

SAT-Based Problem Solving

Lecture #2:

Solving Minimal Set Problems with SAT Oracles

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February 2015

Part III

Computing Subset Minimal Sets

SAT solving in practice

- SAT is a success story of Computer Science
 - Hundreds (even more?) of practical applications



SAT solving in practice

- SAT is a success story of Computer Science
 - Hundreds (even more?) of practical applications



- Many formulated as decision problems; many others **not**

Decision vs. function problems

Answer

Problem Type

Decision vs. function problems

Answer	Problem Type
Yes/No	Decision Problems

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Function Problems on Propositional Formulas

MaxSAT PBO ... WBO MinSAT

Minimal Models Prime Implicants

Maximal Models Autarkies

Backbones Prime Implicates

... Prime Implicates

MUSes MCSes MESes Indep. Vars

MFSes MSSes MDSes Implicant Ext.

MNSes Implicate Ext.

MCFSES

Decision vs. function problems

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Function Problems on Propositional Formulas

Optimization Problems

MaxSAT

PBO

...

WBO

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MUSes

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Implicant Ext.

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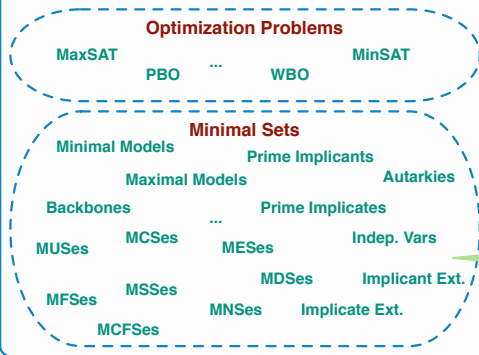
Implicate Ext.

MCFSes

Decision vs. function problems

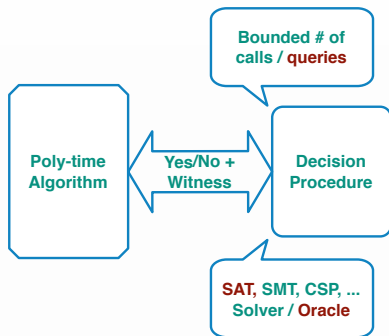
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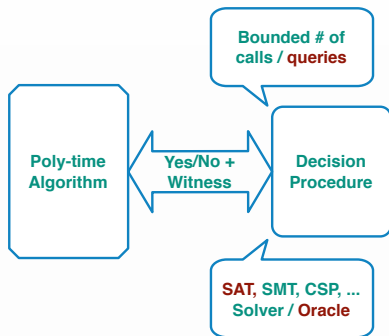


Recap MUS,
MCS, MSS,
MES, MFS, ...!

How to solve function problems?

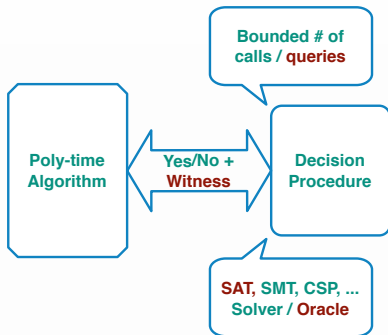


How to solve function problems?



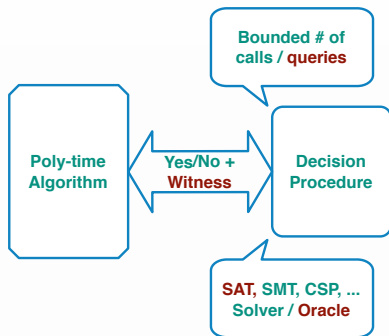
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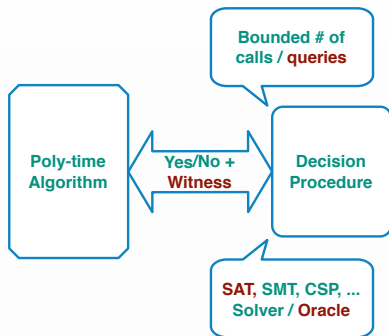
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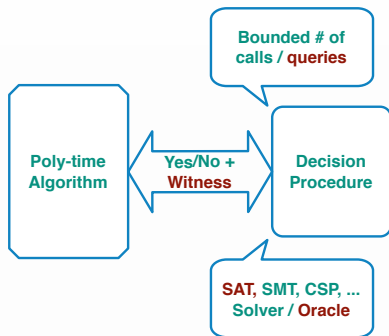
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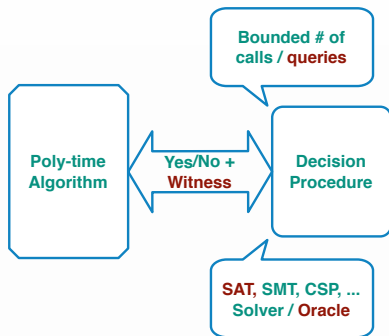
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How to solve function problems?



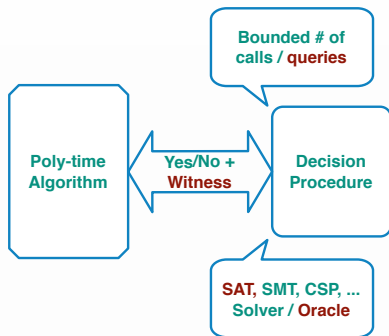
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 - Characterize **query complexity** of function problems

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 - Develop more efficient algorithms, i.e. with **fewer** oracle calls
 - Characterize **query complexity** of function problems
 - ▶ **But**, use **witness oracles** instead of NP oracles

Detour – the FSAT problem

- **FSAT**: Compute satisfying assignment (model) for formula \mathcal{F}

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 - Build model by iteratively checking literals

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 - Can we do better than linear?

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[GF93]

FSAT cannot be solved with $\mathcal{O}(\log n)$ calls unless $P = NP$

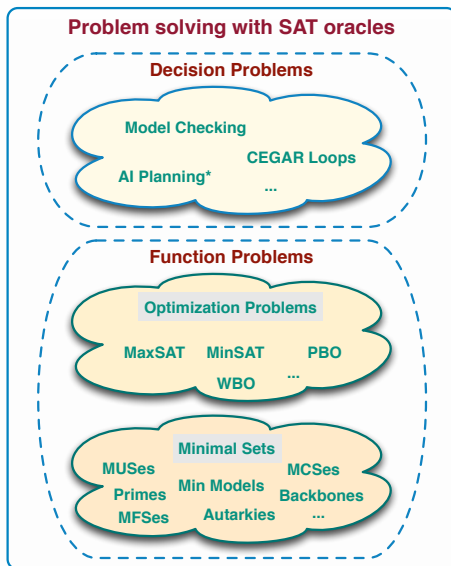
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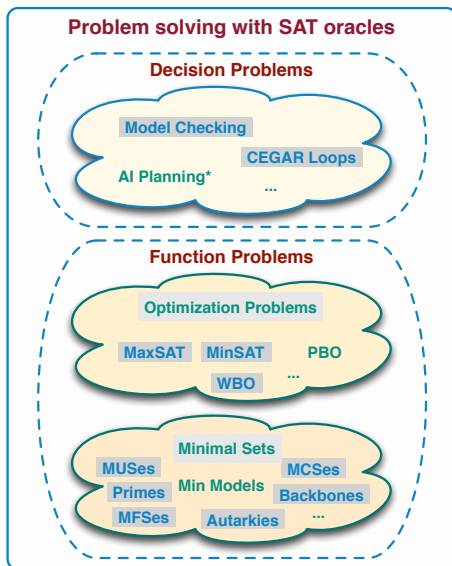
[GF93]

FSAT cannot be solved with $\mathcal{O}(\log n)$ calls unless $P = NP$
- **But with SAT oracle, one call suffices !**
 - Model is given by witness returned by SAT oracle

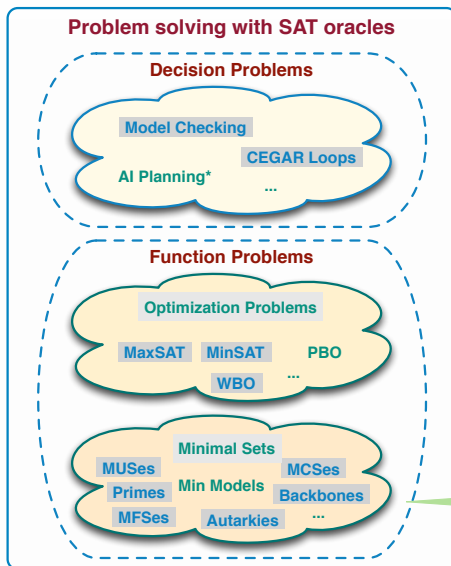
Problem solving with SAT oracles – general case



Problem solving with SAT oracles – our work (2007-...)



Problem solving with SAT oracles – our work (2007-...)



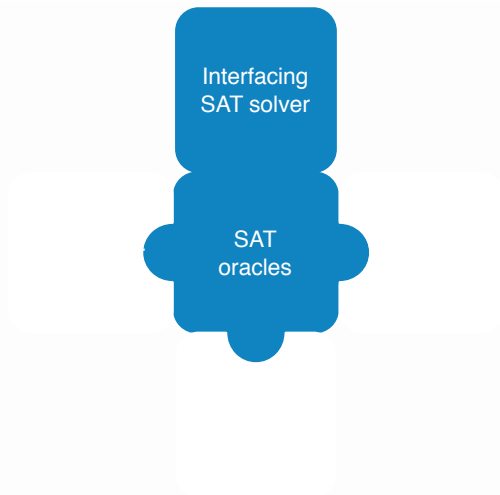
But also, MEses,
groups, variables,
circuits, SMUS,...

Problem solving with SAT oracles – some challenges

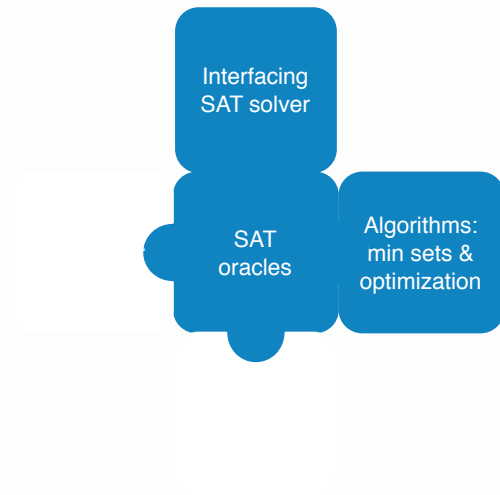


SAT
oracles

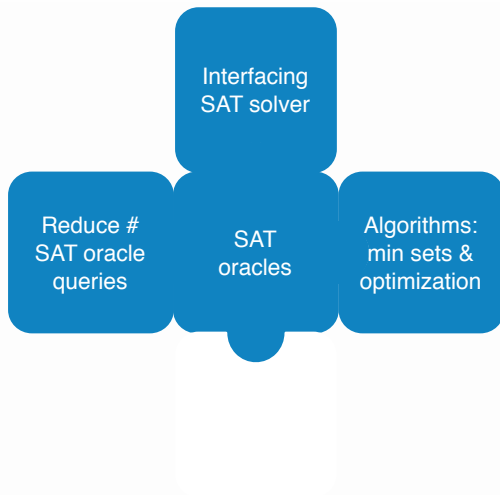
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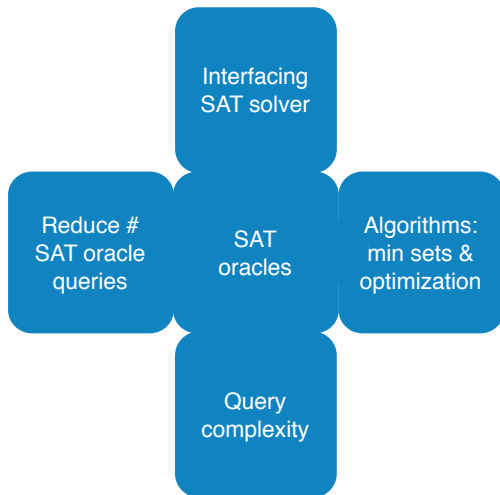
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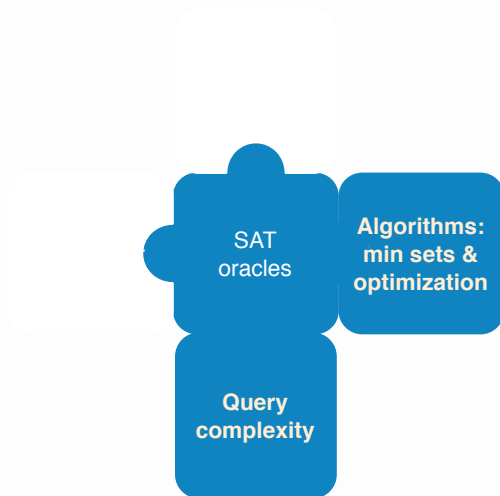
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Problem solving with SAT oracles – some challenges



Problem solving with SAT oracles – these talks



Another detour – some challenges

- **MUS**: [e.g. PW88,SP88,CD91,BDTW93,J01,J04,HLSB06,KBK09,K11,MSL11,BMS11,BLMS12,MSJB13]
 - Find $\mathcal{M} \subseteq \mathcal{F}$ s.t. \mathcal{M} is unsatisfiable and \mathcal{M} is irreducible
 - **Q1**: Algorithms for computing one MUS?
 - **Q2**: Worst-case number of queries to NP/SAT oracle to compute one MUS?

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- **MCS:** [e.g. R87,BS05,OOF05,LS08,FSZ12,NBE12,MSHJPB13]
 - Find $\mathcal{C} \subseteq \mathcal{F}$ s.t. $\mathcal{F} \setminus \mathcal{C}$ is satisfiable and \mathcal{C} is irreducible
 - **Q1:** Algorithms for computing one MCS?
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- **Backbone:** [e.g. MZKST99,KK01,SW01,SKK03,KSTW05,MSJL10,ZWSM11,JLMS15]
 - Find set of literals common to all satisfying assignments of \mathcal{F}
 - **Q1:** Algorithms for computing the Backbone of \mathcal{F} ?
 - **Q2:** Worst-case number of queries to NP/SAT oracle to compute the Backbone of \mathcal{F} ?

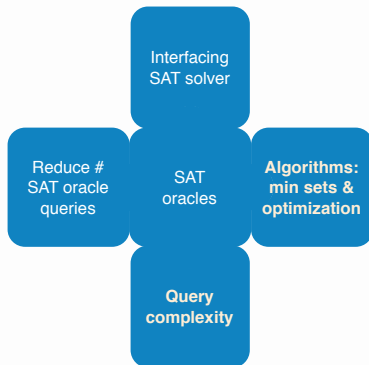
Outline

Revisit MUSes

Minimal Sets

Query Complexity

Conclusions



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Defining MUSes

$$x_6 \vee x_2$$

$$\neg x_6 \vee x_2$$

$$\neg x_2 \vee x_1$$

$$\neg x_1$$

$$\neg x_6 \vee x_8$$

$$x_6 \vee \neg x_8$$

$$x_2 \vee x_4$$

$$\neg x_4 \vee x_5$$

$$x_7 \vee x_5$$

$$\neg x_7 \vee x_5$$

$$\neg x_5 \vee x_3$$

$$\neg x_3$$

- Formula is **unsatisfiable** but not irreducible

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- Formula is **unsatisfiable** but not irreducible
- Can remove clauses, and formula still **unsatisfiable**

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- A **Minimal Unsatisfiable Subformula** (**MUS**) is an **unsatisfiable** and **irreducible** subformula
- How to compute an MUS?

An Example

$$(\neg x_1 \vee x_2)$$

$$(\neg x_3 \vee x_2)$$

$$(x_1 \vee x_2)$$

$$(\neg x_3)$$

$$(\neg x_2)$$

UNSAT instance; not irreducible

An Example

$$(\neg x_1 \vee x_2)$$

$$(\neg x_3 \vee x_2)$$

$$(x_1 \vee x_2)$$

$$(\neg x_3)$$

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Hide clause $(\neg x_1 \vee x_2)$

An Example

$$(\neg x_3 \vee x_2)$$

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SAT instance \rightarrow keep clause $(\neg x_1 \vee x_2)$

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UNSAT instance \rightarrow remove clause $(\neg x_3 \vee x_2)$

An Example

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Hide clause $(x_1 \vee x_2)$

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SAT instance \rightarrow keep clause $(\neg x_2)$

An Example

$$\begin{aligned} &(\neg x_1 \vee x_2) \\ &(x_1 \vee x_2) \\ &(\neg x_2) \end{aligned}$$

Computed MUS

Deletion-Based MUS Extraction

Input : Unsatisfiable CNF Formula \mathcal{F}

Output: MUS \mathcal{M}

begin

$\mathcal{M} \leftarrow \mathcal{F}$ // MUS over-approximation

foreach $c \in \mathcal{M}$ **do**

if not SAT($\mathcal{M} \setminus \{c\}$) **then**

$\mathcal{M} \leftarrow \mathcal{M} \setminus \{c\}$ // If UNSAT($\mathcal{M} \setminus \{c\}$), then $c \notin \mathcal{M}$

return \mathcal{M}

// Final \mathcal{M} is MUS

end

- Number of calls to SAT solver: $\mathcal{O}(|\mathcal{F}|)$

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foreach $c \in \mathcal{M}$ **do**

if not SAT($\mathcal{M} \setminus \{c\}$) **then**

$\mathcal{M} \leftarrow \mathcal{M} \setminus \{c\}$

// Remove c from \mathcal{M}

return \mathcal{M}

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end

- Number of calls to SAT solver: $\mathcal{O}(|\mathcal{F}|)$

More on MUS Extraction

Algorithm	# Oracle Calls	Reference
Insertion (Default)	$\mathcal{O}(m \times k)$	[SP88]
Deletion (Default)	$\mathcal{O}(m)$	[CD91,BDTW93]
QuickXplain	$\mathcal{O}(k \times (1 + \log \frac{m}{k}))$	[J01,J04]
Dichotomic	$\mathcal{O}(k \times \log m)$	[HLSB06]
Insertion with Relaxation Variables	$\mathcal{O}(m)$	[MSL11]
Deletion with Model Rotation	$\mathcal{O}(m)$	[BLMS12,MSL11]
Progression	$\mathcal{O}(k \times \log(1 + \frac{m}{k}))$	[MSJB13]

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- Additional Techniques:

- Restrict formula to unsatisfiable subsets [BDTW93,HLSB06,DHN06,MSL11]
- Check redundancy condition [vMW08,MSL11,BLMS12]
- Model rotation, **recursive** model rotation, etc. [MSL11,BMS11,BLMS12,W12]

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Revisit MUSes

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Query Complexity

Conclusions

Computing minimal sets is ubiquitous!



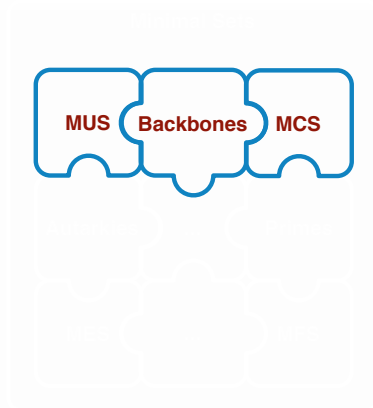
- MUSes are **minimal sets**
 - Extensive work since the **mid 80s**

Computing minimal sets is ubiquitous!



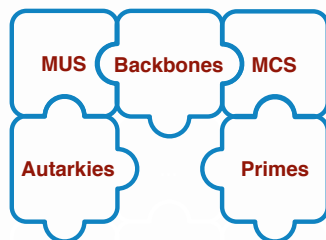
- **Backbones(!)** are **minimal sets**
 - Extensive work since the **late 90s**

Computing minimal sets is ubiquitous!



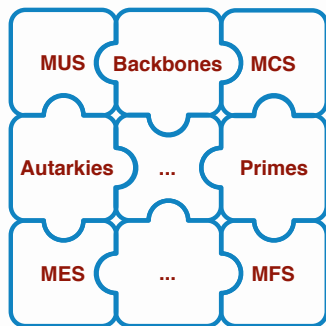
- MCSes are **minimal sets**
 - Extensive work since the **mid 80s**

Computing minimal sets is ubiquitous!



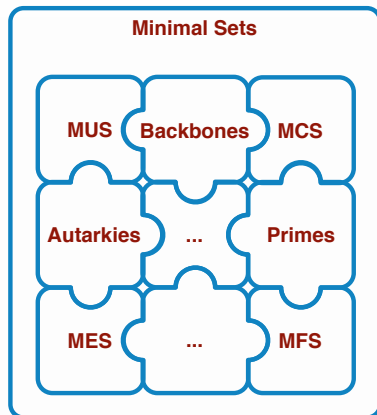
- Autarkies(!) & primes are also **minimal sets**
 - Extensive work since the 80s & 30s(!), resp.

Computing minimal sets is ubiquitous!



- MESes, MFses (and many more!) are **minimal sets**
 - Work since the 00s & 90s, etc.

