n\}$$

$$\text{not}.a = \text{not } a, \text{not}. \text{not } a = a$$

$$\text{not}.L = \{\text{not}.l \mid l \in L\}$$

$$B(r) = B^+(r) \cup \text{not}.B^-(r)$$

$H(r), V(r), C(r)$  as before

# Unsafe Queries

Recall: Using Negation it is easy to violate domain independence!

## Example

$$\textit{positive}(X) \leftarrow \textit{not zero}(X).$$

## Definition (Safety)

Each variable in a rule must occur in a positive body atom.

## Example

$$\textit{answer}(X) \leftarrow \textit{mynumber}(X), \textit{not zero}(X).$$

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# Normal Programs – Semantics

- Most concepts do not change.
- Satisfaction of a rule  $r$  with respect to  $M$ :  
If  $B^+(r) \subseteq M$  and  $M \cap B^-(r) = \emptyset$ , then  $H(r) \in M$
- Question: Minimal Model semantics suitable?

# Normal Programs

In general there is no unique minimal model.

## Example

$$a \leftarrow \text{not } b.$$

There are two models  $M_1 = \{a\}$  und  $M_2 = \{b\}$ .  
 $M_2$  is not very intuitive.

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# Normal Programs

Semantics of “negative recursion”?

*person(nicola).*

*male(X) ← person(X), not female(X).*

*female(X) ← person(X), not male(X).*

*{person(nicola), male(nicola)}* and  
*{person(nicola), female(nicola)}* are minimal models  
Both are equally intuitive.

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 $\{person(nicola), female(nicola)\}$  are minimal models  
Both are equally intuitive.

# Possibilities

- 1 Pragmatic: Do not allow “recursion through negation”.
- 2 Three-valued: Stay with a unique model, which may leave some atoms undefined.
- 3 Two-valued: Abandon model uniqueness, stay with standard models.

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# Dependency Graph

## Definition

For a negative Datalog program  $\mathcal{P}$ , we define a directed graph  $(V, E)$ , where  $V$  are the predicate symbols of  $\mathcal{P}$ , and  $(p, q) \in E$  if  $p$  is in the head and  $q$  is in the body of some rule. If  $q$  is in the negative body, we mark the arc.

# Examples

## Example

$a \leftarrow b.$   
 $c \leftarrow \text{not } b.$   
 $b \leftarrow a$

## Example

$a \leftarrow b, c.$   
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# Stratification

**Main idea:** Partition the program along negation.

## Definition

A stratification is a function  $\lambda$ , which maps predicate symbols to integers such that for each rule with  $p$  being the head predicate the following conditions hold:

- 1 For each predicate  $q$  in the positive body,  $\lambda(p) \geq \lambda(q)$ .
- 2 For each predicate  $r$  in the negative body,  $\lambda(p) > \lambda(r)$ .

# Stratification

- $\lambda$  induces a partition  $\langle P_0, \dots, P_n \rangle$  of  $\mathcal{P}$  (assuming that  $\lambda$  maps to integers between 0 and  $n$ ):

$$P_0 = \{r \mid \lambda(H(r)) = 0$$

$\dots$

$$P_n = \{r \mid \lambda(H(r)) = n$$

- $\lambda$  defines a partial ordering between partitions.
- We can evaluate the program along this ordering.

# Examples

## Example

$a \leftarrow b.$   
 $c \leftarrow \text{not } b.$   
 $b \leftarrow a$

Stratifiable:  $\lambda(a) = 0, \lambda(b) = 0, \lambda(c) = 1$

## Example

$a \leftarrow b, c.$   
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Not stratifiable:  $\lambda(c) > \lambda(b) \geq \lambda(a) \geq \lambda(c)$

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Not stratifiable:  $\lambda(c) > \lambda(b) \geq \lambda(a) \geq \lambda(c)$

# Stratification

## Theorem

*A program is stratifiable if and only if its dependency graph contains no cycle with a marked (“negative”) edge.*



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# Perfect Models

- Stratification specifies an order for evaluation.
- First fully compute the relations in the lowest stratum.
- Then move one stratum up and evaluate the relations there.
- Negation is evaluated only over fully computed relations.
- Can be treated like negation over EDB predicates.

## Perfect Models und $T_{\mathcal{P}}$

Modify operator  $T_{\mathcal{P}}$ , as  $\mathcal{P}$  may contain negation.

