

Propositional Logic Resolution and DPLL

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- 1 More on normal forms
 - Conjunctive Normal Form
 - Tientsin transformation
 - Disjunctive Normal Form
- 2 Propositional resolution
 - Resolution
 - Refutations
 - Refinements and examples
- 3 DPLL
- 4 Exercises

CNF transformation

- 1 Eliminate \top , \perp , \rightarrow , \leftrightarrow
- 2 Apply double negation equivalences:
 - $\neg\neg\phi \equiv \phi$
- 3 Apply De Morgan equivalences:
 - $\neg(\phi \vee \psi) \equiv \neg\phi \wedge \neg\psi$
 - $\neg(\phi \wedge \psi) \equiv \neg\phi \vee \neg\psi$
- 4 Apply distributivity equivalence:
 - $\phi \vee (\psi \wedge \gamma) \equiv (\phi \vee \psi) \wedge (\phi \vee \gamma)$

Order of 2-4 does not matter!

$$\neg((A \rightarrow B) \wedge (B \leftrightarrow C))$$

$$1 \quad \neg((A \rightarrow B) \wedge (B \leftrightarrow C)) \equiv \neg((\neg A \vee B) \wedge (B \leftrightarrow C))$$

$$1 \quad \neg((\neg A \vee B) \wedge (B \leftrightarrow C)) \equiv \neg((\neg A \vee B) \wedge ((\neg B \vee C) \wedge (\neg C \vee B)))$$

$$3 \quad \neg((\neg A \vee B) \wedge ((\neg B \vee C) \wedge (\neg C \vee B))) \equiv \\ \neg(\neg A \vee B) \vee \neg((\neg B \vee C) \wedge (\neg C \vee B))$$

$$3 \quad \neg(\neg A \vee B) \vee \neg((\neg B \vee C) \wedge (\neg C \vee B)) \equiv \\ (\neg\neg A \wedge \neg B) \vee \neg((\neg B \vee C) \wedge (\neg C \vee B))$$

$$2 \quad (\neg\neg A \wedge \neg B) \vee \neg((\neg B \vee C) \wedge (\neg C \vee B)) \equiv \\ (A \wedge \neg B) \vee \neg((\neg B \vee C) \wedge (\neg C \vee B))$$

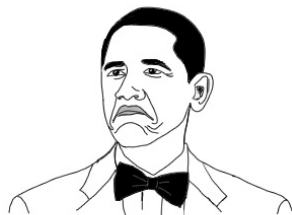
$$3 \quad (A \wedge \neg B) \vee \neg((\neg B \vee C) \wedge (\neg C \vee B)) \equiv \\ (A \wedge \neg B) \vee (\neg(\neg B \vee C) \vee \neg(\neg C \vee B))$$

$$\begin{aligned} \text{3} \quad & (A \wedge \neg B) \vee (\neg(\neg B \vee C) \vee \neg(\neg C \vee B)) \equiv \\ & (A \wedge \neg B) \vee ((\neg\neg B \wedge \neg C) \vee \neg(\neg C \vee B)) \end{aligned}$$

$$\begin{aligned} \text{2} \quad & (A \wedge \neg B) \vee ((\neg\neg B \wedge \neg C) \vee \neg(\neg C \vee B)) \equiv \\ & (A \wedge \neg B) \vee ((B \wedge \neg C) \vee \neg(\neg C \vee B)) \end{aligned}$$

$$\begin{aligned} \text{3} \quad & (A \wedge \neg B) \vee ((B \wedge \neg C) \vee \neg(\neg C \vee B)) \equiv \\ & (A \wedge \neg B) \vee ((B \wedge \neg C) \vee (\neg\neg C \wedge \neg B)) \end{aligned}$$

$$\begin{aligned} \text{2} \quad & (A \wedge \neg B) \vee ((B \wedge \neg C) \vee (\neg\neg C \wedge \neg B)) \equiv \\ & (A \wedge \neg B) \vee ((B \wedge \neg C) \vee (C \wedge \neg B)) \end{aligned}$$



NOT BAD

So far so good!