Computing minimal sets is ubiquitous!



- **∴ Develop framework for reasoning about minimal sets !**
 - **Why?** Common algorithms & techniques; new insights & results; ...

Example – MUSes as minimal sets

$$(\bar{x}_1 \vee \bar{x}_2) \quad (x_1) \quad (x_5 \vee x_6) \quad (\bar{x}_3 \vee \bar{x}_4) \quad (x_2) \quad (x_3) \quad (x_4)$$

- Formula is **unsatisfiable** but **not** irreducible

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Example – MUSes as minimal sets



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- **Minimal Unsatisfiable Subset (MUS)**:
 - Irreducible subformula that is **unsatisfiable**
 - ▶ MUSes are minimal sets

Example – MUSes as minimal sets

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 - ▶ MUSes are minimal sets

Example – MUSes as minimal sets

$$(\bar{x}_1 \vee \bar{x}_2) \quad (x_1) \quad (x_5 \vee x_6) \quad \boxed{(\bar{x}_3 \vee \bar{x}_4)} \quad (x_2) \quad \boxed{(x_3)} \quad \boxed{(x_4)}$$

- Formula is **unsatisfiable** but **not** irreducible
- Can remove clauses, and formula still **unsatisfiable**
- **Minimal Unsatisfiable Subset (MUS)**:
 - Irreducible subformula that is **unsatisfiable**
 - ▶ MUSes are minimal sets
- Complexity results:
 - Decision problem: D^P -complete
 - Function problem: in FP^{NP} with lower bound in $FP_{||}^{NP}$

[PW88]

[CT95]

Example – MCSes as minimal sets

$$(\bar{x}_1 \vee \bar{x}_2) \quad (x_1) \quad (x_5 \vee x_6) \quad (\bar{x}_3 \vee \bar{x}_4) \quad (x_2) \quad (x_3) \quad (x_4)$$

- Formula is **unsatisfiable** with **satisfiable** subformulas

Example – MCSes as minimal sets



- Formula is **unsatisfiable** with **satisfiable** subformulas
- Can remove clauses such that remaining clauses are **satisfiable**

Example – MCSes as minimal sets

$(\bar{x}_1 \vee \bar{x}_2)$

(x_1)

$(x_5 \vee x_6)$

$(\bar{x}_3 \vee \bar{x}_4)$

(x_2)

(x_3)

(x_4)

- Formula is **unsatisfiable** with **satisfiable** subformulas
- Can remove clauses such that remaining clauses are **satisfiable**
- **Minimal Correction Subset (MCS)**:
 - Irreducible subformula such that the complement is **satisfiable**
 - ▶ MCSes are minimal sets

Example – MCSes as minimal sets

$$(\bar{x}_1 \vee \bar{x}_2) \quad (x_1) \quad (x_5 \vee x_6) \quad (\bar{x}_3 \vee \bar{x}_4) \quad \boxed{(x_2)} \quad \boxed{(x_3)} \quad (x_4)$$

- Formula is **unsatisfiable** with **satisfiable** subformulas
- Can remove clauses such that remaining clauses are **satisfiable**
- **Minimal Correction Subset (MCS)**:
 - Irreducible subformula such that the complement is **satisfiable**
 - ▶ MCSes are minimal sets

Example – MCSes as minimal sets

$(\bar{x}_1 \vee \bar{x}_2)$ (x_1) $(x_5 \vee x_6)$ $(\bar{x}_3 \vee \bar{x}_4)$ (x_2) (x_3) (x_4)

- Formula is **unsatisfiable** with **satisfiable** subformulas
- Can remove clauses such that remaining clauses are **satisfiable**
- **Minimal Correction Subset (MCS)**:
 - **Irreducible** subformula such that the complement is **satisfiable**
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Example – MCSes as minimal sets

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 - Irreducible subformula such that the complement is **satisfiable**
 - ▶ MCSes are minimal sets
- Complexity results:
 - Function problem: can be solved with $\mathcal{O}(\log n)$ calls to a SAT oracle

Example – MCSes as minimal sets

$(\bar{x}_1 \vee \bar{x}_2)$ (x_1) $(x_5 \vee x_6)$ $(\bar{x}_3 \vee \bar{x}_4)$ (x_2) (x_3) (x_4)

- Formula is **unsatisfiable** with **satisfiable** subformulas
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- **Minimal Correction Subset (MCS)**:
 - Irreducible subformula such that the complement is **satisfiable**
 - ▶ MCSes are minimal sets
- Complexity results:
 - Function problem: can be solved with $\mathcal{O}(\log n)$ calls to a SAT oracle. **Why?**

Monotone predicates

- Set of elements \mathcal{R}
- Predicate $P : 2^{\mathcal{R}} \rightarrow \{0, 1\}$

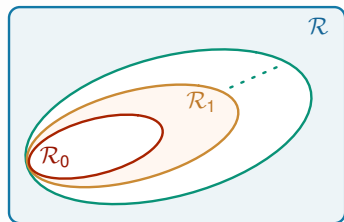
Monotone predicates

- Set of elements \mathcal{R}
- Predicate $P : 2^{\mathcal{R}} \rightarrow \{0, 1\}$
- P is **monotone** iff P has the following property:

[BM07]

\implies If $P(\mathcal{R}_0) = 1$ holds and $\mathcal{R}_0 \subseteq \mathcal{R}_1 \subseteq \mathcal{R}$, then $P(\mathcal{R}_1) = 1$ also holds

- Note: $P(\mathcal{R}) = 1$ must hold; otherwise **no** minimal set



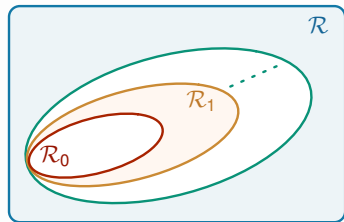
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- Note: $P(\mathcal{R}) = 1$ must hold; otherwise **no** minimal set



- Minimal Set over Monotone Predicate (**MSMP**) problem:

 1. Given \mathcal{R} and monotone predicate P over \mathcal{R} ,
 2. compute **minimal set** $\mathcal{M} \subseteq \mathcal{R}$ such that $P(\mathcal{M}) = 1$ holds

[MSJB13]

Example reductions to MSMP

	MUS	MCS
\mathcal{R}	\mathcal{F}	\mathcal{F}
$P(\mathcal{W}), \mathcal{W} \subseteq \mathcal{R}$	$\neg \text{SAT}(\mathcal{W})$	$\text{SAT}(\mathcal{F} \setminus \mathcal{W})$
Min. set $\mathcal{M}, P(\mathcal{M})$	$\neg \text{SAT}(\mathcal{M})$ true	$\text{SAT}(\mathcal{F} \setminus \mathcal{M})$ true
$\forall \mathcal{M}' \subset \mathcal{M}, P(\mathcal{M}')$	$\neg \text{SAT}(\mathcal{M}')$ false	$\text{SAT}(\mathcal{F} \setminus \mathcal{M}')$ false

Example reductions to MSMP

	MUS	MCS
\mathcal{R}	\mathcal{F}	\mathcal{F}
$P(\mathcal{W}), \mathcal{W} \subseteq \mathcal{R}$	$\neg \text{SAT}(\mathcal{W})$	$\text{SAT}(\mathcal{F} \setminus \mathcal{W})$
Min. set $\mathcal{M}, P(\mathcal{M})$	$\neg \text{SAT}(\mathcal{M})$ true	$\text{SAT}(\mathcal{F} \setminus \mathcal{M})$ true
$\forall \mathcal{M}' \subset \mathcal{M}, P(\mathcal{M}')$	$\neg \text{SAT}(\mathcal{M}')$ false	$\text{SAT}(\mathcal{F} \setminus \mathcal{M}')$ false

c_1	c_2	c_3	c_4	c_5	c_6	c_7
$(\bar{x}_1 \vee \bar{x}_2)$	(x_1)	$(x_5 \vee x_6)$	$(\bar{x}_3 \vee \bar{x}_4)$	(x_2)	(x_3)	(x_4)

MUS: \mathcal{W} $P(\mathcal{W}) \triangleq \neg \text{SAT}(\mathcal{W})$

$c_1 c_2 c_3 c_4 c_5 c_6 c_7$	1	
$c_2 c_3 c_4 c_5 c_6 c_7$	1	
$c_3 c_4 c_5 c_6 c_7$	1	
$c_4 c_5 c_6 c_7$	1	
$c_4 c_6 c_7$	1	\mathcal{M}
$c_4 c_7$	0	
$c_6 c_7$	0	
...		

Example reductions to MSMP

	MUS	MCS
\mathcal{R}	\mathcal{F}	\mathcal{F}
$P(\mathcal{W}), \mathcal{W} \subseteq \mathcal{R}$	$\neg\text{SAT}(\mathcal{W})$	$\text{SAT}(\mathcal{F} \setminus \mathcal{W})$
Min. set $\mathcal{M}, P(\mathcal{M})$	$\neg\text{SAT}(\mathcal{M})$ true	$\text{SAT}(\mathcal{F} \setminus \mathcal{M})$ true
$\forall \mathcal{M}' \subset \mathcal{M}, P(\mathcal{M}')$	$\neg\text{SAT}(\mathcal{M}')$ false	$\text{SAT}(\mathcal{F} \setminus \mathcal{M}')$ false

Example reductions to MSMP

	MUS	MCS
\mathcal{R}	\mathcal{F}	\mathcal{F}
$P(\mathcal{W}), \mathcal{W} \subseteq \mathcal{R}$	$\neg \text{SAT}(\mathcal{W})$	$\text{SAT}(\mathcal{F} \setminus \mathcal{W})$
Min. set $\mathcal{M}, P(\mathcal{M})$	$\neg \text{SAT}(\mathcal{M})$ true	$\text{SAT}(\mathcal{F} \setminus \mathcal{M})$ true
$\forall \mathcal{M}' \subset \mathcal{M}, P(\mathcal{M}')$	$\neg \text{SAT}(\mathcal{M}')$ false	$\text{SAT}(\mathcal{F} \setminus \mathcal{M}')$ false

c_1	c_2	c_3	c_4	c_5	c_6	c_7
$(\bar{x}_1 \vee \bar{x}_2)$	(x_1)	$(x_5 \vee x_6)$	$(\bar{x}_3 \vee \bar{x}_4)$	(x_2)	(x_3)	(x_4)

MCS:	\mathcal{W}	$\mathcal{F} \setminus \mathcal{W}$	$P(\mathcal{W}) \triangleq \text{SAT}(\mathcal{F} \setminus \mathcal{W})$	
	$c_1 c_2 c_3 c_4 c_5 c_6 c_7$	\emptyset	1	
	$c_1 c_2 c_3 c_4 c_5 c_7$	c_6	1	
	$c_1 c_2 c_3 c_5 c_7$	$c_4 c_6$	1	
	$c_1 c_2 c_5 c_7$	$c_3 c_4 c_6$	1	
	$c_1 c_5 c_7$	$c_2 c_3 c_4 c_6$	1	
	$c_5 c_7$	$c_1 c_2 c_3 c_4 c_6$	1	\mathcal{M}
	c_5	$c_1 c_2 c_3 c_4 c_6 c_7$	0	
	c_7	$c_1 c_2 c_3 c_4 c_5 c_6$	0	

Reductions to MSMP – a glimpse

Problem	\mathcal{R}	$P(\mathcal{W}), \mathcal{W} \subseteq \mathcal{R}$
FMUS	\mathcal{F}	$\neg \text{SAT}(\bigwedge_{c \in \mathcal{W}} (c))$
FMCS	\mathcal{F}	$\text{SAT}(\bigwedge_{c \in \mathcal{R} \setminus \mathcal{W}} (c))$
FMES	\mathcal{F}	$\neg \text{SAT}(\neg \mathcal{F} \wedge \bigwedge_{c \in \mathcal{W}} (c))$
FMDS	\mathcal{F}	$\text{SAT}(\neg \mathcal{F} \wedge \bigwedge_{c \in \mathcal{R} \setminus \mathcal{W}} (c))$
FCMFS	\mathcal{F}	$\text{SAT}(\bigwedge_{c \in \mathcal{R} \setminus \mathcal{W}} (\neg c))$
FMnM	X	$\text{SAT}(\mathcal{F} \wedge \bigwedge_{x \in \mathcal{R} \setminus \mathcal{W}} (\neg x))$
FPIt	$L(t)$	$\neg \text{SAT}(\neg \mathcal{F} \wedge \bigwedge_{l \in \mathcal{W}} (l))$
FPIc	$L(c)$	$\neg \text{SAT}(\mathcal{F} \wedge \bigwedge_{l \in \mathcal{W}} (\neg l))$
FLEIt	\mathcal{L}_t	$\neg \text{SAT}(\mathcal{F}^{\text{It}X} \wedge (\bigvee_{l \in \mathcal{R} \setminus \mathcal{W}} \neg l))$
FLEIc	\mathcal{L}_c	$\neg \text{SAT}(\mathcal{F}^{\text{Ic}X} \wedge (\bigvee_{l \in \mathcal{R} \setminus \mathcal{W}} l))$
FMnES	\mathcal{J}	$\neg \text{SAT}(\neg \mathcal{I} \wedge \bigwedge_{c \in \mathcal{W}} (c))$
FMxES	\mathcal{N}	$\neg \text{SAT}(\mathcal{J} \wedge (\bigvee_{c \in \mathcal{R} \setminus \mathcal{W}} \neg c))$
FBBr	\mathcal{V}	$\neg \text{SAT}(\mathcal{F} \wedge (\bigvee_{l \in \mathcal{R} \setminus \mathcal{W}} \neg l))$
FBB	X	$\neg \text{SAT}(\mathcal{F}^{\text{BB}} \wedge (\bigvee_{x \in \mathcal{R} \setminus \mathcal{W}} x \wedge \neg x'))$
FVInd	X	$\neg \text{SAT}(\mathcal{F}^{\text{VInd}} \wedge \bigwedge_{x_i \in \mathcal{W}} (x_i \leftrightarrow y_i))$
FAut	X^+	$\text{SAT}(\mathcal{F}^{\text{Aut}} \wedge \bigwedge_{x^+ \in \mathcal{R} \setminus \mathcal{W}} (x^+))$
...		...

Reductions to MSMP – a glimpse

Problem	\mathcal{R}	$P(\mathcal{W}), \mathcal{W} \subseteq \mathcal{R}$
FMUS	\mathcal{F}	$\neg \text{SAT}(\bigwedge_{c \in \mathcal{W}} (c))$
FMCS	\mathcal{F}	$\text{SAT}(\bigwedge_{c \in \mathcal{R} \setminus \mathcal{W}} (c))$
FMES	\mathcal{F}	$\neg \text{SAT}(\neg \mathcal{F} \wedge \bigwedge_{c \in \mathcal{W}} (c))$
FMDS	\mathcal{F}	$\text{SAT}(\neg \mathcal{F} \wedge \bigwedge_{c \in \mathcal{R} \setminus \mathcal{W}} (c))$
FCMFS	\mathcal{F}	$\text{SAT}(\bigwedge_{c \in \mathcal{R} \setminus \mathcal{W}} (\neg c))$
FMnM	X	$\text{SAT}(\mathcal{F} \wedge \bigwedge_{x \in \mathcal{R} \setminus \mathcal{W}} (\neg x))$
FPIt	$L(t)$	$\neg \text{SAT}(\neg \mathcal{F} \wedge \bigwedge_{l \in \mathcal{W}} (l))$
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FLEIt	\mathcal{L}_t	$\neg \text{SAT}(\mathcal{F}^{\text{It}X} \wedge (\bigvee_{l \in \mathcal{R} \setminus \mathcal{W}} \neg l))$
FLEIc	\mathcal{L}_c	$\neg \text{SAT}(\mathcal{F}^{\text{Ic}X} \wedge (\bigvee_{l \in \mathcal{R} \setminus \mathcal{W}} l))$
FMnES	\mathcal{J}	$\neg \text{SAT}(\neg \mathcal{I} \wedge \bigwedge_{c \in \mathcal{W}} (c))$
FMxES	\mathcal{N}	$\neg \text{SAT}(\mathcal{J} \wedge (\bigvee_{c \in \mathcal{R} \setminus \mathcal{W}} \neg c))$
FBBr	\mathcal{V}	$\neg \text{SAT}(\mathcal{F} \wedge (\bigvee_{l \in \mathcal{R} \setminus \mathcal{W}} \neg l))$
FBB	X	$\neg \text{SAT}(\mathcal{F}^{\text{BB}} \wedge (\bigvee_{x \in \mathcal{R} \setminus \mathcal{W}} x \wedge \neg x'))$
FVInd	X	$\neg \text{SAT}(\mathcal{F}^{\text{VInd}} \wedge \bigwedge_{x_i \in \mathcal{W}} (x_i \leftrightarrow y_i))$
FAut	X^+	$\text{SAT}(\mathcal{F}^{\text{Aut}} \wedge \bigwedge_{x^+ \in \mathcal{R} \setminus \mathcal{W}} (x^+))$
...		...

MSMP algorithms

- Why MSMP algorithms?

MSMP algorithms

- Why MSMP algorithms? Common algorithms & techniques, ...

MSMP algorithms

- Why MSMP algorithms? Common algorithms & techniques, ...
- Adapt algorithms for MUS extraction
 - Insertion; Deletion; Dichotomic; QuickXplain; Progression
- Worst-case number of predicate tests:
 - Set \mathcal{R} with m elements and k the size of largest minimal subset

Algorithm	# Predicate tests	Reference
Insertion (Default)	$\mathcal{O}(m \times k)$	[SP88,vMW08]
Deletion (Default)	$\mathcal{O}(m)$	[CD91,BDTW93]
Dichotomic	$\mathcal{O}(k \times \log m)$	[HLSB06]
QuickXplain	$\mathcal{O}(k \times (1 + \log \frac{m}{k}))$	[J01,J04]
Progression	$\mathcal{O}(k \times \log(1 + \frac{m}{k}))$	[MSJB13]

- For MUSes/MCSes/PIs/MMs/MESes/etc. each predicate test represents one query to a SAT oracle

MSMP algorithms

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$\mathcal{O}(m)$ calls for last 4!

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- For MUSes/MCSes/PIs/MMs/MESes/etc. each predicate test represents one query to a SAT oracle

$\mathcal{O}(m)$ calls for last 4!