### Definition

$$T_{\mathcal{P}}(I) = \{h \mid r \in \text{Ground}(\mathcal{P}), B^+(r) \subseteq I, h \in H(r), \\ \text{not.} B^-(r) \cap I = \emptyset\} \cup I$$

## Perfect Models und $\mathbf{T}_{\mathcal{P}}$

### Definition

Let  $\langle P_0, \dots, P_n \rangle$  be the partitions of a stratifiable program  $\mathcal{P}$ , induced by a stratification  $\lambda$ .

The sequence  $M_0 = \mathbf{T}_{P_0}^{\infty}(\emptyset)$ ,  $M_1 = \mathbf{T}_{P_1}^{\infty}(M_0)$ ,  $\dots$ ,  
 $M_n = \mathbf{T}_{P_n}^{\infty}(M_{n-1})$  defines the **Perfect Model**  $M_n$  of  $\mathcal{P}$ .

## Example – stratifiable

Easy case: Negation only on EDB predicates

### Example

```
color(yellow, k1). color(yellow, k2). color(blue, k3).  
color(green, k4). color(red, k5).
```

```
block(K) ← color(F, K). block(K) ← form(F, K).  
diffcolor(K1, K2) ←  
    color(F, K1), block(K2), not color(F, K2).
```

## Example – stratifiable

### Example

*form(box, k1). form(cone, k2). form(disc, k3).*  
*form(box, k4). form(pyramid, k5).*

*block(K) ← color(F, K). block(K) ← form(F, K).*  
*pointy\_top(K) ← block(K), form(cone, K).*  
*pointy\_top(K) ← block(K), form(pyramid, K).*  
*fits\_on(K1, K2) ← block(K1), block(K2), not pointy\_top(K2).*

# Example – stratifiable

## Example

*form(box, k1). form(cone, k2). form(disc, k3).*  
*form(box, k4). form(pyramid, k5).*

*block(K) ← color(F, K). block(K) ← form(F, K).*  
*flat\_top(K) ← block(K), form(box, K).*  
*flat\_top(K) ← block(K), form(disc, K).*  
*pointy\_top(K) ← block(K), not flat\_top(K).*  
*fits\_on(K1, K2) ← block(K1), block(K2), not pointy\_top(K2).*

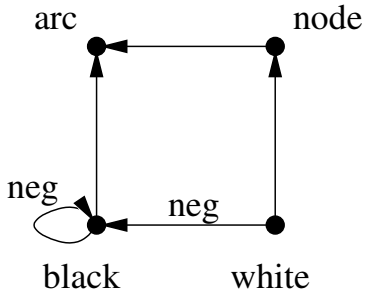
## Example – unstratified

$arc(a, b). arc(b, c). arc(b, d).$   
 $node(N) \leftarrow arc(N, Y). node(N) \leftarrow arc(X, N).$   
 $black(Y) \leftarrow arc(X, Y), \text{not } black(X).$   
 $white(X) \leftarrow node(X), \text{not } black(X).$



## Example – unstratified

Dependency Graph:



# Perfect Models

- **Note:** Perfect Models are defined only on stratifiable programs.

## Theorem

*For any stratifiable program, there exists a unique Perfect Model.*

# Unstratifiable Programs

## Example

```
person(nicola).  
alive(X) ← person(X).  
male(X) ← person(X), not female(X).  
female(X) ← person(X), not male(X).
```

Perfect Models are not defined.

But we would like to conclude at least *alive(nicola)*.