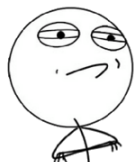
With the exception of **1**, we are reducing the size of the formula

$$4 \quad (A \wedge \neg B) \vee ((B \wedge \neg C) \vee (C \wedge \neg B)) \equiv \\ (A \vee ((B \wedge \neg C) \vee (C \wedge \neg B))) \wedge (\neg B \vee ((B \wedge \neg C) \vee (C \wedge \neg B)))$$

$$4 \quad (A \vee ((B \wedge \neg C) \vee (C \wedge \neg B))) \wedge (\neg B \vee ((B \wedge \neg C) \vee (C \wedge \neg B))) \equiv \\ (A \vee (((B \wedge \neg C) \vee C) \wedge ((B \wedge \neg C) \vee \neg B))) \wedge (\neg B \vee ((B \wedge \neg C) \vee (C \wedge \neg B)))$$

$$4 \quad (A \vee (((B \wedge \neg C) \vee C) \wedge ((B \wedge \neg C) \vee \neg B))) \wedge (\neg B \vee ((B \wedge \neg C) \vee (C \wedge \neg B))) \equiv \\ (A \vee (((B \vee C) \wedge (\neg C \vee C)) \wedge ((B \wedge \neg C) \vee \neg B))) \wedge (\neg B \vee ((B \wedge \neg C) \vee (C \wedge \neg B)))$$

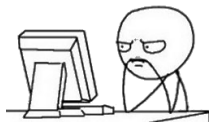
$$4 \quad (A \vee (((B \vee C) \wedge (\neg C \vee C)) \wedge ((B \wedge \neg C) \vee \neg B))) \wedge (\neg B \vee ((B \wedge \neg C) \vee (C \wedge \neg B))) \equiv \\ (A \vee (((B \vee C) \wedge (\neg C \vee C)) \wedge ((B \vee \neg B) \wedge (\neg C \vee \neg B)))) \wedge (\neg B \vee ((B \wedge \neg C) \vee (C \wedge \neg B)))$$

CHALLENGE ACCEPTED

$$4 \quad (A \vee (((B \vee C) \wedge (\neg C \vee C)) \wedge ((B \vee \neg B) \wedge (\neg C \vee \neg B)))) \wedge (\neg B \vee ((B \wedge \neg C) \vee (C \wedge \neg B))) \equiv ((A \vee ((B \vee C) \wedge (\neg C \vee C))) \wedge (A \vee ((B \vee \neg B) \wedge (\neg C \vee \neg B)))) \wedge (\neg B \vee ((B \wedge \neg C) \vee (C \wedge \neg B)))$$

$$4 \quad ((A \vee ((B \vee C) \wedge (\neg C \vee C))) \wedge (A \vee ((B \vee \neg B) \wedge (\neg C \vee \neg B)))) \wedge (\neg B \vee ((B \wedge \neg C) \vee (C \wedge \neg B))) \equiv (((A \vee (B \vee C)) \wedge (A \vee (\neg C \vee C))) \wedge (A \vee ((B \vee \neg B) \wedge (\neg C \vee \neg B)))) \wedge (\neg B \vee ((B \wedge \neg C) \vee (C \wedge \neg B)))$$

$$4 \quad (((A \vee (B \vee C)) \wedge (A \vee (\neg C \vee C))) \wedge (A \vee ((B \vee \neg B) \wedge (\neg C \vee \neg B)))) \wedge (\neg B \vee ((B \wedge \neg C) \vee (C \wedge \neg B))) \equiv (((A \vee (B \vee C)) \wedge (A \vee (\neg C \vee C))) \wedge ((A \vee (B \vee \neg B)) \wedge (A \vee (\neg C \vee \neg B)))) \wedge (\neg B \vee ((B \wedge \neg C) \vee (C \wedge \neg B)))$$

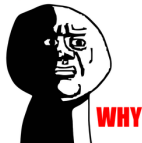


$$\begin{aligned}
 & \boxed{4} \quad (((A \vee (B \vee C)) \wedge (A \vee (\neg C \vee C))) \wedge ((A \vee (B \vee \neg B)) \wedge (A \vee (\neg C \vee \neg B)))) \wedge (\neg B \vee ((B \wedge \neg C) \vee (C \wedge \neg B))) \equiv \\
 & (((A \vee (B \vee C)) \wedge (A \vee (\neg C \vee C))) \wedge ((A \vee (B \vee \neg B)) \wedge (A \vee (\neg C \vee \neg B)))) \wedge (\neg B \vee (((B \wedge \neg C) \vee C) \wedge ((B \wedge \neg C) \vee \neg B)))
 \end{aligned}$$

$$\begin{aligned}
 & \boxed{4} \quad (((A \vee (B \vee C)) \wedge (A \vee (\neg C \vee C))) \wedge ((A \vee (B \vee \neg B)) \wedge (A \vee (\neg C \vee \neg B)))) \wedge (\neg B \vee (((B \wedge \neg C) \vee C) \wedge ((B \wedge \neg C) \vee \neg B))) \equiv \\
 & (((A \vee (B \vee C)) \wedge (A \vee (\neg C \vee C))) \wedge ((A \vee (B \vee \neg B)) \wedge (A \vee (\neg C \vee \neg B)))) \wedge (\neg B \vee (((B \vee C) \wedge (\neg C \vee C)) \wedge ((B \wedge \neg C) \vee \neg B)))
 \end{aligned}$$