- MSMP algorithms can integrate well-known pruning techniques
 - Clause set refinement; Model rotation; etc.* [BDTW93,DHN06,MSL11,BLMS12]

Deletion algorithm – revisited

Input : Target set \mathcal{T}

Output: Minimal subset \mathcal{M}

begin

$\mathcal{M} \leftarrow \mathcal{T}$ // Precondition: $P(\mathcal{T})$ holds

foreach $u \in \mathcal{M}$ **do** // Inv: $P(\mathcal{M})$

if $P(\mathcal{M} \setminus \{u\})$ **then** // P holds without element

$\mathcal{M} \leftarrow \mathcal{M} \setminus \{u\}$ // Drop element

return \mathcal{M} // Postcondition: \mathcal{M} is minimal set s.t. $P(\mathcal{M})$ holds

end

- Number of predicate tests: $\mathcal{O}(m)$

Deletion – MUS example

c_1	c_2	c_3	c_4	c_5	c_6	c_7
$(\bar{x}_1 \vee \bar{x}_2)$	(x_1)	$(x_5 \vee x_6)$	$(\bar{x}_3 \vee \bar{x}_4)$	(x_2)	(x_3)	(x_4)

- MUS** predicate test: $\mathcal{W} \triangleq \mathcal{M} \setminus \{c_i\}$, $P(\mathcal{W}) \triangleq \neg \text{SAT}(\mathcal{W})$

c_i	\mathcal{M}	$\mathcal{M} \setminus \{c_i\}$	$P(\mathcal{W})$	Outcome
-------	---------------	---------------------------------	------------------	---------

Deletion – MUS example

c_1	c_2	c_3	c_4	c_5	c_6	c_7
$(\bar{x}_1 \vee \bar{x}_2)$	(x_1)	$(x_5 \vee x_6)$	$(\bar{x}_3 \vee \bar{x}_4)$	(x_2)	(x_3)	(x_4)

- MUS** predicate test: $\mathcal{W} \triangleq \mathcal{M} \setminus \{c_i\}$, $P(\mathcal{W}) \triangleq \neg \text{SAT}(\mathcal{W})$

c_i	\mathcal{M}	$\mathcal{M} \setminus \{c_i\}$	$P(\mathcal{W})$	Outcome
c_1	$c_1..c_7$	$c_2..c_7$	1	Drop c_1

Deletion – MUS example

c_1	c_2	c_3	c_4	c_5	c_6	c_7
$(\bar{x}_1 \vee \bar{x}_2)$	(x_1)	$(x_5 \vee x_6)$	$(\bar{x}_3 \vee \bar{x}_4)$	(x_2)	(x_3)	(x_4)

- MUS** predicate test: $\mathcal{W} \triangleq \mathcal{M} \setminus \{c_i\}$, $P(\mathcal{W}) \triangleq \neg \text{SAT}(\mathcal{W})$

c_i	\mathcal{M}	$\mathcal{M} \setminus \{c_i\}$	$P(\mathcal{W})$	Outcome
c_1	$c_1..c_7$	$c_2..c_7$	1	Drop c_1
c_2	$c_2..c_7$	$c_3..c_7$	1	Drop c_2

Deletion – MUS example

c_1	c_2	c_3	c_4	c_5	c_6	c_7
$(\bar{x}_1 \vee \bar{x}_2)$	(x_1)	$(x_5 \vee x_6)$	$(\bar{x}_3 \vee \bar{x}_4)$	(x_2)	(x_3)	(x_4)

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c_1	$c_1..c_7$	$c_2..c_7$	1	Drop c_1
c_2	$c_2..c_7$	$c_3..c_7$	1	Drop c_2
c_3	$c_3..c_7$	$c_4..c_7$	1	Drop c_3

Deletion – MUS example

c_1	c_2	c_3	c_4	c_5	c_6	c_7
$(\bar{x}_1 \vee \bar{x}_2)$	(x_1)	$(x_5 \vee x_6)$	$(\bar{x}_3 \vee \bar{x}_4)$	(x_2)	(x_3)	(x_4)

- MUS** predicate test: $\mathcal{W} \triangleq \mathcal{M} \setminus \{c_i\}$, $P(\mathcal{W}) \triangleq \neg \text{SAT}(\mathcal{W})$

c_i	\mathcal{M}	$\mathcal{M} \setminus \{c_i\}$	$P(\mathcal{W})$	Outcome
c_1	$c_1..c_7$	$c_2..c_7$	1	Drop c_1
c_2	$c_2..c_7$	$c_3..c_7$	1	Drop c_2
c_3	$c_3..c_7$	$c_4..c_7$	1	Drop c_3
c_4	$c_4..c_7$	$c_5..c_7$	0	Keep c_4

Deletion – MUS example

c_1	c_2	c_3	c_4	c_5	c_6	c_7
$(\bar{x}_1 \vee \bar{x}_2)$	(x_1)	$(x_5 \vee x_6)$	$(\bar{x}_3 \vee \bar{x}_4)$	(x_2)	(x_3)	(x_4)

- MUS** predicate test: $\mathcal{W} \triangleq \mathcal{M} \setminus \{c_i\}$, $P(\mathcal{W}) \triangleq \neg \text{SAT}(\mathcal{W})$

c_i	\mathcal{M}	$\mathcal{M} \setminus \{c_i\}$	$P(\mathcal{W})$	Outcome
c_1	$c_1..c_7$	$c_2..c_7$	1	Drop c_1
c_2	$c_2..c_7$	$c_3..c_7$	1	Drop c_2
c_3	$c_3..c_7$	$c_4..c_7$	1	Drop c_3
c_4	$c_4..c_7$	$c_5..c_7$	0	Keep c_4
c_5	$c_4..c_7$	c_4, c_6, c_7	1	Drop c_5

Deletion – MUS example

c_1	c_2	c_3	c_4	c_5	c_6	c_7
$(\bar{x}_1 \vee \bar{x}_2)$	(x_1)	$(x_5 \vee x_6)$	$(\bar{x}_3 \vee \bar{x}_4)$	(x_2)	(x_3)	(x_4)

- MUS** predicate test: $\mathcal{W} \triangleq \mathcal{M} \setminus \{c_i\}$, $P(\mathcal{W}) \triangleq \neg \text{SAT}(\mathcal{W})$

c_i	\mathcal{M}	$\mathcal{M} \setminus \{c_i\}$	$P(\mathcal{W})$	Outcome
c_1	$c_1..c_7$	$c_2..c_7$	1	Drop c_1
c_2	$c_2..c_7$	$c_3..c_7$	1	Drop c_2
c_3	$c_3..c_7$	$c_4..c_7$	1	Drop c_3
c_4	$c_4..c_7$	$c_5..c_7$	0	Keep c_4
c_5	$c_4..c_7$	c_4, c_6, c_7	1	Drop c_5
c_6	c_4, c_6, c_7	c_4, c_7	0	Keep c_6

Deletion – MUS example

c_1	c_2	c_3	c_4	c_5	c_6	c_7
$(\bar{x}_1 \vee \bar{x}_2)$	(x_1)	$(x_5 \vee x_6)$	$(\bar{x}_3 \vee \bar{x}_4)$	(x_2)	(x_3)	(x_4)

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c_i	\mathcal{M}	$\mathcal{M} \setminus \{c_i\}$	$P(\mathcal{W})$	Outcome
c_1	$c_1..c_7$	$c_2..c_7$	1	Drop c_1
c_2	$c_2..c_7$	$c_3..c_7$	1	Drop c_2
c_3	$c_3..c_7$	$c_4..c_7$	1	Drop c_3
c_4	$c_4..c_7$	$c_5..c_7$	0	Keep c_4
c_5	$c_4..c_7$	c_4, c_6, c_7	1	Drop c_5
c_6	c_4, c_6, c_7	c_4, c_7	0	Keep c_6
c_7	c_4, c_6, c_7	c_4, c_6	0	Keep c_7

Deletion – MUS example

c_1	c_2	c_3	c_4	c_5	c_6	c_7
$(\bar{x}_1 \vee \bar{x}_2)$	(x_1)	$(x_5 \vee x_6)$	$(\bar{x}_3 \vee \bar{x}_4)$	(x_2)	(x_3)	(x_4)

- MUS** predicate test: $\mathcal{W} \triangleq \mathcal{M} \setminus \{c_i\}$, $P(\mathcal{W}) \triangleq \neg \text{SAT}(\mathcal{W})$

c_i	\mathcal{M}	$\mathcal{M} \setminus \{c_i\}$	$P(\mathcal{W})$	Outcome
c_1	$c_1..c_7$	$c_2..c_7$	1	Drop c_1
c_2	$c_2..c_7$	$c_3..c_7$	1	Drop c_2
c_3	$c_3..c_7$	$c_4..c_7$	1	Drop c_3
c_4	$c_4..c_7$	$c_5..c_7$	0	Keep c_4
c_5	$c_4..c_7$	c_4, c_6, c_7	1	Drop c_5
c_6	c_4, c_6, c_7	c_4, c_7	0	Keep c_6
c_7	c_4, c_6, c_7	c_4, c_6	0	Keep c_7

- MUS: $\{c_4, c_6, c_7\}$

Deletion – MCS example

c_1	c_2	c_3	c_4	c_5	c_6	c_7
$(\bar{x}_1 \vee \bar{x}_2)$	(x_1)	$(x_5 \vee x_6)$	$(\bar{x}_3 \vee \bar{x}_4)$	(x_2)	(x_3)	(x_4)

- MCS** predicate test: $\mathcal{W} \triangleq \mathcal{M} \setminus \{c_i\}$, $P(\mathcal{W}) \triangleq \text{SAT}(\mathcal{F} \setminus \mathcal{W})$

c_i	\mathcal{M}	$\mathcal{M} \setminus \{c_i\}$	$\mathcal{F} \setminus (\mathcal{M} \setminus \{c_i\})$	$P(\mathcal{W})$	Outcome
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Deletion – MCS example

c_1	c_2	c_3	c_4	c_5	c_6	c_7
$(\bar{x}_1 \vee \bar{x}_2)$	(x_1)	$(x_5 \vee x_6)$	$(\bar{x}_3 \vee \bar{x}_4)$	(x_2)	(x_3)	(x_4)

- MCS** predicate test: $\mathcal{W} \triangleq \mathcal{M} \setminus \{c_i\}$, $P(\mathcal{W}) \triangleq \text{SAT}(\mathcal{F} \setminus \mathcal{W})$

c_i	\mathcal{M}	$\mathcal{M} \setminus \{c_i\}$	$\mathcal{F} \setminus (\mathcal{M} \setminus \{c_i\})$	$P(\mathcal{W})$	Outcome
c_1	$c_1..c_7$	$c_2..c_7$	c_1	1	Drop c_1

Deletion – MCS example

c_1	c_2	c_3	c_4	c_5	c_6	c_7
$(\bar{x}_1 \vee \bar{x}_2)$	(x_1)	$(x_5 \vee x_6)$	$(\bar{x}_3 \vee \bar{x}_4)$	(x_2)	(x_3)	(x_4)

- MCS** predicate test: $\mathcal{W} \triangleq \mathcal{M} \setminus \{c_i\}$, $P(\mathcal{W}) \triangleq \text{SAT}(\mathcal{F} \setminus \mathcal{W})$

c_i	\mathcal{M}	$\mathcal{M} \setminus \{c_i\}$	$\mathcal{F} \setminus (\mathcal{M} \setminus \{c_i\})$	$P(\mathcal{W})$	Outcome
c_1	$c_1..c_7$	$c_2..c_7$	c_1	1	Drop c_1
c_2	$c_2..c_7$	$c_3..c_7$	c_1, c_2	1	Drop c_2

Deletion – MCS example

c_1	c_2	c_3	c_4	c_5	c_6	c_7
$(\bar{x}_1 \vee \bar{x}_2)$	(x_1)	$(x_5 \vee x_6)$	$(\bar{x}_3 \vee \bar{x}_4)$	(x_2)	(x_3)	(x_4)

- MCS** predicate test: $\mathcal{W} \triangleq \mathcal{M} \setminus \{c_i\}$, $P(\mathcal{W}) \triangleq \text{SAT}(\mathcal{F} \setminus \mathcal{W})$

c_i	\mathcal{M}	$\mathcal{M} \setminus \{c_i\}$	$\mathcal{F} \setminus (\mathcal{M} \setminus \{c_i\})$	$P(\mathcal{W})$	Outcome
c_1	$c_1..c_7$	$c_2..c_7$	c_1	1	Drop c_1
c_2	$c_2..c_7$	$c_3..c_7$	c_1, c_2	1	Drop c_2
c_3	$c_3..c_7$	$c_4..c_7$	$c_1..c_3$	1	Drop c_3

Deletion – MCS example

c_1	c_2	c_3	c_4	c_5	c_6	c_7
$(\bar{x}_1 \vee \bar{x}_2)$	(x_1)	$(x_5 \vee x_6)$	$(\bar{x}_3 \vee \bar{x}_4)$	(x_2)	(x_3)	(x_4)

- MCS** predicate test: $\mathcal{W} \triangleq \mathcal{M} \setminus \{c_i\}$, $P(\mathcal{W}) \triangleq \text{SAT}(\mathcal{F} \setminus \mathcal{W})$

c_i	\mathcal{M}	$\mathcal{M} \setminus \{c_i\}$	$\mathcal{F} \setminus (\mathcal{M} \setminus \{c_i\})$	$P(\mathcal{W})$	Outcome
c_1	$c_1..c_7$	$c_2..c_7$	c_1	1	Drop c_1
c_2	$c_2..c_7$	$c_3..c_7$	c_1, c_2	1	Drop c_2
c_3	$c_3..c_7$	$c_4..c_7$	$c_1..c_3$	1	Drop c_3
c_4	$c_4..c_7$	$c_5..c_7$	$c_1..c_4$	1	Drop c_4

Deletion – MCS example

c_1	c_2	c_3	c_4	c_5	c_6	c_7
$(\bar{x}_1 \vee \bar{x}_2)$	(x_1)	$(x_5 \vee x_6)$	$(\bar{x}_3 \vee \bar{x}_4)$	(x_2)	(x_3)	(x_4)

- MCS** predicate test: $\mathcal{W} \triangleq \mathcal{M} \setminus \{c_i\}$, $P(\mathcal{W}) \triangleq \text{SAT}(\mathcal{F} \setminus \mathcal{W})$

c_i	\mathcal{M}	$\mathcal{M} \setminus \{c_i\}$	$\mathcal{F} \setminus (\mathcal{M} \setminus \{c_i\})$	$P(\mathcal{W})$	Outcome
c_1	$c_1..c_7$	$c_2..c_7$	c_1	1	Drop c_1
c_2	$c_2..c_7$	$c_3..c_7$	c_1, c_2	1	Drop c_2
c_3	$c_3..c_7$	$c_4..c_7$	$c_1..c_3$	1	Drop c_3
c_4	$c_4..c_7$	$c_5..c_7$	$c_1..c_4$	1	Drop c_4
c_5	$c_5..c_7$	c_6, c_7	$c_1..c_5$	0	Keep c_5

Deletion – MCS example

c_1	c_2	c_3	c_4	c_5	c_6	c_7
$(\bar{x}_1 \vee \bar{x}_2)$	(x_1)	$(x_5 \vee x_6)$	$(\bar{x}_3 \vee \bar{x}_4)$	(x_2)	(x_3)	(x_4)

- MCS** predicate test: $\mathcal{W} \triangleq \mathcal{M} \setminus \{c_i\}$, $P(\mathcal{W}) \triangleq \text{SAT}(\mathcal{F} \setminus \mathcal{W})$

c_i	\mathcal{M}	$\mathcal{M} \setminus \{c_i\}$	$\mathcal{F} \setminus (\mathcal{M} \setminus \{c_i\})$	$P(\mathcal{W})$	Outcome
c_1	$c_1..c_7$	$c_2..c_7$	c_1	1	Drop c_1
c_2	$c_2..c_7$	$c_3..c_7$	c_1, c_2	1	Drop c_2
c_3	$c_3..c_7$	$c_4..c_7$	$c_1..c_3$	1	Drop c_3
c_4	$c_4..c_7$	$c_5..c_7$	$c_1..c_4$	1	Drop c_4
c_5	$c_5..c_7$	c_6, c_7	$c_1..c_5$	0	Keep c_5
c_6	c_5, c_6, c_7	c_5, c_7	$c_1..c_4, c_6$	1	Drop c_6

Deletion – MCS example

c_1	c_2	c_3	c_4	c_5	c_6	c_7
$(\bar{x}_1 \vee \bar{x}_2)$	(x_1)	$(x_5 \vee x_6)$	$(\bar{x}_3 \vee \bar{x}_4)$	(x_2)	(x_3)	(x_4)

- MCS** predicate test: $\mathcal{W} \triangleq \mathcal{M} \setminus \{c_i\}$, $P(\mathcal{W}) \triangleq \text{SAT}(\mathcal{F} \setminus \mathcal{W})$

c_i	\mathcal{M}	$\mathcal{M} \setminus \{c_i\}$	$\mathcal{F} \setminus (\mathcal{M} \setminus \{c_i\})$	$P(\mathcal{W})$	Outcome
c_1	$c_1..c_7$	$c_2..c_7$	c_1	1	Drop c_1
c_2	$c_2..c_7$	$c_3..c_7$	c_1, c_2	1	Drop c_2
c_3	$c_3..c_7$	$c_4..c_7$	$c_1..c_3$	1	Drop c_3
c_4	$c_4..c_7$	$c_5..c_7$	$c_1..c_4$	1	Drop c_4
c_5	$c_5..c_7$	c_6, c_7	$c_1..c_5$	0	Keep c_5
c_6	c_5, c_6, c_7	c_5, c_7	$c_1..c_4, c_6$	1	Drop c_6
c_7	c_5, c_7	c_5	$c_1..c_4, c_6, c_7$	0	Keep c_7

Deletion – MCS example

c_1	c_2	c_3	c_4	c_5	c_6	c_7
$(\bar{x}_1 \vee \bar{x}_2)$	(x_1)	$(x_5 \vee x_6)$	$(\bar{x}_3 \vee \bar{x}_4)$	(x_2)	(x_3)	(x_4)

- MCS** predicate test: $\mathcal{W} \triangleq \mathcal{M} \setminus \{c_i\}$, $P(\mathcal{W}) \triangleq \text{SAT}(\mathcal{F} \setminus \mathcal{W})$

c_i	\mathcal{M}	$\mathcal{M} \setminus \{c_i\}$	$\mathcal{F} \setminus (\mathcal{M} \setminus \{c_i\})$	$P(\mathcal{W})$	Outcome
c_1	$c_1..c_7$	$c_2..c_7$	c_1	1	Drop c_1
c_2	$c_2..c_7$	$c_3..c_7$	c_1, c_2	1	Drop c_2
c_3	$c_3..c_7$	$c_4..c_7$	$c_1..c_3$	1	Drop c_3
c_4	$c_4..c_7$	$c_5..c_7$	$c_1..c_4$	1	Drop c_4
c_5	$c_5..c_7$	c_6, c_7	$c_1..c_5$	0	Keep c_5
c_6	c_5, c_6, c_7	c_5, c_7	$c_1..c_4, c_6$	1	Drop c_6
c_7	c_5, c_7	c_5	$c_1..c_4, c_6, c_7$	0	Keep c_7

- MCS: $\{c_5, c_7\}$

Deletion – MCS example

c_1	c_2	c_3	c_4	c_5	c_6	c_7
$(\bar{x}_1 \vee \bar{x}_2)$	(x_1)	$(x_5 \vee x_6)$	$(\bar{x}_3 \vee \bar{x}_4)$	(x_2)	(x_3)	(x_4)

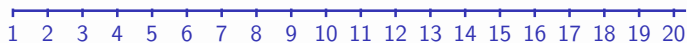
- MCS** predicate test: $\mathcal{W} \triangleq \mathcal{M} \setminus \{c_i\}$, $P(\mathcal{W}) \triangleq \text{SAT}(\mathcal{F} \setminus \mathcal{W})$

c_i	\mathcal{M}	$\mathcal{M} \setminus \{c_i\}$	$\mathcal{F} \setminus (\mathcal{M} \setminus \{c_i\})$	$P(\mathcal{W})$	Outcome
c_1	$c_1..c_7$	$c_2..c_7$	c_1	1	Drop c_1
c_2	$c_2..c_7$	$c_3..c_7$	c_1, c_2	1	Drop c_2
c_3	$c_3..c_7$	$c_4..c_7$	$c_1..c_3$	1	Drop c_3
c_4	$c_4..c_7$	$c_5..c_7$	$c_1..c_4$	1	Drop c_4
c_5	$c_5..c_7$	c_6, c_7	$c_1..c_5$	0	Keep c_5
c_6	c_5, c_6, c_7	c_5, c_7	$c_1..c_4, c_6$	1	Drop c_6
c_7	c_5, c_7	c_5	$c_1..c_4, c_6, c_7$	0	Keep c_7

- MCS:** $\{c_5, c_7\}$

Compare with std MSS grow procedure!

