# Structural Decomposition Methods and Islands of Tractability for NP-hard Problems 

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## Outline of PART I

## Introduction to Decomposition Methods

## Tree Decompositions

Applications of Tree Decompositions

## Outline of PART II

Beyond Tree Decompositions Applications to Databases and CSPs

Structural and Consistency Properties

## Outline of Part III

Applications to Optimization Problems
Application: Nash Equilibria
Application: Coalitional Games
Application: Combinatorial Auctions
Appendix: Beyond Hypertree Width

## Outline of PARTI

Introduction to Decomposition Methods
Iree Decompositions

## of Tree Decompositions

## Inherent Problem Complexity

- Problems decidable or undecidable.
- We concentrate on decidable problems here.
- A problem is as complex as the best possible algorithm which solves it.


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- We concentrate on decidable problems here.
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Number of steps it takes for input of size n




## Graph Three-colorability

\(\left\{\begin{array}{l}Instance: A graph G .<br>Question: Is G 3-colorable?\end{array}\right.\)

Examples of instances:


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Examples of instances:


- NP-complete problems often occur in practice.
- They must be solved by acceptable methods.
- Three approaches:
- Randomized local search
- Approximation
- Identification of easy (=polynomial) subclasses.


## Approaches for Solving Hard Problems

- NP-complete problems often occur in practice.
- They must be solved by acceptable methods.
- Three approaches:
- Randomized local search
- Approximation
- Identification of easy (=polynomial) subclasses.



## Identification of Polynomial Subclasses

- High complexity often arises in "rare" worst case instances
- Worst case instances exhibit intricate structures
- In practice, many input instances have simple structures
- Therefore, our goal is to
- Define polynomially solvable subclasses (possibly, the largest ones)
- Prove that membership testing is tractable for these classes
- Develop efficient algorithms for instances in these classes
- The evil in Computer science is hidden in (vicious) cycles.
- We need to get them under control!
- Decompositions: Tree-Decomposition, path decompositions, hypertree decompositions,...
Exploit bounded degree of cyclicity.


## Graph Three-colorability

\(\left\{\begin{array}{l}Instance: A graph G .<br>Question: Is G 3 -colorable?\end{array}\right.\)

Examples of instances:


## Problems with a Graph Structure

- With graph-based problems, high complexity is mostly due to cyclicity.

Problems restricted to acyclic graphs are often trivially solvable ( $\rightarrow 3 \mathrm{COL}$ ).

- Moreover, many graph problems are polynomially solvable if restricted to instances of low cyclicity.


## Problems with a Graph Structure

- With graph-based problems, high complexity is mostly due to cyclicity.

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- Moreover, many graph problems are polynomially solvable if restricted to instances of low cyclicity.

How can we measure the degree of cyclicity?

## How much "cyclicity" in this graph?

- Suggest a measure of distance from an acyclic graph



## Three Early Approaches

Feedback vertex set
Set of vertices whose deletion makes the graph acyclic


## The feedback vertex number

Feedback vertex number

Min. number of vertices I need to eliminate to make the graph acyclic


## FVN: Properties

Feedback vertex number

Min. number of vertices I need to eliminate to make the graph acyclic


$$
f w n(G)=3
$$

- Is this really a good measure for the "degree of acyclicity" ?
- Pro: For fixed k we can check efficiently whether $f w n(G) \leq k$
- What does it mean efficiently when parameter $k$ is fixed?


## Classical Computational Complexity

 poly(n)


Butas.

- In many problems there exists some part of the input that are quite small in practical applications
- Natural parameters
- Many NP-hard problems become easy if we fix such parameters (or we assume they are below some fixed threshold)
- Positive examples: k-vertex cover, k-feedback vertex set, k-clique, ...
- Negative examples: k-coloring, k-CNF, ...


## Parameterized Complexity

- Initiated by Downey and Fellows, late '80s


## $\mathrm{n}=$ input size



Typical assumption: FPT $\neq \mathrm{W}[1]$

## W[1]-hard problems: k-clique

- k-clique is hard w.r.t. fixed parameter complexity!