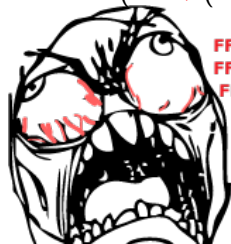
$$\begin{aligned}
 & \boxed{4} \quad (((A \vee (B \vee C)) \wedge (A \vee (\neg C \vee C))) \wedge ((A \vee (B \vee \neg B)) \wedge (A \vee (\neg C \vee \neg B)))) \wedge (\neg B \vee (((B \vee C) \wedge (\neg C \vee C)) \wedge ((B \wedge \neg C) \vee \neg B))) \equiv \\
 & (((A \vee (B \vee C)) \wedge (A \vee (\neg C \vee C))) \wedge ((A \vee (B \vee \neg B)) \wedge (A \vee (\neg C \vee \neg B)))) \wedge (\neg B \vee (((B \vee C) \wedge (\neg C \vee C)) \wedge ((B \vee \neg B) \wedge (\neg C \vee \neg B))))
 \end{aligned}$$

OH GOD



$$\begin{aligned}
 & \mathbf{4} \quad (((A \vee (B \vee C)) \wedge (A \vee (\neg C \vee C))) \wedge ((A \vee (B \vee \neg B)) \wedge (A \vee \\
 & \quad (\neg C \vee \neg B)))) \wedge (\neg B \vee (((B \vee C) \wedge (\neg C \vee C)) \wedge ((B \vee \neg B) \wedge \\
 & \quad (\neg C \vee \neg B)))) \equiv (((A \vee (B \vee C)) \wedge (A \vee (\neg C \vee C))) \wedge ((A \vee \\
 & \quad (B \vee \neg B)) \wedge (A \vee (\neg C \vee \neg B)))) \wedge ((\neg B \vee ((B \vee C) \wedge (\neg C \vee \\
 & \quad C))) \wedge (\neg B \vee ((B \vee \neg B) \wedge (\neg C \vee \neg B))))
 \end{aligned}$$

$$\begin{aligned}
 & \mathbf{4} \quad (((A \vee (B \vee C)) \wedge (A \vee (\neg C \vee C))) \wedge ((A \vee (B \vee \neg B)) \wedge (A \vee \\
 & \quad (\neg C \vee \neg B)))) \wedge ((\neg B \vee ((B \vee C) \wedge (\neg C \vee C))) \wedge (\neg B \vee ((B \vee \\
 & \quad \neg B) \wedge (\neg C \vee \neg B)))) \equiv (((A \vee (B \vee C)) \wedge (A \vee (\neg C \vee C))) \wedge \\
 & \quad ((A \vee (B \vee \neg B)) \wedge (A \vee (\neg C \vee \neg B)))) \wedge (((\neg B \vee (B \vee C)) \wedge \\
 & \quad (\neg B \vee (\neg C \vee C))) \wedge (\neg B \vee ((B \vee \neg B) \wedge (\neg C \vee \neg B))))
 \end{aligned}$$



FFFFFFFF
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$$\begin{aligned}
 & \boxed{4} \quad (((A \vee (B \vee C)) \wedge (A \vee (\neg C \vee C))) \wedge ((A \vee (B \vee \neg B)) \wedge (A \vee \\
 & \quad (\neg C \vee \neg B)))) \wedge (((\neg B \vee (B \vee C)) \wedge (\neg B \vee (\neg C \vee C))) \wedge (\neg B \vee \\
 & \quad ((B \vee \neg B) \wedge (\neg C \vee \neg B)))) \equiv (((A \vee (B \vee C)) \wedge (A \vee (\neg C \vee \\
 & \quad C))) \wedge ((A \vee (B \vee \neg B)) \wedge (A \vee (\neg C \vee \neg B)))) \wedge (((\neg B \vee (B \vee C)) \wedge \\
 & \quad (\neg B \vee (\neg C \vee C))) \wedge ((\neg B \vee (B \vee \neg B)) \wedge (\neg B \vee (\neg C \vee \neg B))))
 \end{aligned}$$