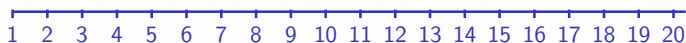
From deletion to progression



Deletion

- **Deletion**: Check (& remove?) one element at a time

From deletion to progression



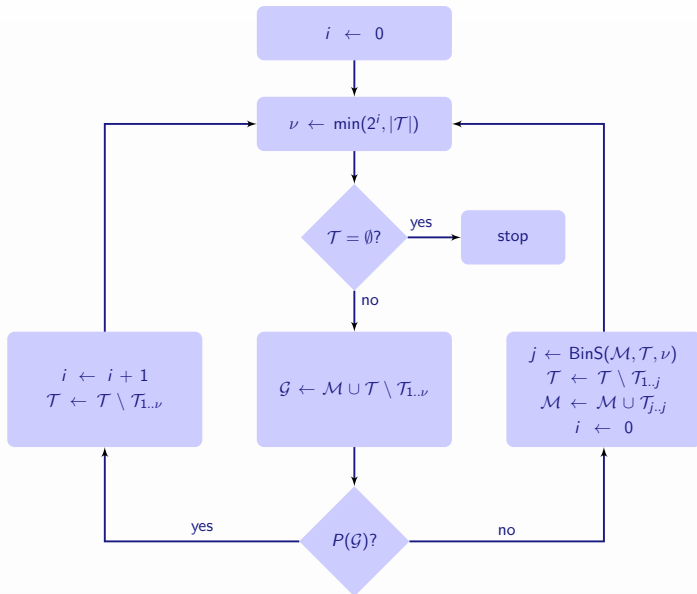
Deletion



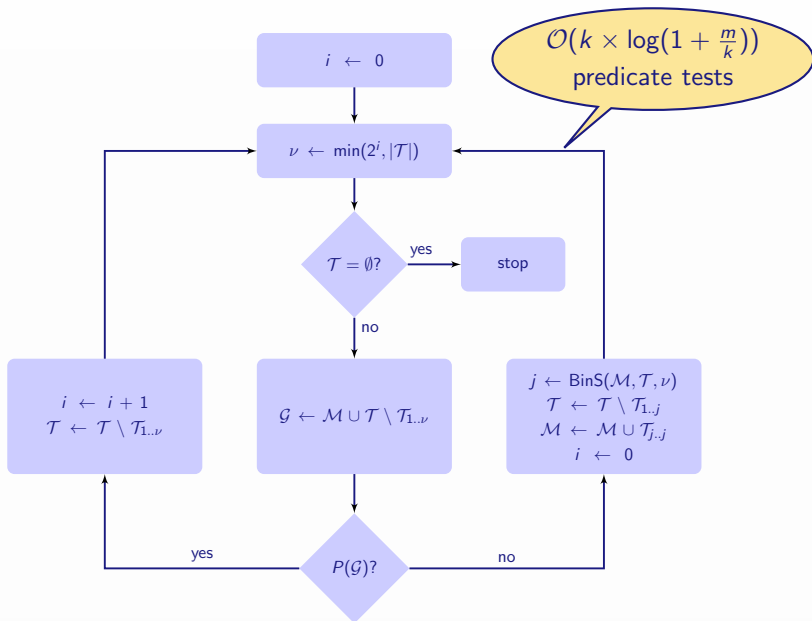
Progression

- **Deletion**: Check (& remove?) one element at a time
 - Pick set of elements given by **arithmetic** progression
- **Progression**: Check (& remove) **exponentially growing set of elements**
 - Pick set of elements given by **geometric** progression

Progression algorithm



Progression algorithm



Progression – MUS example

c_1	c_2	c_3	c_4	c_5	c_6	c_7
$(\bar{x}_1 \vee \bar{x}_2)$	(x_1)	$(x_5 \vee x_6)$	$(\bar{x}_3 \vee \bar{x}_4)$	(x_2)	(x_3)	(x_4)

- MUS** predicate test: $\mathcal{W} \triangleq \mathcal{M} \cup \mathcal{T} \setminus \mathcal{T}_{1..\nu}$, $P(\mathcal{W}) \triangleq \neg \text{SAT}(\mathcal{W})$

i	$\nu = \min(2^i, \mathcal{T})$	\mathcal{M}	\mathcal{T}	$\mathcal{T} \setminus \mathcal{T}_{1..\nu}$	$P(\mathcal{W})$	BinSearch
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Progression – MUS example

c_1	c_2	c_3	c_4	c_5	c_6	c_7
$(\bar{x}_1 \vee \bar{x}_2)$	(x_1)	$(x_5 \vee x_6)$	$(\bar{x}_3 \vee \bar{x}_4)$	(x_2)	(x_3)	(x_4)

- MUS** predicate test: $\mathcal{W} \triangleq \mathcal{M} \cup \mathcal{T} \setminus \mathcal{T}_{1..\nu}$, $P(\mathcal{W}) \triangleq \neg \text{SAT}(\mathcal{W})$

i	$\nu = \min(2^i, \mathcal{T})$	\mathcal{M}	\mathcal{T}	$\mathcal{T} \setminus \mathcal{T}_{1..\nu}$	$P(\mathcal{W})$	BinSearch
0	1	\emptyset	$c_1..c_7$	$c_2..c_7$	1	–

Progression – MUS example

c_1	c_2	c_3	c_4	c_5	c_6	c_7
$(\bar{x}_1 \vee \bar{x}_2)$	(x_1)	$(x_5 \vee x_6)$	$(\bar{x}_3 \vee \bar{x}_4)$	(x_2)	(x_3)	(x_4)

- MUS** predicate test: $\mathcal{W} \triangleq \mathcal{M} \cup \mathcal{T} \setminus \mathcal{T}_{1..\nu}$, $P(\mathcal{W}) \triangleq \neg \text{SAT}(\mathcal{W})$

i	$\nu = \min(2^i, \mathcal{T})$	\mathcal{M}	\mathcal{T}	$\mathcal{T} \setminus \mathcal{T}_{1..\nu}$	$P(\mathcal{W})$	BinSearch
0	1	\emptyset	$c_1..c_7$	$c_2..c_7$	1	–
1	2	\emptyset	$c_2..c_7$	$c_4..c_7$	1	–

Progression – MUS example

c_1	c_2	c_3	c_4	c_5	c_6	c_7
$(\bar{x}_1 \vee \bar{x}_2)$	(x_1)	$(x_5 \vee x_6)$	$(\bar{x}_3 \vee \bar{x}_4)$	(x_2)	(x_3)	(x_4)

- MUS** predicate test: $\mathcal{W} \triangleq \mathcal{M} \cup \mathcal{T} \setminus \mathcal{T}_{1..\nu}$, $P(\mathcal{W}) \triangleq \neg \text{SAT}(\mathcal{W})$

i	$\nu = \min(2^i, \mathcal{T})$	\mathcal{M}	\mathcal{T}	$\mathcal{T} \setminus \mathcal{T}_{1..\nu}$	$P(\mathcal{W})$	BinSearch
0	1	\emptyset	$c_1..c_7$	$c_2..c_7$	1	–
1	2	\emptyset	$c_2..c_7$	$c_4..c_7$	1	–
2	4	\emptyset	$c_4..c_7$	\emptyset	0	c_4

Progression – MUS example

c_1	c_2	c_3	c_4	c_5	c_6	c_7
$(\bar{x}_1 \vee \bar{x}_2)$	(x_1)	$(x_5 \vee x_6)$	$(\bar{x}_3 \vee \bar{x}_4)$	(x_2)	(x_3)	(x_4)

- MUS** predicate test: $\mathcal{W} \triangleq \mathcal{M} \cup \mathcal{T} \setminus \mathcal{T}_{1..\nu}$, $P(\mathcal{W}) \triangleq \neg \text{SAT}(\mathcal{W})$

i	$\nu = \min(2^i, \mathcal{T})$	\mathcal{M}	\mathcal{T}	$\mathcal{T} \setminus \mathcal{T}_{1..\nu}$	$P(\mathcal{W})$	BinSearch
0	1	\emptyset	$c_1..c_7$	$c_2..c_7$	1	—
1	2	\emptyset	$c_2..c_7$	$c_4..c_7$	1	—
2	4	\emptyset	$c_4..c_7$	\emptyset	0	c_4
0	1	c_4	$c_5..c_7$	$c_6..c_7$	1	—

Progression – MUS example

c_1	c_2	c_3	c_4	c_5	c_6	c_7
$(\bar{x}_1 \vee \bar{x}_2)$	(x_1)	$(x_5 \vee x_6)$	$(\bar{x}_3 \vee \bar{x}_4)$	(x_2)	(x_3)	(x_4)

- MUS** predicate test: $\mathcal{W} \triangleq \mathcal{M} \cup \mathcal{T} \setminus \mathcal{T}_{1..\nu}$, $P(\mathcal{W}) \triangleq \neg \text{SAT}(\mathcal{W})$

i	$\nu = \min(2^i, \mathcal{T})$	\mathcal{M}	\mathcal{T}	$\mathcal{T} \setminus \mathcal{T}_{1..\nu}$	$P(\mathcal{W})$	BinSearch
0	1	\emptyset	$c_1..c_7$	$c_2..c_7$	1	—
1	2	\emptyset	$c_2..c_7$	$c_4..c_7$	1	—
2	4	\emptyset	$c_4..c_7$	\emptyset	0	c_4
0	1	c_4	$c_5..c_7$	$c_6..c_7$	1	—
1	2	c_4	$c_6..c_7$	\emptyset	0	c_6

Progression – MUS example

c_1	c_2	c_3	c_4	c_5	c_6	c_7
$(\bar{x}_1 \vee \bar{x}_2)$	(x_1)	$(x_5 \vee x_6)$	$(\bar{x}_3 \vee \bar{x}_4)$	(x_2)	(x_3)	(x_4)

- MUS** predicate test: $\mathcal{W} \triangleq \mathcal{M} \cup \mathcal{T} \setminus \mathcal{T}_{1..\nu}$, $P(\mathcal{W}) \triangleq \neg \text{SAT}(\mathcal{W})$

i	$\nu = \min(2^i, \mathcal{T})$	\mathcal{M}	\mathcal{T}	$\mathcal{T} \setminus \mathcal{T}_{1..\nu}$	$P(\mathcal{W})$	BinSearch
0	1	\emptyset	$c_1..c_7$	$c_2..c_7$	1	—
1	2	\emptyset	$c_2..c_7$	$c_4..c_7$	1	—
2	4	\emptyset	$c_4..c_7$	\emptyset	0	c_4
0	1	c_4	$c_5..c_7$	$c_6..c_7$	1	—
1	2	c_4	$c_6..c_7$	\emptyset	0	c_6
0	1	c_4, c_6	c_7	\emptyset	0	c_7

Progression – MUS example

c_1	c_2	c_3	c_4	c_5	c_6	c_7
$(\bar{x}_1 \vee \bar{x}_2)$	(x_1)	$(x_5 \vee x_6)$	$(\bar{x}_3 \vee \bar{x}_4)$	(x_2)	(x_3)	(x_4)

- MUS** predicate test: $\mathcal{W} \triangleq \mathcal{M} \cup \mathcal{T} \setminus \mathcal{T}_{1..\nu}$, $P(\mathcal{W}) \triangleq \neg \text{SAT}(\mathcal{W})$

i	$\nu = \min(2^i, \mathcal{T})$	\mathcal{M}	\mathcal{T}	$\mathcal{T} \setminus \mathcal{T}_{1..\nu}$	$P(\mathcal{W})$	BinSearch
0	1	\emptyset	$c_1..c_7$	$c_2..c_7$	1	—
1	2	\emptyset	$c_2..c_7$	$c_4..c_7$	1	—
2	4	\emptyset	$c_4..c_7$	\emptyset	0	c_4
0	1	c_4	$c_5..c_7$	$c_6..c_7$	1	—
1	2	c_4	$c_6..c_7$	\emptyset	0	c_6
0	1	c_4, c_6	c_7	\emptyset	0	c_7
0	—	c_4, c_6, c_7	\emptyset	—	—	—

Progression – MUS example

c_1	c_2	c_3	c_4	c_5	c_6	c_7
$(\bar{x}_1 \vee \bar{x}_2)$	(x_1)	$(x_5 \vee x_6)$	$(\bar{x}_3 \vee \bar{x}_4)$	(x_2)	(x_3)	(x_4)

- MUS** predicate test: $\mathcal{W} \triangleq \mathcal{M} \cup \mathcal{T} \setminus \mathcal{T}_{1..\nu}$, $P(\mathcal{W}) \triangleq \neg \text{SAT}(\mathcal{W})$

i	$\nu = \min(2^i, \mathcal{T})$	\mathcal{M}	\mathcal{T}	$\mathcal{T} \setminus \mathcal{T}_{1..\nu}$	$P(\mathcal{W})$	BinSearch
0	1	\emptyset	$c_1..c_7$	$c_2..c_7$	1	—
1	2	\emptyset	$c_2..c_7$	$c_4..c_7$	1	—
2	4	\emptyset	$c_4..c_7$	\emptyset	0	c_4
0	1	c_4	$c_5..c_7$	$c_6..c_7$	1	—
1	2	c_4	$c_6..c_7$	\emptyset	0	c_6
0	1	c_4, c_6	c_7	\emptyset	0	c_7
0	—	c_4, c_6, c_7	\emptyset	—	—	—

- MUS:** $\{c_4, c_6, c_7\}$

Progression – MUS example

c_1	c_2	c_3	c_4	c_5	c_6	c_7
$(\bar{x}_1 \vee \bar{x}_2)$	(x_1)	$(x_5 \vee x_6)$	$(\bar{x}_3 \vee \bar{x}_4)$	(x_2)	(x_3)	(x_4)

- MUS** predicate test: $\mathcal{W} \triangleq \mathcal{M} \cup \mathcal{T} \setminus \mathcal{T}_{1..\nu}$, $P(\mathcal{W}) \triangleq \neg \text{SAT}(\mathcal{W})$

i	$\nu = \min(2^i, \mathcal{T})$	\mathcal{M}	\mathcal{T}	$\mathcal{T} \setminus \mathcal{T}_{1..\nu}$	$P(\mathcal{W})$	BinSearch
0	1	\emptyset	$c_1..c_7$	$c_2..c_7$	1	—
1	2	\emptyset	$c_2..c_7$	$c_4..c_7$	1	—
2	4	\emptyset	$c_4..c_7$	\emptyset	0	c_4
0	1	c_4	$c_5..c_7$	$c_6..c_7$	1	—
1	2	c_4	$c_6..c_7$	\emptyset	0	c_6
0	1	c_4, c_6	c_7	\emptyset	0	c_7
0	—	c_4, c_6, c_7	\emptyset	—	—	—

- MUS:** $\{c_4, c_6, c_7\}$

BinSearch gets
elements of \mathcal{M}

Progression – MCS example

c_1	c_2	c_3	c_4	c_5	c_6	c_7
$(\bar{x}_1 \vee \bar{x}_2)$	(x_1)	$(x_5 \vee x_6)$	$(\bar{x}_3 \vee \bar{x}_4)$	(x_2)	(x_3)	(x_4)

- MCS** predicate test: $\mathcal{W} \triangleq \mathcal{M} \cup \mathcal{T} \setminus \mathcal{T}_{1..\nu}$, $P(\mathcal{W}) \triangleq \text{SAT}(\mathcal{F} \setminus \mathcal{W})$

i	$\nu = (\cdot)$	\mathcal{M}	\mathcal{T}	$\mathcal{F} \setminus (\mathcal{M} \cup \mathcal{T} \setminus \mathcal{T}_{1..\nu})$	$P(\mathcal{W})$	BinSearch
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Progression – MCS example

c_1	c_2	c_3	c_4	c_5	c_6	c_7
$(\bar{x}_1 \vee \bar{x}_2)$	(x_1)	$(x_5 \vee x_6)$	$(\bar{x}_3 \vee \bar{x}_4)$	(x_2)	(x_3)	(x_4)

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i	$\nu = (\cdot)$	\mathcal{M}	\mathcal{T}	$\mathcal{F} \setminus (\mathcal{M} \cup \mathcal{T} \setminus \mathcal{T}_{1..\nu})$	$P(\mathcal{W})$	BinSearch
0	1	\emptyset	$c_1..c_7$	c_1	1	–

Progression – MCS example

c_1	c_2	c_3	c_4	c_5	c_6	c_7
$(\bar{x}_1 \vee \bar{x}_2)$	(x_1)	$(x_5 \vee x_6)$	$(\bar{x}_3 \vee \bar{x}_4)$	(x_2)	(x_3)	(x_4)

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1	2	\emptyset	$c_2..c_7$	c_1, c_2, c_3	1	–
2	4	\emptyset	$c_4..c_7$	$c_1..c_7$	0	c_5

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0	1	c_5	$c_6..c_7$	$c_1..c_4, c_6$	1	–

Progression – MCS example

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1	1	c_5	c_7	$c_1..c_4, c_6, c_7$	0	c_7

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0	1	c_5	$c_6..c_7$	$c_1..c_4, c_6$	1	–
1	1	c_5	c_7	$c_1..c_4, c_6, c_7$	0	c_7
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0	1	c_5	$c_6..c_7$	$c_1..c_4, c_6$	1	–
1	1	c_5	c_7	$c_1..c_4, c_6, c_7$	0	c_7
0	–	c_5, c_7	\emptyset	–	–	–

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1	1	c_5	c_7	$c_1..c_4, c_6, c_7$	0	c_7
0	–	c_5, c_7	\emptyset	–	–	–

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Outline

Revisit MUSes

Minimal Sets

Query Complexity

Conclusions

Oracles and query complexity

- Disclaimer: Ongoing work; comments welcome!

Oracles and query complexity

- NP oracles vs. witness oracles
 - Given instance:

	NP oracle	witness oracle
Accepts(Y) / Rejects(N)	✓	✓
Returns poly-size Y witness	✗	✓

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- SAT solvers produce **witnesses** for **Y** outcomes

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- Some complexity classes for **function problems**:

[e.g. P94,BKT93,JT95]

NP oracles	$\text{FP}^{\text{NP}}[\log n] \subseteq \text{FP}_{ }^{\text{NP}} \subseteq \text{FP}^{\text{NP}}$
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Preliminary results

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Best: $\mathcal{O}(V - B)$
calls [ZWSM11]

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Problem	NP Oracles	SAT Oracles
MCS		

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$\text{FP}^{\text{NP}}[\log n]$ if
goal is number

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- **Why?**

“Easier” than computing SAT witness!

Outline

Revisit MUSes

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Conclusions

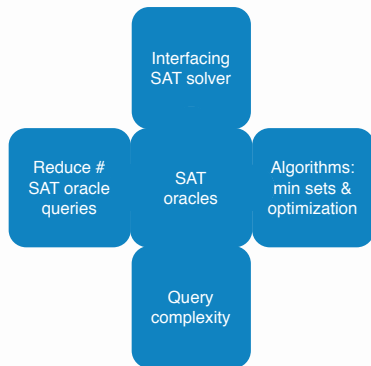
- Significant progress in SAT-based (function) problem solving
 - MUSes, MCSes, MaxSAT, MinSAT, backbones, autarkies, minimal models, prime implicants & implicants
 - But also, MESes, MFSeS, etc. etc.
- Categorized function problems on Boolean formulas:
 - Optimization problems
 - Computation of minimal sets
- Introduced the MSMP problem
 - Framework for reasoning about (many) minimal sets problems
- Overviewed algorithms for optimization problems and for minimal set computation
 - E.g. refine UB, refine LB, binary search, core-guided, etc.
 - Insertion, Deletion, Dichotomic, QuickXplain, Progression
- Developed some preliminary query complexity results with witness oracles
 - MCSes, Backbones, MUS_{#1}

Research directions

- New minimal set problems?
 - And new optimization problems?
- New algorithms?
- New pruning techniques?
- New implementation techniques?
 - How about preprocessing ?
 - How about parallelization ?
- Query complexity results?
 - Also, FPT reductions to SAT?
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- Introduce new variable $z_i \leftrightarrow (\mathcal{F}[X/Y_i] \wedge (y_i \leftrightarrow \neg\nu(x_i)))$

Backbones — proof sketch

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SAT-Based Problem Solving

Lecture #3:

Solving Optimization Problems with SAT Oracles

Joao Marques-Silva^{1,2}

¹University College Dublin, Ireland

²IST/INESC-ID, Lisbon, Portugal

University of Calabria, Italy

February 2015

Part IV

Computing Minimal Cardinality Sets

Maximum satisfiability

$$x_6 \vee x_2$$

$$\neg x_6 \vee x_2$$

$$\neg x_2 \vee x_1$$

$$\neg x_1$$

$$\neg x_6 \vee x_8$$

$$x_6 \vee \neg x_8$$

$$x_2 \vee x_4$$

$$\neg x_4 \vee x_5$$

$$x_7 \vee x_5$$

$$\neg x_7 \vee x_5$$

$$\neg x_5 \vee x_3$$

$$\neg x_3$$

- **Unsatisfiable** formula

Maximum satisfiability

$x_6 \vee x_2$	$\neg x_6 \vee x_2$	$\neg x_2 \vee x_1$	$\neg x_1$
$\neg x_6 \vee x_8$	$x_6 \vee \neg x_8$	$x_2 \vee x_4$	$\neg x_4 \vee x_5$
$x_7 \vee x_5$	$\neg x_7 \vee x_5$	$\neg x_5 \vee x_3$	$\neg x_3$

- **Unsatisfiable** formula
- Find **largest** subset of clauses that is satisfiable

Maximum satisfiability

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$$\neg x_2 \vee x_1$$

$$\neg x_1$$

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$$\neg x_4 \vee x_5$$

$$x_7 \vee x_5$$

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$$\neg x_5 \vee x_3$$

$$\neg x_3$$

- **Unsatisfiable** formula
- Find **largest** subset of clauses that is satisfiable
- Recap:
A **Minimal Correction Subset** (**MCS**) is an irreducible relaxation of the formula

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- **Unsatisfiable** formula
- Find **largest** subset of clauses that is satisfiable
- Recap:
A **Minimal Correction Subset** (**MCS**) is an irreducible relaxation of the formula
- The MaxSAT solution is one of the **smallest** MCSes

MaxSAT problem(s)

		Hard Clauses?	
		No	Yes
Weights?	No		
	Yes		

MaxSAT problem(s)

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		No	Yes
Weights?	No	Plain	Partial
	Yes	Weighted	Weighted Partial

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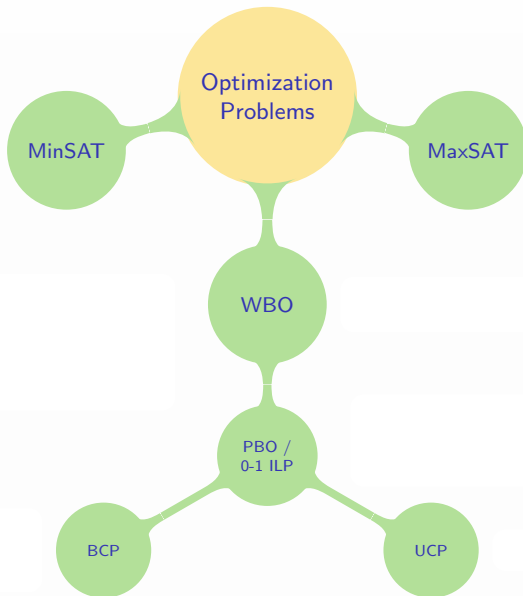
- **Must** satisfy **hard** clauses, if any
- Compute set of satisfied **soft** clauses with **maximum cost**
 - Without weights, cost of each falsified soft clause is 1
- **Or**, compute set of falsified **soft** clauses with **minimum cost** (s.t. **hard** & remaining **soft** clauses are satisfied)

MaxSAT problem(s)

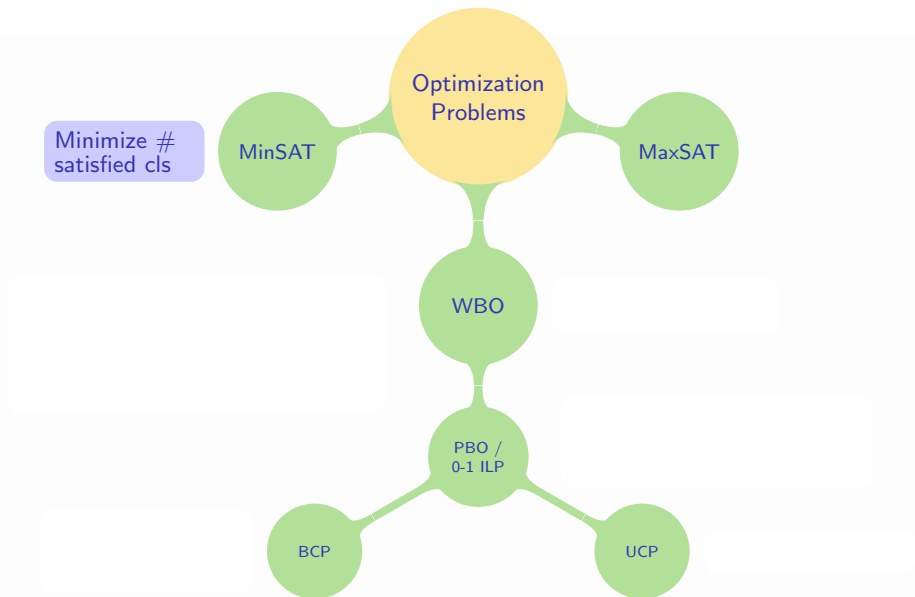
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- **Or**, compute set of falsified **soft** clauses with **minimum cost** (s.t. **hard** & remaining **soft** clauses are satisfied)
- **Note**: goal is to compute **set** of satisfied (or falsified) clauses; **not** just the cost !