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# Recursive Negation

## Example

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female(X) ← person(X), not male(X).
```

# Recursive Negation

## Example

Using generalized  $\mathbf{T}_{\mathcal{P}}$ :

$$\mathbf{T}_{\mathcal{P}}(\emptyset) = \{person(nicola)\}$$

$$\mathbf{T}_{\mathcal{P}}(\mathbf{T}_{\mathcal{P}}(\emptyset)) = \{person(nicola), alive(nicola), male(nicola), female(nicola)\}$$

$$\mathbf{T}_{\mathcal{P}}(\mathbf{T}_{\mathcal{P}}(\mathbf{T}_{\mathcal{P}}(\emptyset))) = \{person(nicola), alive(nicola)\}$$

$$\mathbf{T}_{\mathcal{P}}(\mathbf{T}_{\mathcal{P}}(\mathbf{T}_{\mathcal{P}}(\mathbf{T}_{\mathcal{P}}(\emptyset)))) = \mathbf{T}_{\mathcal{P}}(\mathbf{T}_{\mathcal{P}}(\emptyset))$$

$$\mathbf{T}_{\mathcal{P}}(\mathbf{T}_{\mathcal{P}}(\mathbf{T}_{\mathcal{P}}(\mathbf{T}_{\mathcal{P}}(\mathbf{T}_{\mathcal{P}}(\emptyset)))) = \mathbf{T}_{\mathcal{P}}(\emptyset)$$

...

# Recursive Negation

## Example

But there are two fixpoints:

$$\mathbf{T}_{\mathcal{P}}(\{person(nicola), alive(nicola), male(nicola)\}) = \\ \{person(nicola), alive(nicola), male(nicola)\}$$

$$\mathbf{T}_{\mathcal{P}}(\{person(nicola), alive(nicola), female(nicola)\}) = \\ \{person(nicola), alive(nicola), female(nicola)\}$$



# Recursive Negation

Two ways of resolving this:

- 1 Be cautious and do not say anything about *male(nicola)* and *female(nicola)*.
- 2 Consider two scenarios: One in which *male(nicola)* is true, another in which *female(nicola)* is true.

Problems to resolve:

- 1 needs another truth value **undefined**.
- 2 allows more than one model.

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# Three-valued Interpretations

## Definition

A **three-valued** (or **partial**) interpretation  $I$  is a set of ground `not` literals, such that for any ground atom  $a$  not both  $a \in I$  and  $\text{not } a \in I$ .

## Example

$I = \{\text{not } a, c\}$

- $a$  is false in  $I$
- $b$  is undefined in  $I$
- $c$  is true in  $I$

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- $c$  is true in  $I$

# Unfounded Sets

**Goal:** Derive as much negative information as possible.

## Example

$$a \leftarrow \text{not } b.$$

*b* does not occur in any head, thus can never become true und should be false. *a* should therefore be true.

# Unfounded Sets

**Goal:** Derive as much negative information as possible.

## Example

$$a \leftarrow b.$$
$$c \leftarrow \text{not } a.$$

Given the interpretation  $\{\text{not } b\}$ ,  $a$  can never become true and should be false.  $c$  should be true in this case.



# Unfounded Sets

**Goal:** Derive as much negative information as possible.

## Example

$$\begin{aligned} a &\leftarrow b. \\ b &\leftarrow a. \\ c &\leftarrow \text{not } a. \end{aligned}$$

$a$  and  $b$  occur in some heads, but all bodies of these rules require one of  $a$  or  $b$  to become true. Therefore  $a$  and  $b$  can become true only via themselves and should be false, hence  $c$  should be true.

# Unfounded Sets – Definition

## Definition

A set  $U \subseteq \mathbf{HB}(\mathcal{P})$  is **unfounded** with respect to a partial interpretation  $I$  if the following holds:

For each  $a \in U$  and each rule  $r \in \mathit{Ground}(\mathcal{P})$  with  $H(r) = \{a\}$  at least one of the the following conditions holds:

- 1  $\exists l \in B(r) : \text{not}.l \in I$
- 2  $B^+(r) \cap U \neq \emptyset$

## Unfounded Sets – Example

### Example

$$a \leftarrow \text{not } b.$$

For  $I = \emptyset$ ,  $\{b\}$  is an unfounded set.

## Unfounded Sets – Example

### Example

$$a \leftarrow b.$$
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For  $I = \{\text{not } b\}$ ,  $\{a\}$  is an unfounded set.

## Unfounded Sets – Example

### Example

$a \leftarrow b.$

$b \leftarrow a.$

$c \leftarrow \text{not } a.$

For  $I = \emptyset$ ,  $\{a, b\}$  is an unfounded set, because condition 2 holds for  $a \leftarrow b.$  and  $b \leftarrow a..$

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# Unfounded Operator

## Theorem

*For any program  $\mathcal{P}$  and partial interpretation  $I$ , the greatest unfounded set  $GUS_{\mathcal{P}}(I)$  (which is a superset of all unfounded sets) exists and is unique.*

**Idea:** Use  $GUS_{\mathcal{P}}(I)$  to derive negative information.

## Definition

Operator  $\mathbf{U}_{\mathcal{P}}(I) = \{\text{not}.a \mid a \in GUS_{\mathcal{P}}(I)\}$

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# Well-Founded Operator

First generalize  $\mathbf{T}_{\mathcal{P}}(I)$  for partial interpretations:

## Definition

$$\mathbf{T}_{\mathcal{P}}(I) := \{h \mid r \in \mathit{Ground}(\mathcal{P}), B(r) \subseteq I, h \in H(r)\}$$

Define the **well-founded** operator  $\mathbf{W}_{\mathcal{P}}(I)$  as a combination of  $\mathbf{T}_{\mathcal{P}}(I)$  and  $\mathbf{U}_{\mathcal{P}}(I)$ .

## Definition

$$\mathbf{W}_{\mathcal{P}}(I) = \mathbf{T}_{\mathcal{P}}(I) \cup \mathbf{U}_{\mathcal{P}}(I)$$

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# Well-Founded Model



Allen Van Gelder



Kenneth Ross



John Schlipf

# Well-Founded Model

## Theorem

$\mathbf{W}_{\mathcal{P}}$  is monotone and allows for a least fixpoint.

## Definition

The least fixpoint  $\mathbf{W}_{\mathcal{P}}^{\infty}(\emptyset)$  is the Well-Founded Model of a normal program  $\mathcal{P}$ .

# Well-Founded Model

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## Definition

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# Well-Founded Model – Properties

## Theorem

*Each normal program has a unique Well-Founded Model.*

## Well-Founded Model – Properties

### Definition

A partial interpretation  $I$  is total if  $I \cup \text{not}.I = \mathbf{HB}(\mathcal{P})$  (each ground atom is true or false).

### Theorem

*The Well-Founded Model for positive programs is total and corresponds to its Minimal Model.*

### Theorem

*The Well-Founded Model for stratifiable programs is total and corresponds to its Perfect Model.*

## Well-Founded Model – Properties

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## Well-Founded Model – Example

### Example