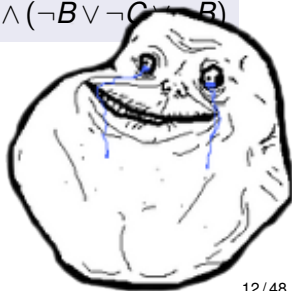
Flattening

$$(A \vee B \vee C) \wedge (A \vee \neg C \vee C) \wedge (A \vee B \vee \neg B) \wedge (A \vee \neg C \vee \neg B) \wedge \\
 (\neg B \vee B \vee C) \wedge (\neg B \vee \neg C \vee C) \wedge (\neg B \vee B \vee \neg B) \wedge (\neg B \vee \neg C \vee \neg B)$$

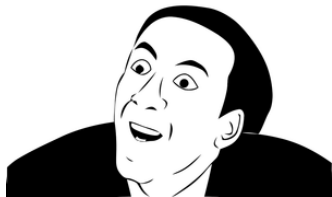
Eliminate clauses containing A and $\neg A$
 (those are equivalent to \top and
 are hence neutral for \wedge)

$$(A \vee B \vee C) \wedge (A \vee \neg C \vee B) \wedge (\neg B \vee C)$$

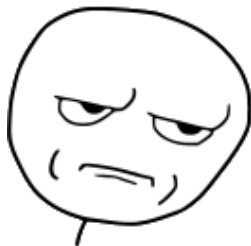
Be careful! This algorithm outputs formulas
 of exponential size in general



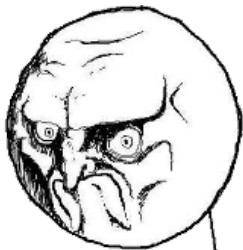
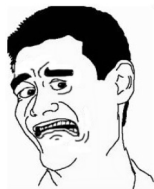
YOU DON'T SAY?



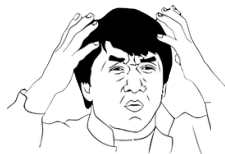
Yes, I say!



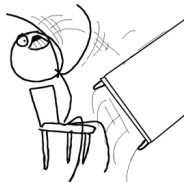
Seriously



NO.



Trust me...



Work bottom-up on the structure of the formula and build a set of clauses preserving only (un)satisfiability (not equivalence)

- 1 Replace each $L_1 \odot L_2$ (L_1, L_2 literals, $\odot \in \{\vee, \wedge, \rightarrow, \leftrightarrow\}$) by an auxiliary (fresh) variable X and introduce formula $X \leftrightarrow L_1 \odot L_2$, i.e.,

$(\odot = \vee)$	$\neg X \vee L_1 \vee L_2$	$[X \rightarrow L_1 \vee L_2]$
	$X \vee \neg L_1, X \vee \neg L_2$	$[L_1 \vee L_2 \rightarrow X]$
$(\odot = \wedge)$	$\neg X \vee L_1, \neg X \vee L_2$	$[X \rightarrow L_1 \wedge L_2]$
	$X \vee \neg L_1 \vee \neg L_2$	$[L_1 \wedge L_2 \rightarrow X]$
$(\odot = \Rightarrow)$	$\neg X \vee \neg L_1 \vee L_2$	$[X \rightarrow (L_1 \rightarrow L_2)]$
	$X \vee L_1, X \vee \neg L_2$	$[(L_1 \rightarrow L_2) \rightarrow X]$
$(\odot = \Leftrightarrow)$	$\neg X \vee \neg L_1 \vee L_2, \neg X \vee \neg L_2 \vee L_1$	$[X \rightarrow (L_1 \leftrightarrow L_2)]$
	$X \vee \neg L_1 \vee \neg L_2, X \vee L_1 \vee L_2$	$[(L_1 \leftrightarrow L_2) \rightarrow X]$

- 2 Apply double negation equivalences:

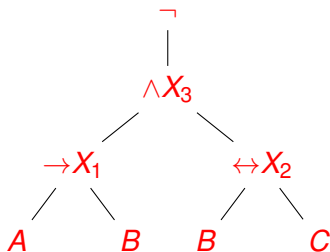
- $\neg\neg\phi \equiv \phi$

- 3 Simplify \top, \perp

You may also apply De Morgan equivalences, but they are not necessary

And **do not** apply distributivity equivalence!