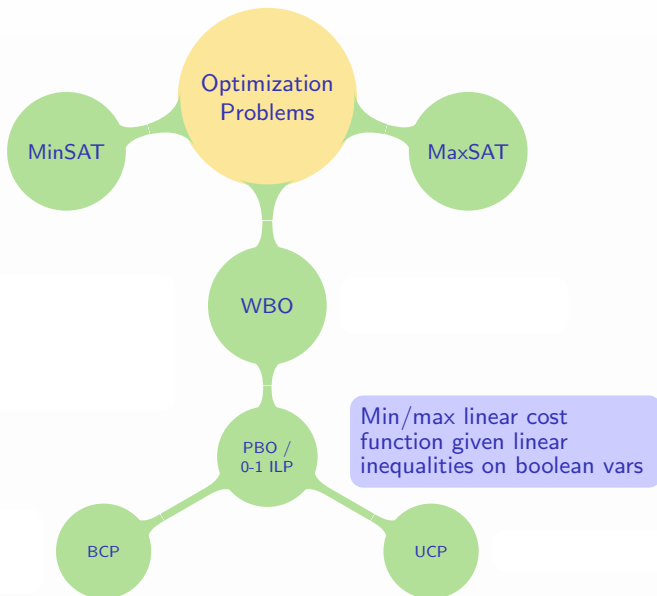
Other optimization problems (on Boolean variables)



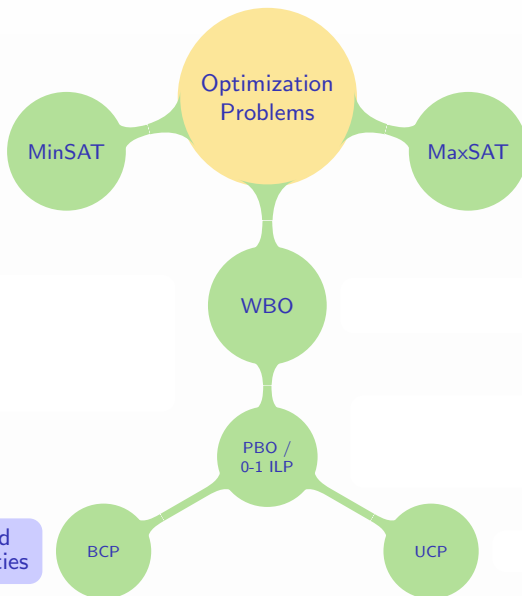
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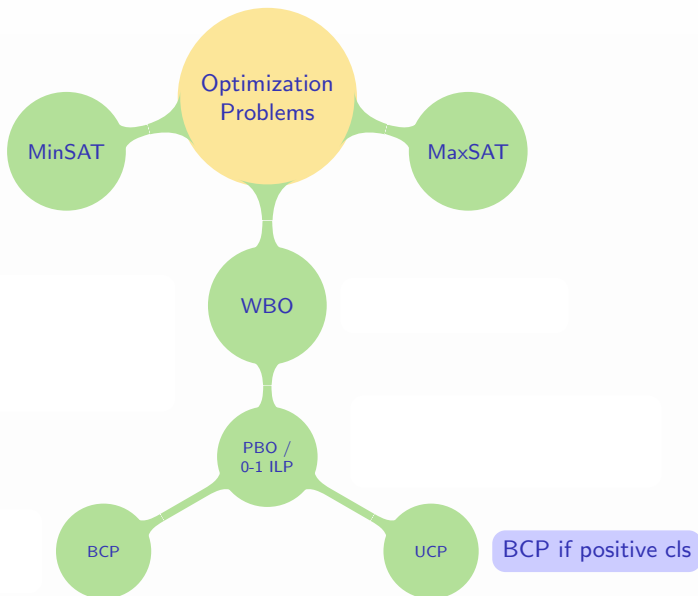


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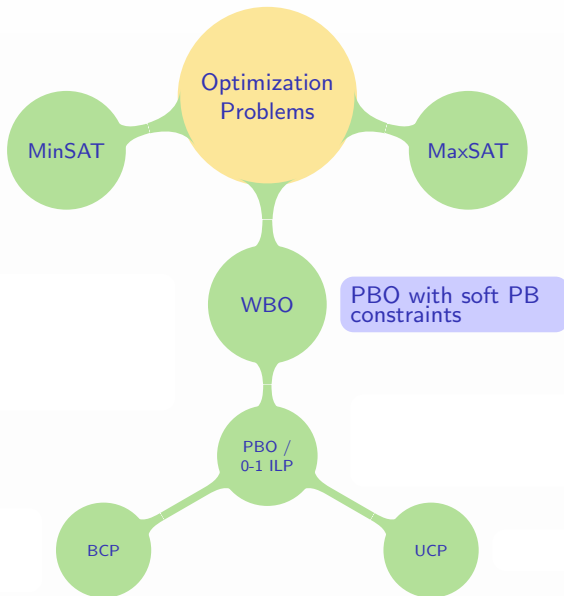


PBO if cls instead
of linear inequalities

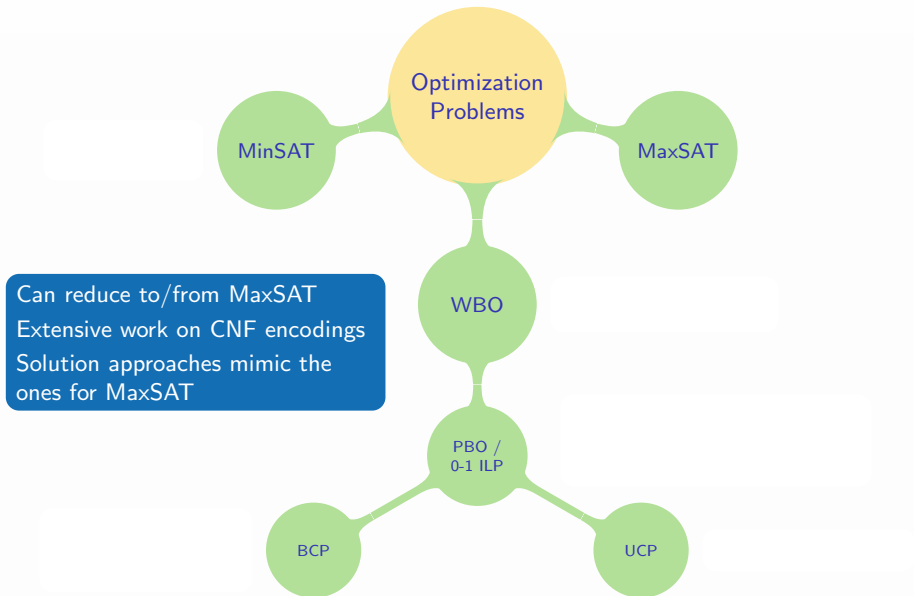
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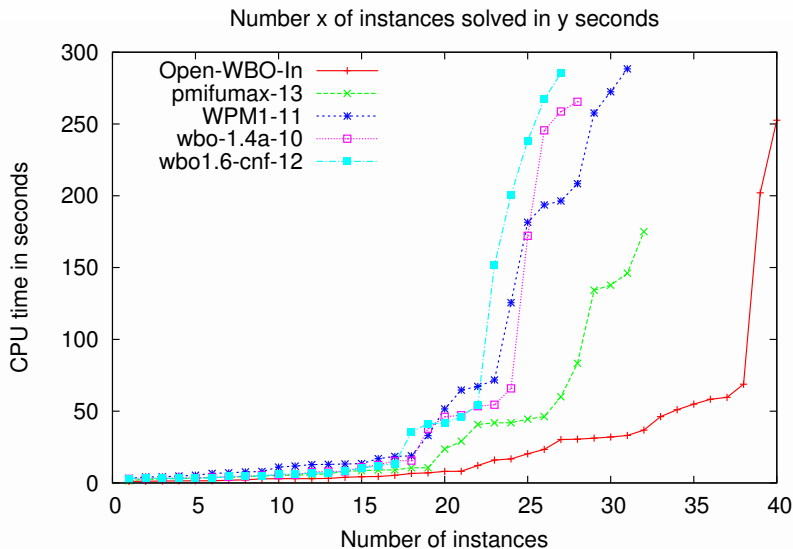


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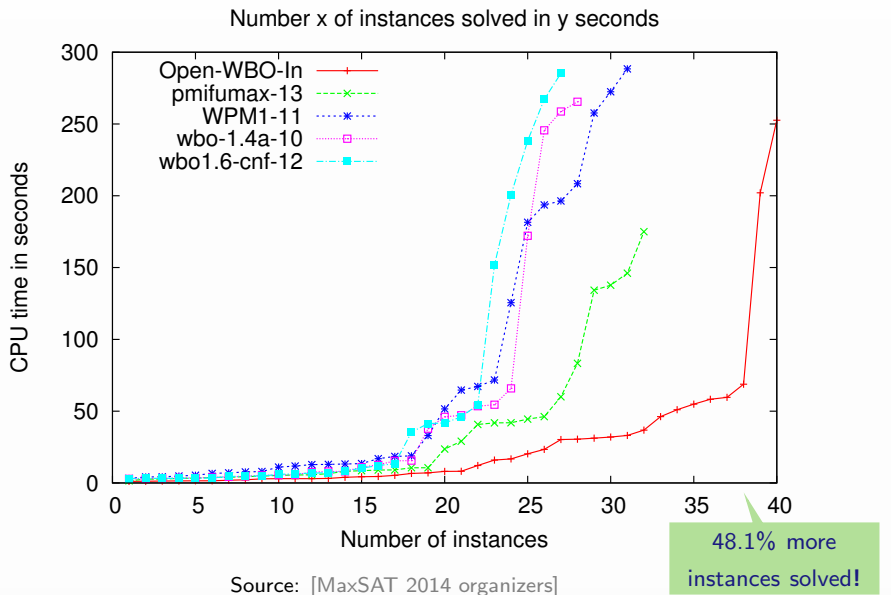
The MaxSAT (r)evolution

The MaxSAT (r)evolution – plain industrial instances

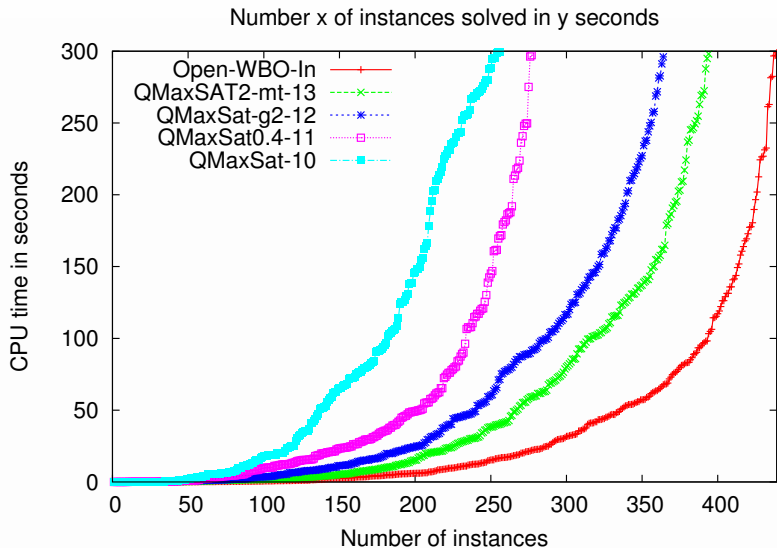


Source: [MaxSAT 2014 organizers]

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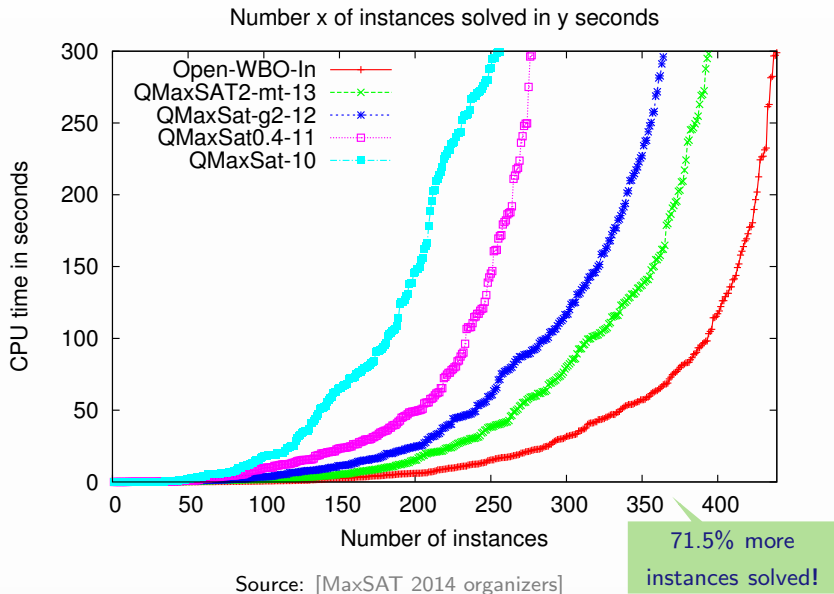


The MaxSAT (r)evolution – partial

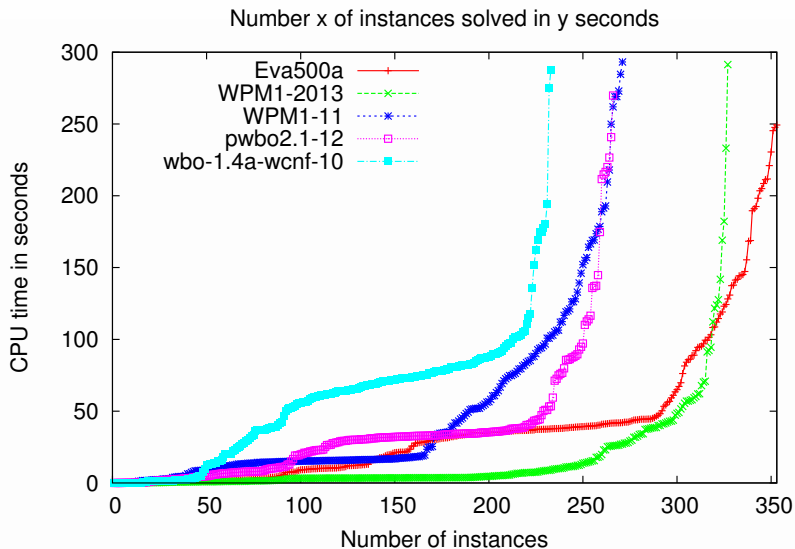


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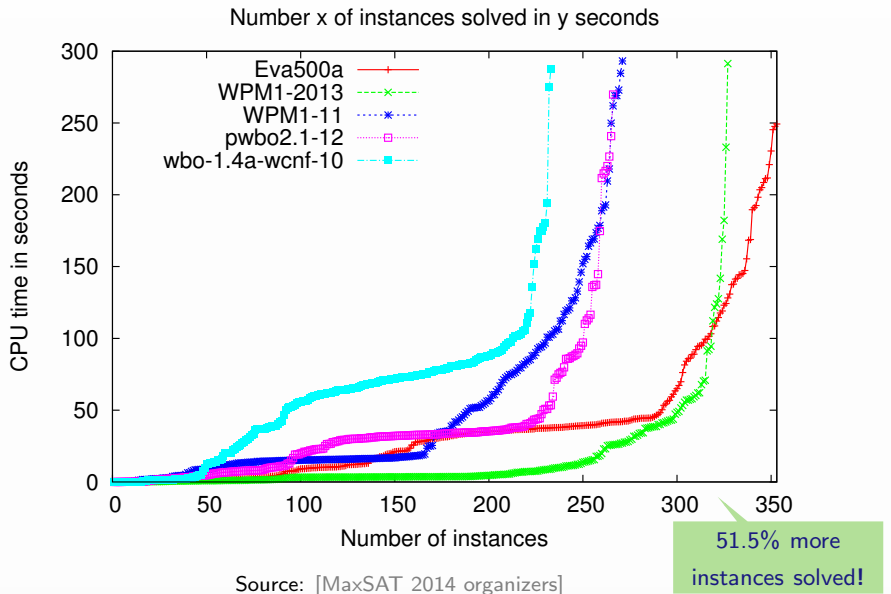


The MaxSAT (r)evolution – weighted partial

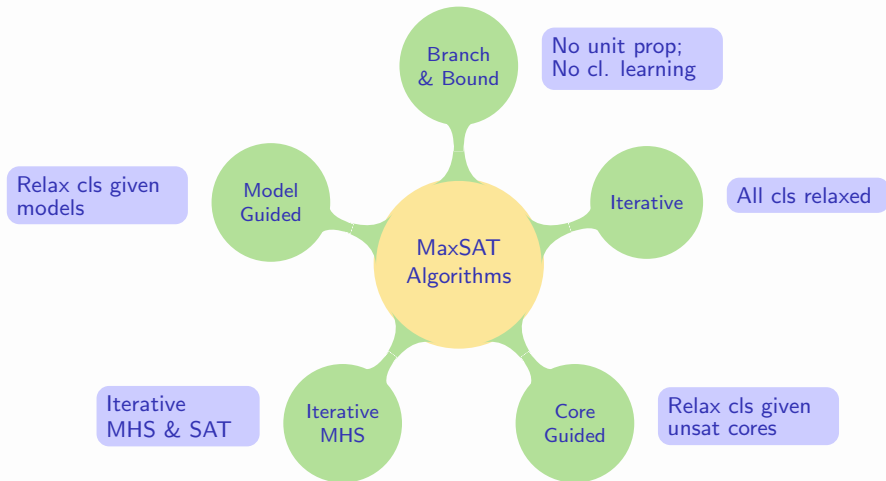


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The MaxSAT (r)evolution – weighted partial



Many MaxSAT algorithms



More on MaxSAT algorithms

- Iterative: [MHLPMS13]
 - Linear search SAT/UNSAT (refine UB) [e.g. LBP10]
 - Linear search UNSAT/SAT (refine LB)
 - Binary search [e.g. FM06]
 - Bit-based
 - Mixed linear/binary search [e.g. KZFH12]
- Core-guided: [MHLPMS13,ABL13]
 - FM/(W)MSU1.X/WPM1 [FM06,MSM08,MMSP09,ABL09a,ABGL12]
 - (W)MSU3 [MSP07]
 - (W)MSU4 [MSP08]
 - (W)PM2 [ABL09a,ABL09b,ABL10,ABGL13]
 - Core-guided binary search (w/ disjoint cores) [HMMS11,MHMS12]
 - ▶ Bin-Core, Bin-Core-Dis, Bin-Core-Dis2
- Iterative minimal hitting set (MHS) computation [DB11,DB13a,DB13b]
- Model guided approaches [HMPMS12]
- Branch & bound search [HJ90,LM09]

More on MaxSAT algorithms – somewhat out of date

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Recap last lectures

- CNF encodings of cardinality and PB constraints
 - AtMost1, AtMost k , etc.
- SAT oracle: black-box use of SAT solver
 - Witness for **Y** outcomes
 - **And** unsatisfiable core of **N** outcomes
 - ▶ **Note**: can be the complete set of soft clauses
- But also, binary search, progression, etc.