```
person(nicola).  
alive(X) ← person(X).  
male(X) ← person(X), not female(X).  
female(X) ← person(X), not male(X).
```

The Well-Founded Model is  $\{person(nicola), alive(nicola)\}$  and it is not total.

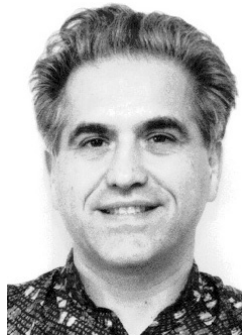
# Stable Models

- No longer a unique model.
- Use total models.
- Stability criterion instead of fixpoint semantics.

# Stable Models



Michael Gelfond



Vladimir Lifschitz

# Stable Models



Nicole Bidoit



Christine Froidevaux

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# Gelfond-Lifschitz Reduct

## Definition

The **Gelfond-Lifschitz reduct** of a program  $\mathcal{P}^I$  is defined as follows, starting from  $Ground(\mathcal{P})$ :

- 1 Delete rules  $r$ , for which  $B^-(r) \cap I \neq \emptyset$ .
- 2 Delete the negative bodies of the remaining rules.

# Gelfond-Lifschitz Reduct

## Example

$$\mathcal{P} = \{ \text{male}(g) \leftarrow \text{not } \text{female}(g). \\ \text{female}(g) \leftarrow \text{not } \text{male}(g). \}$$

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# Stable Models

## Fact

*Gelfond-Lifschitz reducts are always positive, and have a unique Minimal Model.*

## Definition

A total interpretation  $M$  is a Stable Model of  $\mathcal{P}$ , if  $M = MM(\mathcal{P}^M)$ .



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*For positive programs there is exactly one Stable Model, which is equal to the Minimal Model.*

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## Stable Models – Consequences

### Definition (Brave/Credulous Reasoning)

$\mathcal{P} \models_b I$ , if  $I$  is true in some Stable Model of  $\mathcal{P}$ .

### Definition (Cautious/Skeptical Reasoning)

$\mathcal{P} \models_c I$ , if  $I$  is true in all Stable Models of  $\mathcal{P}$ .

**Note:** If  $\mathcal{P}$  admits no Stable Model, then all literals are cautious/skeptical consequences!



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## Stable Models – Example

### Example (Two-Colorability)

Given a graph, can each vertex be assigned one of two colors, such that adjacent vertices do not have the same color?

```
vertex(V) ← arc(V, Y). vertex(V) ← arc(X, V).  
color(V, white) ← vertex(V), not color(V, black).  
color(V, black) ← vertex(V), not color(V, white).  
bad ← color(V1, F), color(V2, F),  
arc(V1, V2), not bad.
```