- We started with $\neg((A \rightarrow B) \wedge (B \leftrightarrow C))$



- $\neg X_1 \vee \neg A \vee B$
 $X_1 \vee A, X_1 \vee \neg B$
- $\neg X_2 \vee \neg B \vee C,$
 $\neg X_2 \vee \neg C \vee B$
 $X_2 \vee \neg B \vee \neg C, X_2 \vee B \vee C$
- $\neg X_3 \vee X_1, \neg X_3 \vee X_2$
 $X_3 \vee \neg X_1 \vee \neg X_2$
- $\neg X_3$



CNF representation

$$(L_{1_1} \vee \dots \vee L_{m_1}) \wedge \dots \wedge (L_{1_n} \vee \dots \vee L_{m_n})$$

- It is clear where which connectives are
- Let us write the CNF as a **set of clauses**
 - Write clauses as **sets of literals**
 - Write CNFs as a set of sets of literals

$$\{\{L_{1_1}, \dots, L_{m_1}\}, \dots, \{L_{1_n}, \dots, L_{m_n}\}\}$$

DNF transformation

- 1 Eliminate \top , \perp , \rightarrow , \leftrightarrow
- 2 Apply double negation equivalence:
 - $\neg\neg\phi \equiv \phi$
- 3 Apply De Morgan equivalences:
 - $\neg(\phi \vee \psi) \equiv \neg\phi \wedge \neg\psi$
 - $\neg(\phi \wedge \psi) \equiv \neg\phi \vee \neg\psi$
- 4 Apply distributivity equivalence:
 - $\phi \wedge (\psi \vee \gamma) \equiv (\phi \wedge \psi) \vee (\phi \wedge \gamma)$

Order of 2-4 does not matter!

Main observation

$$(a \vee b) \wedge (\neg a \vee c) \equiv (a \vee b) \wedge (\neg a \vee c) \wedge (b \vee c)$$

$$(a \vee b) \wedge (\neg a \vee c) \models (b \vee c)$$

More general

$$C_1 \wedge \dots \wedge C_k \wedge (a \vee L_1^1 \vee \dots \vee L_n^1) \wedge (\neg a \vee L_1^2 \vee \dots \vee L_m^2) \\ \models (L_1^1 \vee \dots \vee L_n^1 \vee L_1^2 \vee \dots \vee L_m^2)$$

This is known as **resolution**!

Additional observation

$$(a \vee a \vee B) \equiv (a \vee B)$$

- Remove duplicated literals in clauses
- It is known as **factorization**

Resolution (set notation)

$$C_1, \dots, C_k, \{a, L_1^1, \dots, L_n^1\}, \{\neg a, L_1^2, \dots, L_m^2\} \\ \models \{L_1^1, \dots, L_n^1, L_1^2, \dots, L_m^2\}$$

Factorization comes “for free”!

Resolvent

Given two clauses C_1 and C_2 such that $a \in C_1$ and $\neg a \in C_2$, $(C_1 \setminus \{a\}) \cup (C_2 \setminus \{\neg a\})$ is the resolvent of C_1 and C_2 .

Derivation

Given a set Γ of clauses, a derivation by resolution of a clause C from Γ , denoted $\Gamma \vdash_R C$, is a sequence C_1, \dots, C_n such that $C_n = C$ and for each C_i ($1 \leq i \leq n$) we have

- 1 $C_i \in \Gamma$, or
- 2 C_i is a resolvent of C_j and C_k , where $j < i$ and $k < i$.

Lemma

If $\Gamma \vdash_R C$ then $\Gamma \models C$.

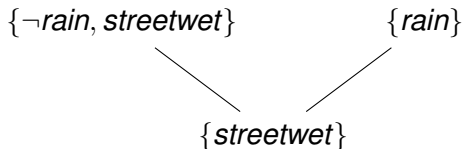
Proof. By induction on the sequence C_1, \dots, C_n .

Consider

- $\Gamma = \{rain \rightarrow streetwet, rain\}$, or equivalently
- $\Gamma = \{\neg rain \vee streetwet, rain\}$, or equivalently
- $\Gamma = \{\{\neg rain, streetwet\}, \{rain\}\}$

The following is a derivation by resolution:

- $C_1 = \{\neg rain, streetwet\}$
- $C_2 = \{rain\}$
- $C_3 = \{streetwet\}$



$rain \rightarrow streetwet, rain \vdash_R streetwet$

- Our goal is to model $\Gamma \models \perp$
- Let \square be the **empty clause**
 - \square is like \perp
 - \square **is different** from an empty set of formulas!