Outline

Example Applications

Iterative MaxSAT

Core-Guided MaxSAT

Our Recent Work

Some Results

Conclusions

Outline

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Our Recent Work

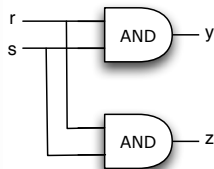
Some Results

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Design debugging

[SMVLS'07]

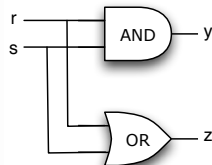
Correct circuit



Input stimuli: $\langle r, s \rangle = \langle 0, 1 \rangle$

Valid output: $\langle y, z \rangle = \langle 0, 0 \rangle$

Faulty circuit



Input stimuli: $\langle r, s \rangle = \langle 0, 1 \rangle$

Invalid output: $\langle y, z \rangle = \langle 0, 0 \rangle$

- The model:
 - Hard clauses: Input and output values
 - Soft clauses: CNF representation of circuit
- The problem:
 - Maximize number of satisfied clauses (i.e. circuit gates)

Software package upgrades with MaxSAT

[MBCV'06, TSJL'07, AL'08, ALMS'09, ALBL'10]

- Universe of software packages: $\{p_1, \dots, p_n\}$
- Associate x_i with p_i : $x_i = 1$ iff p_i is installed
- Constraints associated with package p_i : (p_i, D_i, C_i)
 - D_i : dependencies (required packages) for installing p_i
 - C_i : conflicts (disallowed packages) for installing p_i
- Example problem: Maximum Installability
 - Maximum number of packages that can be installed
 - Package constraints represent hard clauses
 - Soft clauses: (x_i)

Package constraints:

$(p_1, \{p_2 \vee p_3\}, \{p_4\})$

$(p_2, \{p_3\}, \{p_4\})$

$(p_3, \{p_2\}, \emptyset)$

$(p_4, \{p_2, p_3\}, \emptyset)$

Software package upgrades with MaxSAT

[MBCV'06, TSJL'07, AL'08, ALMS'09, ABL'10]

- Universe of software packages: $\{p_1, \dots, p_n\}$
- Associate x_i with p_i : $x_i = 1$ iff p_i is installed
- Constraints associated with package p_i : (p_i, D_i, C_i)
 - D_i : dependencies (required packages) for installing p_i
 - C_i : conflicts (disallowed packages) for installing p_i
- Example problem: Maximum Installability
 - Maximum number of packages that can be installed
 - Package constraints represent hard clauses
 - Soft clauses: (x_i)

Package constraints:

$(p_1, \{p_2 \vee p_3\}, \{p_4\})$
 $(p_2, \{p_3\}, \{p_4\})$
 $(p_3, \{p_2\}, \emptyset)$
 $(p_4, \{p_2, p_3\}, \emptyset)$

MaxSAT formulation:

$\varphi_H = \{(\neg x_1 \vee x_2 \vee x_3), (\neg x_1 \vee \neg x_4),$
 $(\neg x_2 \vee x_3), (\neg x_2 \vee \neg x_4), (\neg x_3 \vee x_2),$
 $(\neg x_4 \vee x_2), (\neg x_4 \vee x_3)\}$
 $\varphi_S = \{(x_1), (x_2), (x_3), (x_4)\}$

Key engine for MUS enumeration

- **MUS**: irreducible unsatisfiable set of clauses
 - **MCS**: irreducible set of clauses such that complement is satisfiable
 - **MSS**: subset maximal satisfiable set of clauses

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[ALS'08,BSW'03]

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- How to enumerate MUSes? [E.g. LS'08]
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 - ▶ An MUS is an irreducible hitting set of a formula's MCSes
 - ▶ An MCS is an irreducible hitting set of a formula's MUSes
 - Can enumerate MCSes and then use them to compute MUSes

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 - Can enumerate MCSes and then use them to compute MUSes
 - Use **MaxSAT** enumeration for computing **all** MSSes

Many other applications – recap

- Error localization in C code [JM'11]
- Haplotyping with pedigrees [GLMSO'10]
- Course timetabling [AN'10]
- Combinatorial auctions [HLGS'08]
- Minimizing Disclosure of Private Information in Credential-Based Interactions [AVFPS'10]
- Reasoning over Biological Networks [GL'12]
- Binate/unate covering
 - Haplotype inference [GMSLO'11]
 - Digital filter design [ACFM'08]
 - FSM synthesis [e.g. HS'96]
 - Logic minimization [e.g. HS'96]
 - ...
- ...

Outline

Example Applications

Iterative MaxSAT

Core-Guided MaxSAT

Our Recent Work

Some Results

Conclusions

MaxSAT with iterative SAT solving – definitions

- Cost of assignment:
 - Sum of weights of falsified clauses

MaxSAT with iterative SAT solving – definitions

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MaxSAT with iterative SAT solving – definitions

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 - Assignment with **minimum** cost
- Upper Bound (UB):
 - Assignment with cost \geq OPT
 - E.g. $\sum_{c_j \in \varphi} w_j + 1$; hard clauses may be inconsistent
- Lower Bound (LB):
 - No assignment with cost \leq LB
 - E.g. -1 ; it may be possible to satisfy all soft clauses

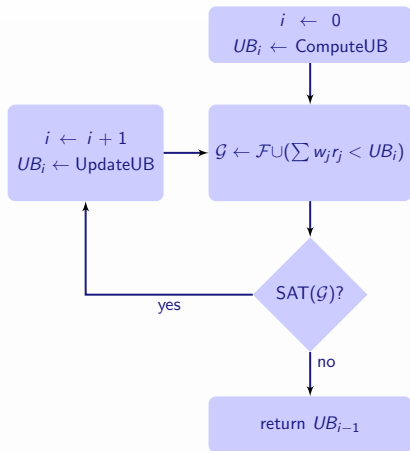
MaxSAT with iterative SAT solving – definitions

- Cost of assignment:
 - Sum of weights of **falsified** clauses

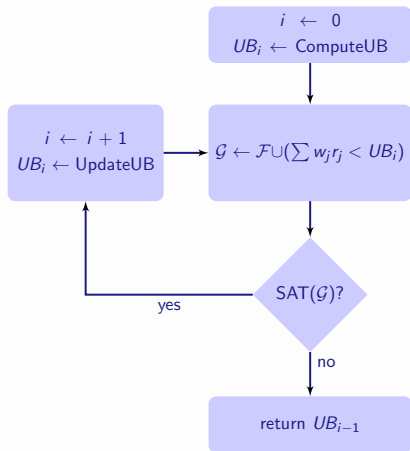


- Optimum solution (OPT):
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- Upper Bound (UB):
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- Lower Bound (LB):
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 - E.g. -1 ; it may be possible to satisfy all soft clauses
- Relax each soft clause c_j : $(c_j \vee r_j)$ (on-demand in core-guided)

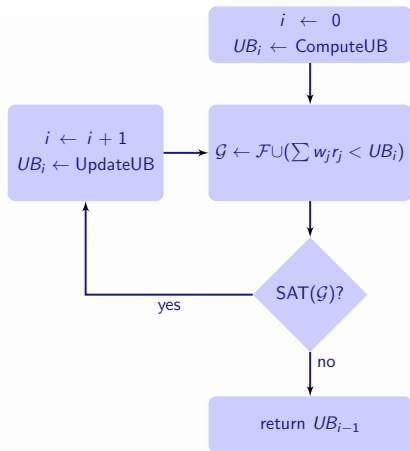
MaxSAT with iterative SAT solving – refine UB



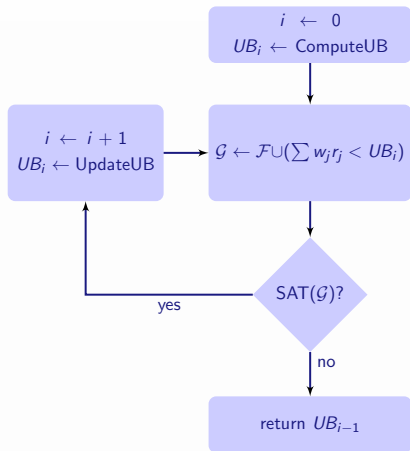
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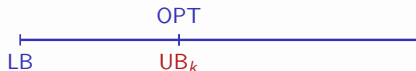
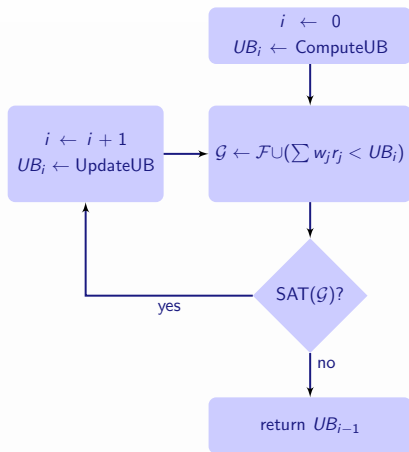
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MaxSAT with iterative SAT solving – refine UB

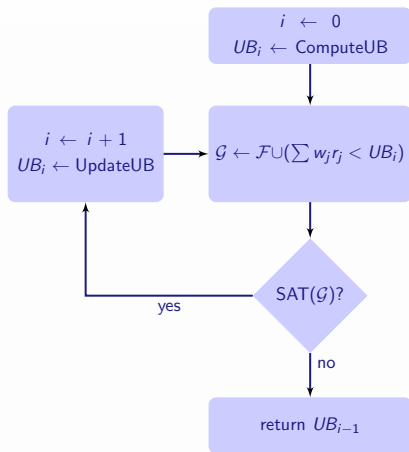


MaxSAT with iterative SAT solving – refine UB



- Worst-case # of iterations **exponential** on instance size (# bits)
 - Improvement: use **binary search** instead

MaxSAT with iterative SAT solving – refine UB



- Worst-case # of iterations **exponential** on instance size (# bits)
 - Improvement: use **binary search** instead
- Many example solvers: **Minisat+**, **SAT4J**, **QMaxSat** [ES06,LBP10,KZFH12]

MaxSAT with iterative SAT solving – example

$$x_6 \vee x_2$$

$$\neg x_6 \vee x_2$$

$$\neg x_2 \vee x_1$$

$$\neg x_1$$

$$\neg x_6 \vee x_8$$

$$x_6 \vee \neg x_8$$

$$x_2 \vee x_4$$

$$\neg x_4 \vee x_5$$

$$x_7 \vee x_5$$

$$\neg x_7 \vee x_5$$

$$\neg x_5 \vee x_3$$

$$\neg x_3$$

Example CNF formula

MaxSAT with iterative SAT solving – example

$$x_6 \vee x_2 \vee r_1$$

$$\neg x_6 \vee x_2 \vee r_2$$

$$\neg x_2 \vee x_1 \vee r_3$$

$$\neg x_1 \vee r_4$$

$$\neg x_6 \vee x_8 \vee r_5$$

$$x_6 \vee \neg x_8 \vee r_6$$

$$x_2 \vee x_4 \vee r_7$$

$$\neg x_4 \vee x_5 \vee r_8$$

$$x_7 \vee x_5 \vee r_9$$

$$\neg x_7 \vee x_5 \vee r_{10}$$

$$\neg x_5 \vee x_3 \vee r_{11}$$

$$\neg x_3 \vee r_{12}$$

$$\sum_{i=1}^{12} r_i \leq 12$$

Relax **all** clauses; Set $UB = 12 + 1$

MaxSAT with iterative SAT solving – example

$$x_6 \vee x_2 \vee r_1$$

$$\neg x_6 \vee x_2 \vee r_2$$

$$\neg x_2 \vee x_1 \vee r_3$$

$$\neg x_1 \vee r_4$$

$$\neg x_6 \vee x_8 \vee r_5$$

$$x_6 \vee \neg x_8 \vee r_6$$

$$x_2 \vee x_4 \vee r_7$$

$$\neg x_4 \vee x_5 \vee r_8$$

$$x_7 \vee x_5 \vee r_9$$

$$\neg x_7 \vee x_5 \vee r_{10}$$

$$\neg x_5 \vee x_3 \vee r_{11}$$

$$\neg x_3 \vee r_{12}$$

$$\sum_{i=1}^{12} r_i \leq 12$$

Formula is **SAT**; E.g. all $x_i = 0$ and $r_1 = r_7 = r_9 = 1$ (i.e. cost = 3)

MaxSAT with iterative SAT solving – example

$$x_6 \vee x_2 \vee r_1 \quad \neg x_6 \vee x_2 \vee r_2 \quad \neg x_2 \vee x_1 \vee r_3 \quad \neg x_1 \vee r_4$$

$$\neg x_6 \vee x_8 \vee r_5 \quad x_6 \vee \neg x_8 \vee r_6 \quad x_2 \vee x_4 \vee r_7 \quad \neg x_4 \vee x_5 \vee r_8$$

$$x_7 \vee x_5 \vee r_9 \quad \neg x_7 \vee x_5 \vee r_{10} \quad \neg x_5 \vee x_3 \vee r_{11} \quad \neg x_3 \vee r_{12}$$

$$\sum_{i=1}^{12} r_i \leq 2$$

Refine $UB = 3$

MaxSAT with iterative SAT solving – example

$$\begin{array}{llll} x_6 \vee x_2 \vee r_1 & \neg x_6 \vee x_2 \vee r_2 & \neg x_2 \vee x_1 \vee r_3 & \neg x_1 \vee r_4 \\ \neg x_6 \vee x_8 \vee r_5 & x_6 \vee \neg x_8 \vee r_6 & x_2 \vee x_4 \vee r_7 & \neg x_4 \vee x_5 \vee r_8 \\ x_7 \vee x_5 \vee r_9 & \neg x_7 \vee x_5 \vee r_{10} & \neg x_5 \vee x_3 \vee r_{11} & \neg x_3 \vee r_{12} \\ \sum_{i=1}^{12} r_i \leq 2 \end{array}$$

Formula is SAT; E.g. $x_1 = x_2 = 1$; $x_3 = \dots = x_8 = 0$ and $r_4 = r_9 = 1$ (i.e. cost = 2)

MaxSAT with iterative SAT solving – example

$$x_6 \vee x_2 \vee r_1$$

$$\neg x_6 \vee x_2 \vee r_2$$

$$\neg x_2 \vee x_1 \vee r_3$$

$$\neg x_1 \vee r_4$$

$$\neg x_6 \vee x_8 \vee r_5$$

$$x_6 \vee \neg x_8 \vee r_6$$

$$x_2 \vee x_4 \vee r_7$$

$$\neg x_4 \vee x_5 \vee r_8$$

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$$\neg x_5 \vee x_3 \vee r_{11}$$

$$\neg x_3 \vee r_{12}$$

$$\sum_{i=1}^{12} r_i \leq 1$$

Refine $UB = 2$

MaxSAT with iterative SAT solving – example

$$x_6 \vee x_2 \vee r_1$$

$$\neg x_6 \vee x_2 \vee r_2$$

$$\neg x_2 \vee x_1 \vee r_3$$

$$\neg x_1 \vee r_4$$

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$$\neg x_5 \vee x_3 \vee r_{11}$$

$$\neg x_3 \vee r_{12}$$

$$\sum_{i=1}^{12} r_i \leq 1$$

Formula is **UNSAT**; terminate

MaxSAT with iterative SAT solving – example

$$x_6 \vee x_2 \vee r_1 \quad \neg x_6 \vee x_2 \vee r_2 \quad \neg x_2 \vee x_1 \vee r_3 \quad \neg x_1 \vee r_4$$

$$\neg x_6 \vee x_8 \vee r_5 \quad x_6 \vee \neg x_8 \vee r_6 \quad x_2 \vee x_4 \vee r_7 \quad \neg x_4 \vee x_5 \vee r_8$$

$$x_7 \vee x_5 \vee r_9 \quad \neg x_7 \vee x_5 \vee r_{10} \quad \neg x_5 \vee x_3 \vee r_{11} \quad \neg x_3 \vee r_{12}$$

$$\sum_{i=1}^{12} r_i \leq 1$$

MaxSAT solution is last satisfied UB: $UB = 2$

MaxSAT with iterative SAT solving – example

$$x_6 \vee x_2 \vee r_1$$

$$\neg x_6 \vee x_2 \vee r_2$$

$$\neg x_2 \vee x_1 \vee r_3$$

$$\neg x_1 \vee r_4$$

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$$\neg x_7 \vee x_5 \vee r_{10}$$

$$\neg x_5 \vee x_3 \vee r_{11}$$

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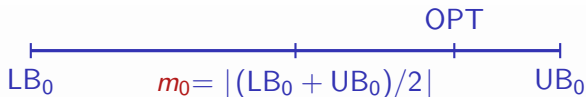
$$\sum_{i=1}^{12} r_i \leq 1$$

MaxSAT solution is last satisfied UB: $UB = 2$

AtMost k /PB constraints
over **all** relaxation variables

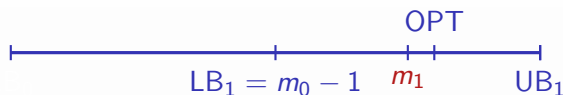
All (possibly many)
soft clauses relaxed

MaxSAT with iterative SAT solving – binary search



- Invariant: $LB_k \leq UB_k - 1$
- Require $\sum w_i r_i \leq m_0$

MaxSAT with iterative SAT solving – binary search



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- Require $\sum w_i r_i \leq m_0$
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 - If **UNSAT**, refine $LB_1 = m_0, \dots$
 - Compute new mid value m_1, \dots

MaxSAT with iterative SAT solving – binary search



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- Repeat
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MaxSAT with iterative SAT solving – binary search



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MaxSAT with iterative SAT solving – binary search



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- Worst-case # of iterations **linear** on instance size

MaxSAT with iterative SAT solving – binary search



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- Until $LB_k = UB_k - 1$
- Worst-case # of iterations **linear** on instance size
- Example tools:
 - Counter-based MaxSAT solver
 - MathSAT
 - MSUnCore

[FM'06]

[CFGSS'10]

[HMMS'11]

Outline

Example Applications

Iterative MaxSAT

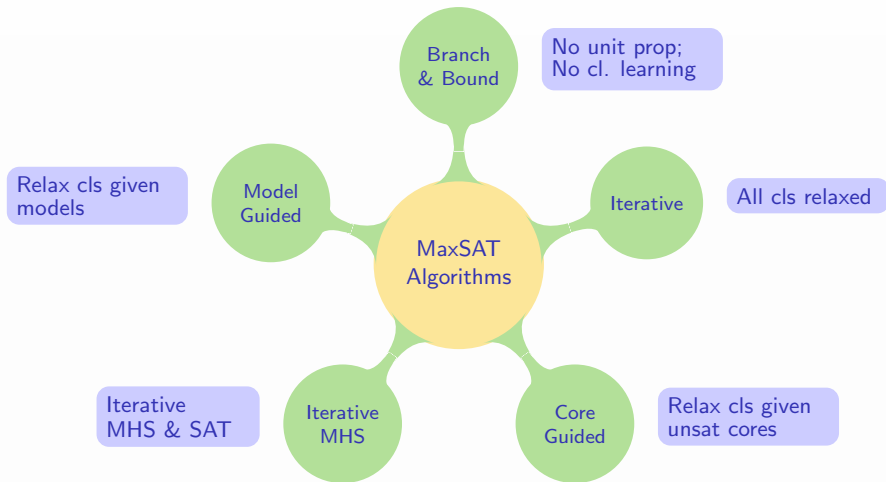
Core-Guided MaxSAT

Our Recent Work

Some Results

Conclusions

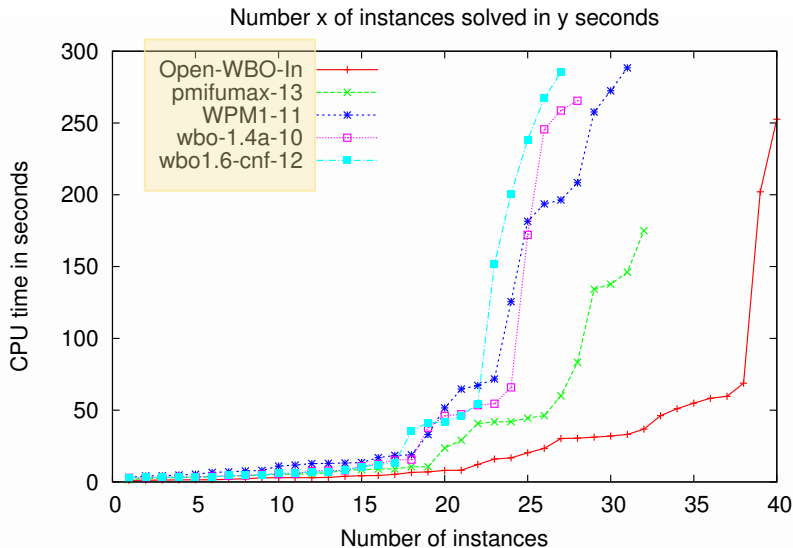
Many MaxSAT approaches



- For practical (**industrial**) instances: **core-guided** approaches are the most effective

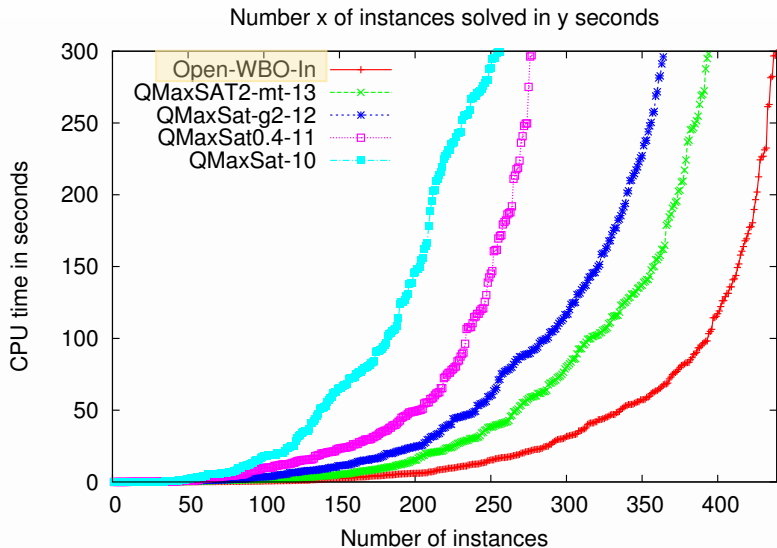
[MaxSAT14]

Core-guided solver performance – plain



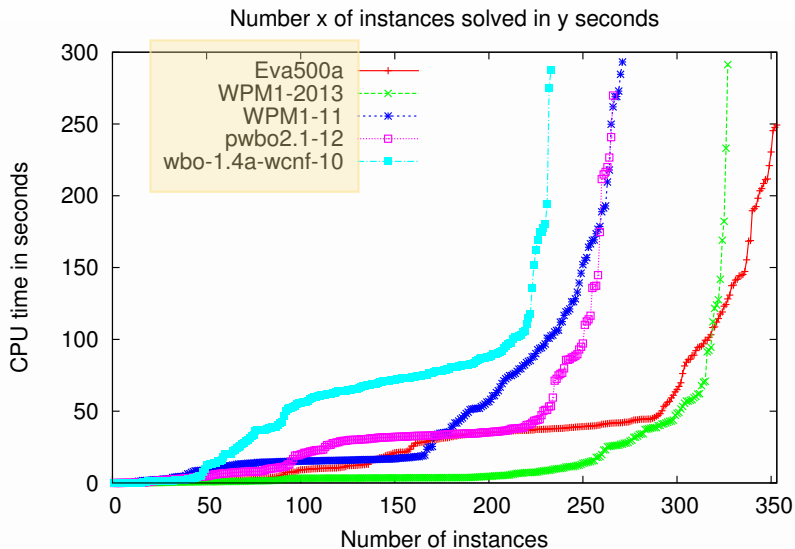
Source: [MaxSAT 2014 organizers]

Core-guided solver performance – partial



Source: [MaxSAT 2014 organizers]

Core-guided solver performance – weighted partial



Source: [MaxSAT 2014 organizers]

Core-guided MaxSAT

$$x_6 \vee x_2$$

$$\neg x_6 \vee x_2$$

$$\neg x_2 \vee x_1$$

$$\neg x_1$$

$$\neg x_6 \vee x_8$$

$$x_6 \vee \neg x_8$$

$$x_2 \vee x_4$$

$$\neg x_4 \vee x_5$$

$$x_7 \vee x_5$$

$$\neg x_7 \vee x_5$$

$$\neg x_5 \vee x_3$$

$$\neg x_3$$

- **Goal:** Do **not** relax all clauses

Core-guided MaxSAT

$x_6 \vee x_2$	$\neg x_6 \vee x_2$	$\neg x_2 \vee x_1$	$\neg x_1$
$\neg x_6 \vee x_8$	$x_6 \vee \neg x_8$	$x_2 \vee x_4$	$\neg x_4 \vee x_5$
$x_7 \vee x_5$	$\neg x_7 \vee x_5$	$\neg x_5 \vee x_3$	$\neg x_3$

- **Goal:** Do **not** relax all clauses
 - **Why?**
 - ▶ Some clauses **never** relevant for computing MaxSAT solution
 - ▶ Simplify cardinality/PB constraints

Core-guided MaxSAT

$x_6 \vee x_2$	$\neg x_6 \vee x_2$	$\neg x_2 \vee x_1$	$\neg x_1$
$\neg x_6 \vee x_8$	$x_6 \vee \neg x_8$	$x_2 \vee x_4$	$\neg x_4 \vee x_5$
$x_7 \vee x_5$	$\neg x_7 \vee x_5$	$\neg x_5 \vee x_3$	$\neg x_3$

- **Goal:** Do **not** relax all clauses
 - **Why?**
 - ▶ Some clauses **never** relevant for computing MaxSAT solution
 - ▶ Simplify cardinality/PB constraints
- How to relax clauses **on demand?**
 - Relax clauses given **computed unsatisfiable cores**
 - ▶ Many alternative ways to instrument code-guided algorithms

Outline

Example Applications

Iterative MaxSAT

Core-Guided MaxSAT

Fu&Malik's Algorithm

MSU3 Algorithm

Our Recent Work

Some Results

Conclusions

Fu&Malik's (FM) core-guided algorithm

$$x_6 \vee x_2$$

$$\neg x_6 \vee x_2$$

$$\neg x_2 \vee x_1$$

$$\neg x_1$$

$$\neg x_6 \vee x_8$$

$$x_6 \vee \neg x_8$$

$$x_2 \vee x_4$$

$$\neg x_4 \vee x_5$$

$$x_7 \vee x_5$$

$$\neg x_7 \vee x_5$$

$$\neg x_5 \vee x_3$$

$$\neg x_3$$

Example CNF formula

Fu&Malik's (FM) core-guided algorithm

$$x_6 \vee x_2$$

$$\neg x_6 \vee x_2$$

$$\neg x_6 \vee x_8$$

$$x_6 \vee \neg x_8$$

$$x_7 \vee x_5$$

$$\neg x_7 \vee x_5$$

$$\neg x_2 \vee x_1$$

$$\neg x_1$$

$$x_2 \vee x_4$$

$$\neg x_4 \vee x_5$$

$$\neg x_5 \vee x_3$$

$$\neg x_3$$

Formula is **UNSAT**; $\text{OPT} \leq |\varphi| - 1$; Get unsat core

Fu&Malik's (FM) core-guided algorithm

$$x_6 \vee x_2$$

$$\neg x_6 \vee x_2$$

$$\neg x_2 \vee x_1 \vee r_1$$

$$\neg x_1 \vee r_2$$

$$\neg x_6 \vee x_8$$

$$x_6 \vee \neg x_8$$

$$x_2 \vee x_4 \vee r_3$$

$$\neg x_4 \vee x_5 \vee r_4$$

$$x_7 \vee x_5$$

$$\neg x_7 \vee x_5$$

$$\neg x_5 \vee x_3 \vee r_5$$

$$\neg x_3 \vee r_6$$

$$\sum_{i=1}^6 r_i \leq 1$$

Add **relaxation variables** and AtMost1 constraint

Fu&Malik's (FM) core-guided algorithm

$$x_6 \vee x_2$$

$$\neg x_6 \vee x_2$$

$$\neg x_2 \vee x_1 \vee r_1$$

$$\neg x_1 \vee r_2$$

$$\neg x_6 \vee x_8$$

$$x_6 \vee \neg x_8$$

$$x_2 \vee x_4 \vee r_3$$

$$\neg x_4 \vee x_5 \vee r_4$$

$$x_7 \vee x_5$$

$$\neg x_7 \vee x_5$$

$$\neg x_5 \vee x_3 \vee r_5$$

$$\neg x_3 \vee r_6$$

$$\sum_{i=1}^6 r_i \leq 1$$

Formula is (again) **UNSAT**; $\text{OPT} \leq |\varphi| - 2$; Get unsat core

Fu&Malik's (FM) core-guided algorithm

$$x_6 \vee x_2 \vee r_7 \quad \neg x_6 \vee x_2 \vee r_8 \quad \neg x_2 \vee x_1 \vee r_1 \vee r_9 \quad \neg x_1 \vee r_2 \vee r_{10}$$

$$\neg x_6 \vee x_8 \quad x_6 \vee \neg x_8 \quad x_2 \vee x_4 \vee r_3 \quad \neg x_4 \vee x_5 \vee r_4$$

$$x_7 \vee x_5 \vee r_{11} \quad \neg x_7 \vee x_5 \vee r_{12} \quad \neg x_5 \vee x_3 \vee r_5 \vee r_{13} \quad \neg x_3 \vee r_6 \vee r_{14}$$

$$\sum_{i=1}^6 r_i \leq 1 \quad \sum_{i=7}^{14} r_i \leq 1$$

Add new **relaxation variables** and AtMost1 constraint

Fu&Malik's (FM) core-guided algorithm

$$x_6 \vee x_2 \vee r_7 \quad \neg x_6 \vee x_2 \vee r_8 \quad \neg x_2 \vee x_1 \vee r_1 \vee r_9 \quad \neg x_1 \vee r_2 \vee r_{10}$$

$$\neg x_6 \vee x_8 \quad x_6 \vee \neg x_8 \quad x_2 \vee x_4 \vee r_3 \quad \neg x_4 \vee x_5 \vee r_4$$

$$x_7 \vee x_5 \vee r_{11} \quad \neg x_7 \vee x_5 \vee r_{12} \quad \neg x_5 \vee x_3 \vee r_5 \vee r_{13} \quad \neg x_3 \vee r_6 \vee r_{14}$$

$$\sum_{i=1}^6 r_i \leq 1 \quad \sum_{i=7}^{14} r_i \leq 1$$

Instance is now SAT

Fu&Malik's (FM) core-guided algorithm

$$x_6 \vee x_2 \vee r_7 \quad \neg x_6 \vee x_2 \vee r_8 \quad \neg x_2 \vee x_1 \vee r_1 \vee r_9 \quad \neg x_1 \vee r_2 \vee r_{10}$$

$$\neg x_6 \vee x_8 \quad x_6 \vee \neg x_8 \quad x_2 \vee x_4 \vee r_3 \quad \neg x_4 \vee x_5 \vee r_4$$

$$x_7 \vee x_5 \vee r_{11} \quad \neg x_7 \vee x_5 \vee r_{12} \quad \neg x_5 \vee x_3 \vee r_5 \vee r_{13} \quad \neg x_3 \vee r_6 \vee r_{14}$$

$$\sum_{i=1}^6 r_i \leq 1 \quad \sum_{i=7}^{14} r_i \leq 1$$

MaxSAT solution is $|\varphi| - \mathcal{I} = 12 - 2 = 10$

Fu&Malik's (FM) core-guided algorithm

$$x_6 \vee x_2 \vee r_7$$

$$\neg x_6 \vee x_2 \vee r_8$$

$$\neg x_2 \vee x_1 \vee r_1 \vee r_9$$

$$\neg x_1 \vee r_2 \vee r_{10}$$

$$\neg x_6 \vee x_8$$

$$x_6 \vee \neg x_8$$

$$x_2 \vee x_4 \vee r_3$$

$$\neg x_4 \vee x_5 \vee r_4$$

$$x_7 \vee x_5 \vee r_{11}$$

$$\neg x_7 \vee x_5 \vee r_{12}$$

$$\neg x_5 \vee x_3 \vee r_5 \vee r_{13}$$

$$\neg x_3 \vee r_6 \vee r_{14}$$

$$\sum_{i=1}^6 r_i \leq 1$$

$$\sum_{i=7}^{14} r_i \leq 1$$

MaxSAT solution is $|\varphi| - \mathcal{I} = 12 - 2 = 10$

Only AtMost1
constraints used

Relaxed soft
clauses remain **soft**

Fu&Malik's (FM) core-guided algorithm

$$x_6 \vee x_2 \vee r_7$$

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$$\neg x_2 \vee x_1 \vee r_1 \vee r_9$$

$$\neg x_1 \vee r_2 \vee r_{10}$$

$$\neg x_6 \vee x_8$$

$$x_6 \vee \neg x_8$$

$$x_2 \vee x_4 \vee r_3$$

$$\neg x_4 \vee x_5 \vee r_4$$

$$x_7 \vee x_5 \vee r_{11}$$

$$\neg x_7 \vee x_5 \vee r_{12}$$

$$\neg x_5 \vee x_3 \vee r_5 \vee r_{13}$$

$$\neg x_3 \vee r_6 \vee r_{14}$$

$$\sum_{i=1}^6 r_i \leq 1$$

$$\sum_{i=7}^{14} r_i \leq 1$$

MaxSAT solution is $|\varphi| - \mathcal{I} = 12 - 2 = 10$

Only AtMost1
constraints used

Some clauses
not relaxed

Relaxed soft
clauses remain **soft**

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MSU3 core-guided algorithm

$$x_6 \vee x_2$$

$$\neg x_6 \vee x_2$$

$$\neg x_2 \vee x_1$$

$$\neg x_1$$

$$\neg x_6 \vee x_8$$

$$x_6 \vee \neg x_8$$

$$x_2 \vee x_4$$

$$\neg x_4 \vee x_5$$

$$x_7 \vee x_5$$

$$\neg x_7 \vee x_5$$

$$\neg x_5 \vee x_3$$

$$\neg x_3$$

Example CNF formula

MSU3 core-guided algorithm

$$x_6 \vee x_2$$

$$\neg x_6 \vee x_2$$

$$\neg x_6 \vee x_8$$

$$x_6 \vee \neg x_8$$

$$x_7 \vee x_5$$

$$\neg x_7 \vee x_5$$

$$\neg x_2 \vee x_1$$

$$\neg x_1$$

$$x_2 \vee x_4$$

$$\neg x_4 \vee x_5$$

$$\neg x_5 \vee x_3$$

$$\neg x_3$$

Formula is **UNSAT**; $\text{OPT} \leq |\varphi| - 1$; Get unsat core

MSU3 core-guided algorithm

$$x_6 \vee x_2$$

$$\neg x_6 \vee x_2$$

$$\neg x_2 \vee x_1 \vee r_1$$

$$\neg x_1 \vee r_2$$

$$\neg x_6 \vee x_8$$

$$x_6 \vee \neg x_8$$

$$x_2 \vee x_4 \vee r_3$$

$$\neg x_4 \vee x_5 \vee r_4$$

$$x_7 \vee x_5$$

$$\neg x_7 \vee x_5$$

$$\neg x_5 \vee x_3 \vee r_5$$

$$\neg x_3 \vee r_6$$

$$\sum_{i=1}^6 r_i \leq 1$$

Add **relaxation variables** and AtMost1 constraint

MSU3 core-guided algorithm

$$x_6 \vee x_2$$

$$\neg x_6 \vee x_2$$

$$\neg x_2 \vee x_1 \vee r_1$$

$$\neg x_1 \vee r_2$$

$$\neg x_6 \vee x_8$$

$$x_6 \vee \neg x_8$$

$$x_2 \vee x_4 \vee r_3$$

$$\neg x_4 \vee x_5 \vee r_4$$

$$x_7 \vee x_5$$

$$\neg x_7 \vee x_5$$

$$\neg x_5 \vee x_3 \vee r_5$$

$$\neg x_3 \vee r_6$$

$$\sum_{i=1}^6 r_i \leq 1$$

Formula is (again) **UNSAT**; $\text{OPT} \leq |\varphi| - 2$; Get unsat core

MSU3 core-guided algorithm

$$x_6 \vee x_2 \vee r_7$$

$$\neg x_6 \vee x_2 \vee r_8$$

$$\neg x_2 \vee x_1 \vee r_1$$

$$\neg x_1 \vee r_2$$

$$\neg x_6 \vee x_8$$

$$x_6 \vee \neg x_8$$

$$x_2 \vee x_4 \vee r_3$$

$$\neg x_4 \vee x_5 \vee r_4$$

$$x_7 \vee x_5 \vee r_9$$

$$\neg x_7 \vee x_5 \vee r_{10}$$

$$\neg x_5 \vee x_3 \vee r_5$$

$$\neg x_3 \vee r_6$$

$$\sum_{i=1}^{10} r_i \leq 2$$

Add new **relaxation variables** and AtMost1 constraint

MSU3 core-guided algorithm

$$x_6 \vee x_2 \vee r_7$$

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$$\neg x_2 \vee x_1 \vee r_1$$

$$\neg x_1 \vee r_2$$

$$\neg x_6 \vee x_8$$

$$x_6 \vee \neg x_8$$

$$x_2 \vee x_4 \vee r_3$$

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$$\sum_{i=1}^{10} r_i \leq 2$$

Instance is now SAT

MSU3 core-guided algorithm

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$$x_2 \vee x_4 \vee r_3$$

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$$x_2 \vee x_4 \vee r_3$$

$$\neg x_4 \vee x_5 \vee r_4$$

$$x_7 \vee x_5 \vee r_9$$

$$\neg x_7 \vee x_5 \vee r_{10}$$

$$\neg x_5 \vee x_3 \vee r_5$$

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$$\sum_{i=1}^{10} r_i \leq 2$$

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AtMostk/PB
constraints used

Relaxed soft clauses
become **hard**

MSU3 core-guided algorithm

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$$x_6 \vee \neg x_8$$

$$x_2 \vee x_4 \vee r_3$$

$$\neg x_4 \vee x_5 \vee r_4$$

$$x_7 \vee x_5 \vee r_9$$

$$\neg x_7 \vee x_5 \vee r_{10}$$

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Recap binary search for MaxSAT (Bin)

[e.g. FM'06]

```
( $R, \varphi_W$ )  $\leftarrow$  Relax( $\emptyset, \varphi$ , Soft( $\varphi$ ))  
( $\lambda, \mu, \mathcal{A}_M$ )  $\leftarrow$  ( $-1, \sum_{i=1}^m w_i + 1, \emptyset$ )  
while  $\lambda < \mu - 1$  do  
   $\nu \leftarrow \lfloor (\lambda + \mu) / 2 \rfloor$   
   $\varphi_E \leftarrow \text{CNF}(\sum_{r_i \in R} w_i r_i \leq \nu)$   
  ( $\text{st}, \mathcal{A}$ )  $\leftarrow$  SAT( $\varphi_W \cup \varphi_E$ )  
  if  $\text{st} = \text{true}$  then  
    | ( $\mathcal{A}_M, \mu$ )  $\leftarrow$  ( $\mathcal{A}, \sum_{i=1}^m w_i \mathcal{A}\langle r_i \rangle$ )  
  else  
    |  $\lambda \leftarrow \nu$   
return Init( $\mathcal{A}_M$ )
```

Towards core-guided MaxSAT

- MaxSAT by iterative SAT solving: **all** clauses relaxed
- How to relax clauses **on demand**, given binary search?

Core-guided binary search (Bin-Core)

[HMMS'11]

```
( $R, \varphi_W, \varphi_S$ )  $\leftarrow$  ( $\emptyset, \varphi, \text{Soft}(\varphi)$ )  
( $\lambda, \mu, \mathcal{A}_M$ )  $\leftarrow$  ( $-1, \sum_{i=1}^m w_i + 1, \emptyset$ )  
while  $\lambda < \mu - 1$  do  
     $\nu \leftarrow \lfloor (\lambda + \mu) / 2 \rfloor$   
     $\varphi_E \leftarrow \text{CNF}(\sum_{r_i \in R} w_i r_i \leq \nu)$   
    ( $\text{st}, \varphi_C, \mathcal{A}$ )  $\leftarrow \text{SAT}(\varphi_W \cup \varphi_E)$   
    if  $\text{st} = \text{true}$  then  
        | ( $\mathcal{A}_M, \mu$ )  $\leftarrow$  ( $\mathcal{A}, \sum_{i=1}^m w_i \mathcal{A}\langle r_i \rangle$ )  
    else  
        | if  $\varphi_C \cap \varphi_S = \emptyset$  then  
            | |  $\lambda \leftarrow \nu$   
        | else  
            | | ( $R, \varphi_W$ )  $\leftarrow \text{Relax}(R, \varphi_W, \varphi_C \cap \varphi_S)$   
return  $\text{Init}(\mathcal{A}_M)$ 
```

Bin-Core with disjoint cores (Bin-Core-Dis)

- Organization similar to Bin-Core
- Keep set of disjoint unsatisfiable cores [HMMS'11]
 - Need to **join** unsatisfiable cores
- Integrate **lower & upper bounds** [HMMS'11,MHMS'12]
 - Essential to reduce number of iterations
- Integrate additional pruning techniques [MHMS'12]
 - BMO condition
 - etc.