Refutation

A derivation by resolution of \square from Γ is called a refutation of Γ .

Resolution Theorem

$\Gamma \vdash_R \square$ if and only if Γ is unsatisfiable.

Proof. Soundness: $\Gamma \vdash_R \square$ implies $\Gamma \models \square$ (by the previous Lemma).

Completeness: by induction over the number of variables in Γ .

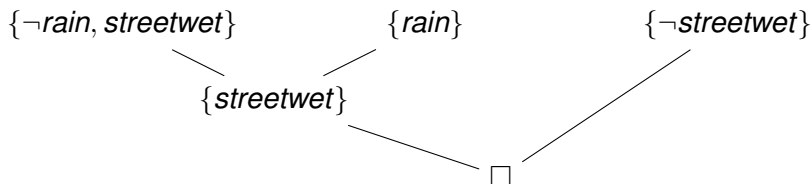
Goal: $\{rain \rightarrow streetwet, rain\} \models streetwet$

IFF: $\{rain \rightarrow streetwet, rain\} \cup \{\neg streetwet\} \models \perp$

The following is a refutation of

$\{rain \rightarrow streetwet, rain, \neg streetwet\}$:

- $C_1 = \{\neg rain, streetwet\}$
- $C_2 = \{rain\}$
- $C_3 = \{\neg streetwet\}$
- $C_4 = \{streetwet\}$
- $C_5 = \{\} = \square$



$rain \rightarrow streetwet, rain, \neg streetwet \vdash_R \square$

Validity

$\models \phi$ iff $\neg\phi \models \perp$

- Test whether $\neg\phi \vdash_R \square$

Equivalence

$\phi \equiv \psi$ iff $\neg(\phi \leftrightarrow \psi) \models \perp$

- Test whether $\neg(\phi \leftrightarrow \psi) \vdash_R \square$

Entailment

$\Gamma \models \phi$ iff $\Gamma, \neg\phi \models \perp$

- Test whether $\Gamma, \neg\phi \vdash_R \square$

Satisfiability

ϕ is satisfiable iff $\neg\phi$ is not valid

- Test whether $\phi \not\vdash_R \square$

Algorithm: SAT by resolution**Input** : a set Γ of wffs**Output**: true if Γ is SAT; false otherwise

```

1  begin
2       $\Gamma^{CNF} := \text{transformToCNF}(\Gamma)$ ;
3      repeat
4          if  $\square \in \Gamma^{CNF}$  then
5              return false;
6           $\Gamma_{old} := \Gamma^{CNF}$ ;
7           $\Gamma^{CNF} := \Gamma^{CNF} \cup \text{resolveAll}(\Gamma^{CNF})$ ;
8      until  $\Gamma_{old} = \Gamma^{CNF}$ ;
9      return true;

```

Function $\text{resolveAll}(\Gamma^{CNF}$: set of clauses)

```

1  begin
2       $\Gamma_{res} := \emptyset$ ;
3      foreach  $C_1 \in \Gamma^{CNF}$  and foreach atom  $a \in C_1$  do
4          foreach  $C_2 \in \Gamma^{CNF}$  such that  $\neg a \in C_2$  do
5               $\Gamma_{res} := \Gamma_{res} \cup \{C_1 \setminus \{a\} \cup C_2 \setminus \{\neg a\}\}$ ;
6      return  $\Gamma_{res}$ 

```

Complexity

Deciding $\Gamma \vdash_R \square$ requires up to an **exponential** number of steps (with respect to the size of the formula)

Since unsatisfiability of a formula is coNP-complete, this is “reasonable”

Example

Is the following formula satisfiable?

$$(A \vee B) \wedge (A \leftrightarrow B) \wedge (\neg A \vee \neg B)$$

Drop tautological clauses

A clause C is a tautology if there is $a \in V$ such that $a \in C$ and $\neg a \in C$

Drop subsumed clauses

A clause C_1 subsumes a clause C_2 if $C_1 \subseteq C_2$

Linear Resolution

Any intermediate derivation uses the clause obtained in the previous step.

Theorem

Linear resolution is refutation complete: If a set of wffs is unsatisfiable then a refutation by linear resolution exists.

$$\{\{A, B\}, \{A, \neg B\}, \{\neg A, B\}, \{\neg A, \neg B\}\}$$

Linear Input Resolution

Any intermediate derivation uses the clause obtained in the previous step and a clause of the original formula.

Theorem

Linear input resolution is refutation complete for (sets of) Horn clauses, where a Horn clause is a clause containing at most one positive atom.

Example

- 1 $\{\{A, B\}, \{A, \neg B\}, \{\neg A, B\}, \{\neg A, \neg B\}\}$
- 2 $\{\{A\}, \{B\}, \{A, \neg B\}, \{\neg A, B\}, \{\neg A, \neg B\}\}$

Examples

- 1 Is $(A_1 \vee A_2) \wedge (\neg A_2 \vee \neg A_3) \wedge (A_3 \vee A_4) \wedge (\neg A_4 \vee \neg A_1)$ satisfiable?
- 2 Does A follow from $(A \vee B \vee C) \wedge (\neg C \vee B) \wedge (A \vee \neg B)$?
- 3 Does $\neg A$ follow from $(A \vee B \vee C) \wedge (\neg C \vee B) \wedge (A \vee \neg B)$?
- 4 Does $A \wedge B$ follow from $(\neg A \rightarrow B) \wedge (A \rightarrow B) \wedge (\neg A \rightarrow \neg B)$?

DPLL algorithm

- By $\neg \ell$ we denote the opposite literal of ℓ
 - if $\ell = \neg a$ then $\neg \ell = a$
- Simplify is often called **Unit Propagation**
 - Call-by-name parameters (references)

Algorithm: DPLL

Input : a set Γ of clauses

Output: true if Γ is SAT; false otherwise

```
1 begin
2   Simplify( $\Gamma$ );
3   if  $\Gamma = \emptyset$  then return true ;
4   if  $\square \in \Gamma$  then return false ;
5    $\ell := \text{ChooseLiteral}(\Gamma)$ ;
6   return DPLL( $\Gamma \cup \{\{\ell\}\}$ ) or DPLL( $\Gamma \cup \{\{\neg \ell\}\}$ );
```

Procedure Simplify(Γ)

```
1 begin
2   while  $\{\ell\} \in \Gamma$  do
3     foreach  $c \in \Gamma$  do
4       if  $\ell \in c$  then  $\Gamma := \Gamma \setminus \{c\}$  ;
5       else if  $\neg \ell \in c$  then  $\Gamma := (\Gamma \setminus \{c\}) \cup \{(c \setminus \{\neg \ell\})\}$  ;
```

- DPLL(Γ) returns **true** if Γ is **satisfiable**, and false otherwise
- DPLL(Γ) can be (easily) modified in order to compute one (or all) models of Γ
 - DPLL is **sound** and **complete**
- DPLL(Γ) works in polynomial-space

Features

Simplification

The input set of clauses is simplified at each branch using (at least) unit clause propagation

Branching

When no further simplification is possible, a literal is selected using some heuristic criterion (ChooseLiteral) and assumed as a unit clause in the current set of clauses

Backtracking

When a contradiction (empty clause) arises, the search resumes from some previous assumption ℓ by assuming $\neg\ell$ instead

Example

$$\Gamma = \{\{x_1, x_2, \neg x_3\}, \{\neg x_2\}, \{x_4, \neg x_3\}\}$$

- Simplify using $\{\neg x_2\}$: $\Gamma = \{\{x_1, \neg x_3\}, \{x_4, \neg x_3\}\}$
- ChooseLiteral returns $\neg x_3$: $\Gamma = \emptyset$, i.e., the formula is SAT!

Example

$$\Gamma = \{\{x_1, x_2, \neg x_3, \neg x_4\}, \{\neg x_2\}, \{x_4, \neg x_3\}\}$$

- Simplify using $\{\neg x_2\}$: $\Gamma = \{\{x_1, \neg x_3, \neg x_4\}, \{x_4, \neg x_3\}\}$
- If ChooseLiteral returns $\neg x_3$, the process is the same as before; otherwise, if x_1 is returned, another choice has to be made

Branching order (ChooseLiteral) can make big differences!