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Binary search vs. progression

- Motivation:



- Avoid unnecessary binary search iterations when $W \gg C$

MaxSAT using geometric progressions

Progression_Iterative(\mathcal{F})

Input: $\mathcal{F} = \mathcal{F}_S \cup \mathcal{F}_H$

$(R, \mathcal{F}_W) \leftarrow \text{Relax}(\emptyset, \mathcal{F}, \mathcal{F}_S)$ // Relax & harden soft clause c_i with r_i

$(\lambda, j) \leftarrow (0, 0)$ // LB & progression index

while true do

$\tau \leftarrow 2^j - 1$ // Tentative UB w/ geom. prog.

if $\tau > \sum_{r_i \in R} w_i$ **then**

return $\text{BinSearch}(\mathcal{F}_W, R, \lambda, \emptyset)$ // Bin search if $\text{UB} \geq W$

$(\text{st}, \mathcal{A}) \leftarrow \text{SAT}(\mathcal{F}_W \cup \text{CNF}(\sum_{r_i \in R} w_i r_i \leq \tau))$

if $\text{st} = \text{true}$ **then**

return $\text{BinSearch}(\mathcal{F}_W, R, \lambda, \mathcal{A})$ // Bin search given (actual) UB

else

$\lambda \leftarrow \tau$ // Update LB

$j \leftarrow j + 1$ // Increase progression index

MaxSAT using geometric progressions

Progression_Iterative(\mathcal{F})

Input: $\mathcal{F} = \mathcal{F}_S \cup \mathcal{F}_H$

$(R, \mathcal{F}_W) \leftarrow \text{Relax}(\emptyset, \mathcal{F}, \mathcal{F}_S)$ // Relax & harden soft clause c_i with r_i
 $(\lambda, j) \leftarrow (0, 0)$ // LB & progression index

while true do

$\tau \leftarrow 2^j - 1$ // Tentative UB w/ geom. prog.

if $\tau > \sum_{r_i \in R} w_i$ **then**

return $\text{BinSearch}(\mathcal{F}_W, R, \lambda, \emptyset)$ // Bin search if UB $\geq W$

$(\text{st}, \mathcal{A}) \leftarrow \text{SAT}(\mathcal{F}_W \cup \text{CNF}(\sum_{r_i \in R} w_i r_i \leq \tau))$

if $\text{st} = \text{true}$ **then**

return $\text{BinSearch}(\mathcal{F}_W, R, \lambda, \mathcal{A})$ // Bin search given (actual) UB

else

$\lambda \leftarrow \tau$ // Update LB

$j \leftarrow j + 1$ // Increase progression index

- Worst-case number of oracle calls: $\mathcal{O}(\log C)$

Earlier work using geometric progressions

- Used for improving lower bounds
 - Optimization problems in planning [SS07]
 - Job shop scheduling [MSV13]
- Used in algorithms for computing a **minimal set subject to a monotone predicate (MSMP)** [MSBJ13]
 - E.g. MUSes, MCSes, minimal models, etc. [MSJ14]
 - Also used being developed by ILOG [L14]

Progression & core-guided algorithms

- Use **geometric progression** (instead of **binary**) search
- Refine computed upper bound with core-guided algorithm:
 - Core-guided binary search (Bin Core, BC) [HMMS11]
 - Bin Core with disjoint cores (BCD/BCD2) [HMMS11,MHMS12]
 - **Actually**, any core-guided algorithm that refines $(LB, UB]$ can be used
- Worst case number of oracle calls in $\mathcal{O}(m + \log C)$
 - $\mathcal{O}(m + \log C)$: geometric progression step
 - $\mathcal{O}(m + \log C)$: BC/BCD/BCD2 step
 - Where, $\mathcal{O}(m)$ captures the iterative relaxation of soft clauses
 - Compare with $\mathcal{O}(m + \log W)$ for BC, BCD/BCD2 [HMMS11]

Progression with core-guided binary search

Progression_BinCore(\mathcal{F})

Input: $\mathcal{F} = \mathcal{F}_S \cup \mathcal{F}_H$

$(R, \mathcal{F}_W) \leftarrow (\emptyset, \mathcal{F})$

// Initially **no** clauses relaxed

$(\lambda, j) \leftarrow (0, 0)$

// LB & progression index

while true do

$\tau \leftarrow 2^j - 1$

// Tentative UB w/ geom. prog.

if $\tau > \sum_{r_i \in R} w_i$ **then**

return BinCore($\mathcal{F}_W, R, \lambda, \emptyset$)

// Bin core if $UB \geq W$

$(st, \mathcal{U}, \mathcal{A}) \leftarrow \text{SAT}(\mathcal{F}_W \cup \text{CNF}(\sum_{r_i \in R} w_i r_i \leq \tau))$

if $st = \text{true}$ **then**

return BinCore($\mathcal{F}_W, R, \lambda, \mathcal{A}$)

// Bin core given (actual) UB

else

if $\mathcal{U} \cap \mathcal{F}_S = \emptyset$ **then**

$\lambda \leftarrow \tau$

// Update LB

$j \leftarrow j + 1$

// Increase progression index

else

$(R, \mathcal{F}_W) \leftarrow \text{Relax}(R, \mathcal{F}_W, \mathcal{U} \cap \mathcal{F}_S)$ // Relax & harden soft clauses

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Why soft cardinality constraints?

- Like MSU3 (and others):
 - Use a **single** relaxation variable per clause
- Like FM:
 - Create **one** new cardinality constraint per core
- Similarly to FM:
 - **No** need for PB constraints: use **only** AtMost k constraints

Core-guided with soft constraints

$$x_6 \vee x_2$$

$$\neg x_6 \vee x_2$$

$$\neg x_2 \vee x_1$$

$$\neg x_1$$

$$\neg x_6 \vee x_8$$

$$x_6 \vee \neg x_8$$

$$x_2 \vee x_4$$

$$\neg x_4 \vee x_5$$

$$x_7 \vee x_5$$

$$\neg x_7 \vee x_5$$

$$\neg x_5 \vee x_3$$

$$\neg x_3$$

Example CNF formula

Core-guided with soft constraints

$$x_6 \vee x_2$$

$$\neg x_6 \vee x_2$$

$$\neg x_6 \vee x_8$$

$$x_6 \vee \neg x_8$$

$$x_7 \vee x_5$$

$$\neg x_7 \vee x_5$$

$$\neg x_2 \vee x_1$$

$$\neg x_1$$

$$x_2 \vee x_4$$

$$\neg x_4 \vee x_5$$

$$\neg x_5 \vee x_3$$

$$\neg x_3$$

Formula is **UNSAT**; $\text{OPT} \leq |\varphi| - 1$; Get unsat core

Core-guided with soft constraints

$$x_6 \vee x_2$$

$$\neg x_6 \vee x_2$$

$$\neg x_2 \vee x_1 \vee r_1$$

$$\neg x_1 \vee r_2$$

$$\neg x_6 \vee x_8$$

$$x_6 \vee \neg x_8$$

$$x_2 \vee x_4 \vee r_3$$

$$\neg x_4 \vee x_5 \vee r_4$$

$$x_7 \vee x_5$$

$$\neg x_7 \vee x_5$$

$$\neg x_5 \vee x_3 \vee r_5$$

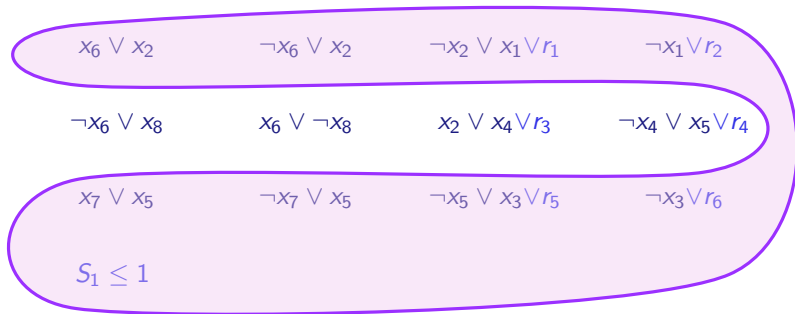
$$\neg x_3 \vee r_6$$

$$S_1 \leq 1$$

Aux sums: $S_1 = \sum_{i=1}^6 r_i$;

Add **relaxation variables** and AtMost1 constraint

Core-guided with soft constraints



Aux sums: $S_1 = \sum_{i=1}^6 r_i$;

Formula is (again) **UNSAT**; $\text{OPT} \leq |\varphi| - 2$; Get unsat core

Core-guided with soft constraints

$$x_6 \vee x_2 \vee r_7$$

$$\neg x_6 \vee x_2 \vee r_8$$

$$\neg x_2 \vee x_1 \vee r_1$$

$$\neg x_1 \vee r_2$$

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$$x_6 \vee \neg x_8$$

$$x_2 \vee x_4 \vee r_3$$

$$\neg x_4 \vee x_5 \vee r_4$$

$$x_7 \vee x_5 \vee r_9$$

$$\neg x_7 \vee x_5 \vee r_{10}$$

$$\neg x_5 \vee x_3 \vee r_5$$

$$\neg x_3 \vee r_6$$

$$S_1 \leq 2$$

$$S'_2 + \neg(S_1 \leq 1) \leq 1$$

Aux sums: $S_1 = \sum_{i=1}^6 r_i$; $S'_2 = \sum_{i=7}^{10} r_i$; $S_2 = S'_2 + \neg(S_1 \leq 1)$

Add new **relaxation variables** (S'_2), update AtMost k constraint and add new AtMost1 constraint

Core-guided with soft constraints

$$x_6 \vee x_2 \vee r_7$$

$$\neg x_6 \vee x_2 \vee r_8$$

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$$x_6 \vee \neg x_8$$

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$$\neg x_3 \vee r_6$$

$$S_1 \leq 2$$

$$S'_2 + \neg(S_1 \leq 1) \leq 1$$

$$S_1 \geq 2 \rightarrow S'_2 = 0$$

$$S_1 \leq 1 \rightarrow S'_2 \leq 1$$

Aux sums: $S_1 = \sum_{i=1}^6 r_i$; $S'_2 = \sum_{i=7}^{10} r_i$; $S_2 = S'_2 + \neg(S_1 \leq 1)$

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Instance is now SAT

Core-guided with soft constraints

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$$\neg x_1 \vee r_2$$

$$\neg x_6 \vee x_8$$

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$$x_2 \vee x_4 \vee r_3$$

$$\neg x_4 \vee x_5 \vee r_4$$

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Aux sums: $S_1 = \sum_{i=1}^6 r_i$; $S'_2 = \sum_{i=7}^{10} r_i$; $S_2 = S'_2 + \neg(S_1 \leq 1)$

BinCore solution is $|\varphi| - \mathcal{I} = 12 - 2 = 10$

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$$\neg x_4 \vee x_5 \vee r_4$$

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$$S_1 \leq 2$$

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Aux sums: $S_1 = \sum_{i=1}^6 r_i$; $S'_2 = \sum_{i=7}^{10} r_i$; $S_2 = S'_2 + \neg(S_1 \leq 1)$

BinCore solution is $|\varphi| - \mathcal{I} = 12 - 2 = 10$

Only AtMostk
constraints used

Sums reused
with \neq RHSs

Relaxed soft clauses
become **hard**

Handling soft cardinality constraints

- Algorithm for handling soft constraints (iteration j):

Handling soft cardinality constraints

- Algorithm for handling soft constraints (iteration j):
 1. Find original **soft** (**non-relaxed**) clauses in core j : S'_j

Handling soft cardinality constraints

- Algorithm for handling soft constraints (iteration j):
 - Find original **soft** (**non-relaxed**) clauses in core j : S'_j
 - Update RHS of each soft cardinality constraint in core, $i = 1, \dots, r$:

$$S_{k_1} \leq R_{k_1} + 1, \dots, S_{k_r} \leq R_{k_r} + 1$$

Handling soft cardinality constraints

- Algorithm for handling soft constraints (iteration j):
 - Find original **soft** (**non-relaxed**) clauses in core j : S'_j
 - Update RHS of each soft cardinality constraint in core, $i = 1, \dots, r$:

$$S_{k_1} \leq R_{k_1} + 1, \dots, S_{k_r} \leq R_{k_r} + 1$$

- Create new sum:

$$S_j \triangleq S'_j + \sum_{i=1}^r \neg(S_{k_i} \leq R_{k_i})$$

Handling soft cardinality constraints

- Algorithm for handling soft constraints (iteration j):

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- Update RHS of each soft cardinality constraint in core, $i = 1, \dots, r$:

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- Create new sum:

$$S_j \triangleq S'_j + \sum_{i=1}^r \neg(S_{k_i} \leq R_{k_i})$$

- Create new **soft** cardinality constraint:

$$S_j \leq 1$$

Additional detail

- Sums represented in **unary**
 - Output bits of each sum can be used in different constraints
 - ▶ E.g.: S_1 compared both with 1 and 2
 - Thus, encodings of sums get reused

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- BMO condition exploited for weighted instances

Besides our work ...

- Improvements to MSU3 [ABL13]
 - Stratification vs. BMO condition
- Partial MaxSAT resolution [NB14]
- Relaxation search [BDTK14]
 - Relate with preferences in SAT [RGM10]
- Incremental cardinality constraints [MSML14]
- Portfolios of solvers [AMS14]

What is BMO? – an example

$$\{ (x_1, 3), (x_2, 3), (x_3, 1), (x_4, 1), \\ (\neg x_1 \vee \neg x_3, \top), (\neg x_2 \vee \neg x_4, \top), (\neg x_1 \vee \neg x_2, \top) \}$$

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- Example of **Boolean Multilevel Optimization (BMO)**

What is BMO? – the condition

- Set of clauses C
 - Partition of C : $\langle C_1, C_2, \dots, C_m \rangle$
 - Clauses weights: $\langle w_1, w_2, \dots, w_m = T \rangle$
- BMO condition requires **sufficiently distinct** clause weights

$$w_i > \sum_{1 \leq j < i} w_j \cdot |C_j| \quad i = m-1, \dots, 2$$

- Start by optimizing wrt to largest weight
- Optimize wrt to i^{th} largest weight, **but** account for previous optima
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- Example:
 - $(x_3, \mathbf{1}), (x_4, \mathbf{1})$
 - $(x_1, \mathbf{3}), (x_2, \mathbf{3})$
 - $(\neg x_1 \vee \neg x_3, \top)$
 - $(\neg x_2 \vee \neg x_4, \top)$
 - $(\neg x_1 \vee \neg x_2, \top)$

Clauses: $\{C_1, C_2, C_3\}$, $|C_1| = 2, \dots$
Weights: $\{w_1 = \mathbf{1}, w_2 = \mathbf{3}, w_3 = \top\}$

BMO condition holds: $\mathbf{3} > 2 \times \mathbf{1}$

MaxSAT solving with SAT oracles

- A sample of recent algorithms:

Algorithm	# Oracle Queries	Reference
Linear search SU	Exponential***	[e.g. LBP10]
Binary search	Linear*	[e.g. FM06]
FM/WMSU1/WPM1	Exponential**	[FM06,MSM08,MMSP09,ABL09a,ABGL12]
WPM2	Exponential**	[ABL10,ABGL13]
Bin-Core-Dis	Linear	[HMMS11,MHMS12]
Iterative MHS	Exponential	[DB11,DB13a,DB13b]

* $\mathcal{O}(\log m)$ queries with SAT oracle, for (partial) unweighted BinCore

** Weighted case; depends on computed cores

*** On # bits of problem instance (due to weights)

- But also additional recent work:
 - Progression
 - Soft cardinality constraints (OLL)
 - MaxSAT resolution

Outline

Example Applications

Iterative MaxSAT

Core-Guided MaxSAT

Our Recent Work

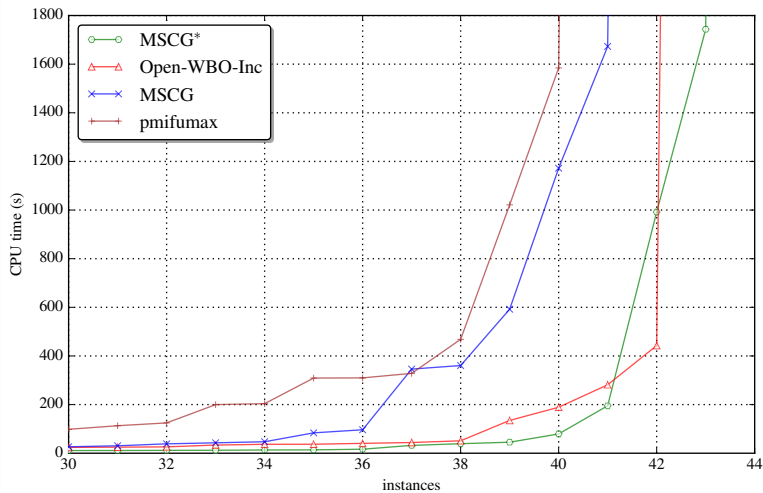
Some Results

Conclusions

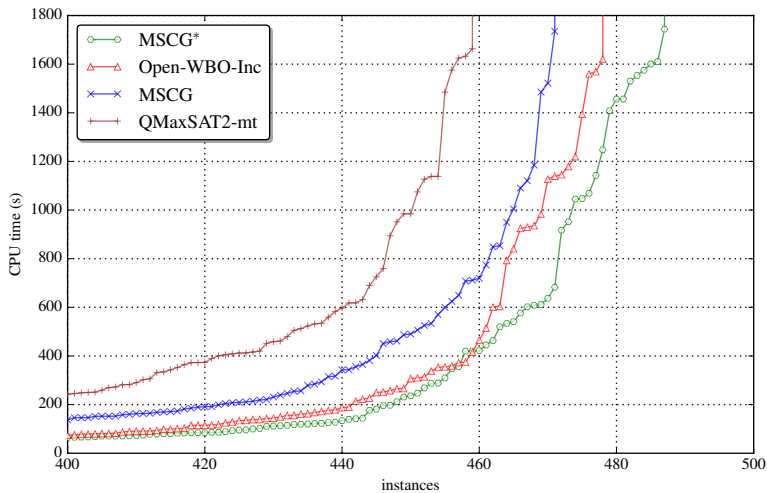
Experimental setup

- All **industrial** instances from 2014 MaxSAT evaluation
- HPC cluster:
 - Intel Xeon E5-2630-v2 2.60GHz processors with 64GB of RAM
 - Linux OS
- 1800s timeout and 3.5GB of memory limit
- MaxSAT solvers: **best from 2013 & 2014 MaxSAT evaluations**
 - **QMaxSAT2-mt** (only partial MaxSAT) [KZFH12]
 - **pmifumax** [J13]
 - **WPM1 2013** [ABL13]
 - **Open-WBO-Inc** [MSML14]
 - **Eva500a** [NB14]
 - **MSCG** [IMMLMS14]
 - **MSCG***: current best configuration

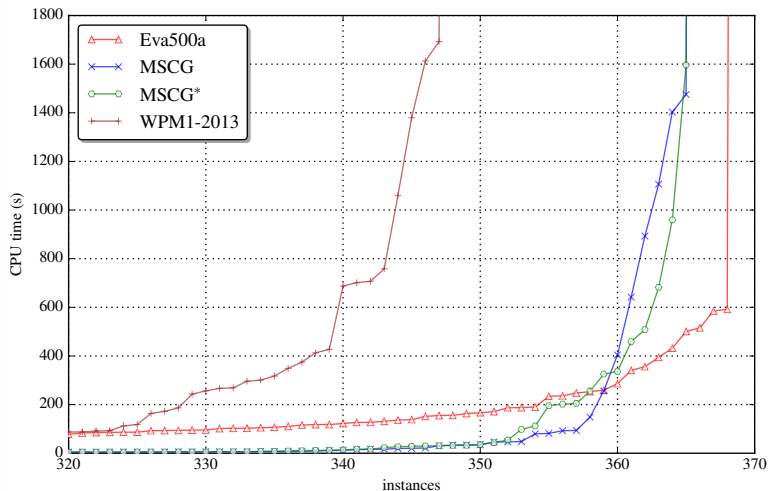
Current results – plain MaxSAT industrial



Current results – partial MaxSAT industrial



Current results – weighted partial MaxSAT industrial



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Conclusions – the three lectures

- Reviewed organization of modern CDCL SAT solvers
- Overviewed problem solving with SAT oracles
- Investigated minimal sets computation with SAT oracles
- Discussed minimal cardinality set (i.e. optimization / MaxSAT) computation with SAT oracles
- Identified possible research topics

Thanks!

Thanks to the researchers and visitors at UCD, INESC-ID & UofS

J. Argelich, F. Arif, A. Belov, H. Chen,
C. Dodaro, F. Heras, A. Ignatiev, M. Janota,
I. Lynce, V. Manquinho, C. Mencía,
A. Morgado, J. Planes, A. Previti,
and many others