- 1 $\{\{X_1, X_2, X_3\}, \{X_1, X_2, \neg X_3\}, \{X_1, \neg X_2, X_3\},$
 $\{X_1, \neg X_2, \neg X_3\}, \{\neg X_1, X_4\}, \{X_1, \neg X_4, \neg X_5, X_6\}, \{\neg X_1, X_7\}\}$
- 2 $\{\{X_1, X_2\}, \{X_1, \neg X_2\}, \{\neg X_1, X_2\}, \{\neg X_1, \neg X_2\}\}$

- How to efficiently detect unit clauses?
 - 2-watched literals
- How to implement ChooseLiteral?
 - Look-ahead heuristics
 - Look-back heuristics
- How to take advantage from conflicts?
 - Learning
 - Backjumping
- Can we reuse something from a previous computation?
 - Progressive SAT

(From *Logic for Computer Science: Foundations of Automatic Theorem Proving*)

- 1 Show that the following set of clauses are unsatisfiable using the resolution method:

1 $\{\{A, B, \neg C\}, \{A, B, C\}, \{A, \neg B\}, \{\neg A\}\}$

2 $\{\{A, \neg B, C\}, \{B, C\}, \{\neg A, C\}, \{B, \neg C\}, \{\neg B\}\}$

3 $\{\{A, \neg B\}, \{A, C\}, \{\neg B, C\}, \{\neg A, B\}, \{B, \neg C\}, \{\neg A, \neg C\}\}$

4 $\{\{A, B\}, \{\neg A, B\}, \{A, \neg B\}, \{\neg A, \neg B\}, \}$

- 2 Find all resolvents of the following pairs of clauses:

1 $\{A, B\}, \{\neg A, \neg B\}$

2 $\{A, \neg B\}, \{B, C, D\}$

3 $\{\neg A, B, \neg C\}, \{B, C\}$

4 $\{A, \neg A\}, \{A, \neg A\}$

- 3 Find all resolvents of the following sets of clauses:

1 $\{\{A, \neg B\}, \{A, B\}, \{\neg A\}\}$

2 $\{\{A, B, C\}, \{\neg B, \neg C\}, \{\neg A, \neg C\}\}$

3 $\{\{\neg A, \neg B\}, \{B, C\}, \{\neg C, A\}\}$

4 $\{\{A, B, C\}, \{A\}, \{B\}\}$

- 1 Show using resolution whether the following statements hold:

1 $x \vee y \vee \neg z \models (x \vee z) \leftrightarrow (\neg y \rightarrow x)$

2 $((\neg X \vee \neg Y) \rightarrow \neg(\neg Y \vee X))$ is satisfiable

(From *Logic for Computer Science: Foundations of Automatic Theorem Proving*)

- 1 Show that the following set of clauses are unsatisfiable using the DPLL algorithm:

1 $\{\{A, B, \neg C\}, \{A, B, C\}, \{A, \neg B\}, \{\neg A\}\}$

2 $\{\{A, \neg B, C\}, \{B, C\}, \{\neg A, C\}, \{B, \neg C\}, \{\neg B\}\}$

3 $\{\{A, \neg B\}, \{A, C\}, \{\neg B, C\}, \{\neg A, B\}, \{B, \neg C\}, \{\neg A, \neg C\}\}$

4 $\{\{A, B\}, \{\neg A, B\}, \{A, \neg B\}, \{\neg A, \neg B\}, \}$

- 2 Show using DPLL whether the following statements hold:

1 $x \vee y \vee \neg z \models (x \vee z) \leftrightarrow (\neg y \rightarrow x)$

2 $((\neg X \vee \neg Y) \rightarrow \neg(\neg Y \vee X))$ is satisfiable

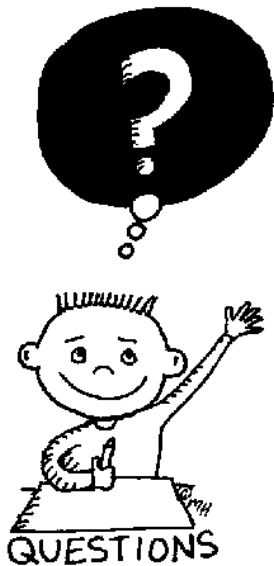
- 1 Find formulas in CNF and DNF having the following truth table:

A	B	C	D	ϕ
0	0	0	0	0
0	0	0	1	1
0	0	1	0	1
0	0	1	1	0
0	1	0	0	1
0	1	0	1	1
0	1	1	0	0
0	1	1	1	1

A	B	C	D	ϕ
1	0	0	0	0
1	0	0	1	0
1	0	1	0	1
1	0	1	1	0
1	1	0	0	1
1	1	0	1	0
1	1	1	0	1
1	1	1	1	1

- 2 Decide whether the following formula is satisfiable:

$$A_1 \wedge (\neg A_1 \vee \neg A_2) \wedge (A_2 \vee A_3) \wedge (\neg A_3 \vee \neg A_4) \wedge (A_4 \vee A_5)$$



END OF THE
LECTURE