INPUT: A graph $G=(V, E)$
PARAMETER: Natural number $k$

- Does $G$ have a clique over $k$ vertices?

| Problem | $f(k)$ | vertices in kernel | Reference/Comments |
| :---: | :---: | :---: | :---: |
| Vertex Cover | $1.2738^{k}$ | $2 k$ | 1 |
| Connected Vertex Cover | $2^{k}$ | no $k^{(1)}$ | 26, randomized algorithm |
| Multiway Cut | $2^{k}$ | not known | 21 |
| Directed Multiway Cut | $2^{O\left(k^{s}\right)}$ | no \$k^\{O(1) \} \$ | 34 |
| Almost-2-SAT (VC-PM) | $4^{k}$ | not known | 21 |
| Multicut | $2^{O\left(k^{s}\right)}$ | not known | 22 |
| Pathwidth One Deletion Set | $4.65{ }^{k}$ | $O\left(k^{2}\right)$ | 28 |
| Undirected Feedback Vertex Set | $3.83{ }^{k}$ | $4 k^{2}$ | 2, deterministic algorithm |
| Undirected Feedback Vertex Set | $3^{k}$ | $4 k^{2}$ | 23, randomized algorithm |
| Subset Feedback Vertex Set | $2^{O(k \log k)}$ | not known | 29 |
| Directed Feedback Vertex Set | $4^{k} k$ ! | not known | 27 |
| Odd Cycle Transversal | $3^{k}$ | $k^{O(1)}$ | 24, randomized kernel |
| Edge Bipartization | $2^{k}$ | $k^{O(1)}$ | 25, randomized kernel |
| Planar DS | $2^{11.98 \sqrt{k}}$ | $67 k$ | 3 |
| 1 -Sided Crossing Min | $2^{O(\sqrt{k} \log k)}$ | $O\left(k^{2}\right)$ | 4 |
| Max Leaf | $3.72{ }^{\text {k }}$ | $3.75 k$ | 5 |
| Directed Max Leaf | $3.72^{k}$ | $O\left(k^{2}\right)$ | 6 |
| Set Splitting | $1.8213^{k}$ | $k$ | 7 |
| Nonblocker | $2.5154^{k}$ | $5 k / 3$ | 8 |
| Edge Dominating Set | $2.3147^{k}$ | $2 k^{2}+2 k$ | 10 |
| k-Path | $4^{k}$ | no $k^{O(1)}$ | 11a, deterministic algorithm |
| k-Path | $1.66{ }^{k}$ | no $k^{O(1)}$ | 11b, randomized algorithm |
| Convex Recolouring | $4^{k}$ | $O\left(k^{2}\right)$ | 12 |
| VC-max degree 3 | $1.1616^{k}$ |  | 13 |
| Clique Cover | $2^{2^{k}}$ | $2^{k}$ | 14 |
| Clique Partition | $2^{k^{2}}$ | $k^{2}$ | 15 |
| Cluster Editing | $1.62{ }^{k}$ | $2 k$ | 16, weighted and unweighted |
| Steiner Tree | $2^{k}$ | no $k^{O(1)}$ | 17 |
| 3-Hitting Set | $2.076{ }^{k}$ | $O\left(k^{2}\right)$ | 18 |

## FPT Tractability of Feedback Vertex Set

INPUT: A graph $G=(V, E)$
PARAMETER: Natural number $k$

- Does $G$ has a feedback vertex set of $k$ vertices?
- Naïve algorithm: $O\left(n^{k+1}\right)$ Not good!
- Solvable in $O\left((2 k+1)^{k} n^{2}\right)$ [Downey and Fellows '92]
- A practical randomized algorithm runs in time: $O\left(4^{k} \mathrm{kn}\right)$ [Becker et al 2000]


## Feedback Vertex Set: troubles

Feedback vertex number
Min. number of vertices I need to eliminate to make the graph acyclic


$$
\mathrm{fwn}(\mathrm{G})=3
$$

Is this really a good measure for the "degree of acyclicity"?
$\int$ Pro: For fixed $k$ we can check in quadratic time if $f w n(G)=k \quad(F P T)$.
Con: Very simple graphs can have large FVN:


## Feedback edge number

Feedback edge number $\rightarrow$ same problem.



- Well known graph properties:
- A biconnected component is a maximal subgraph that remains connected after deleting any single vertex
- In any graph, its biconnected components form a tree

Maximum size of biconnected components


$$
b c w(G)=4
$$

Pro: Actually bcw(G) can be computed in linear time


$$
b c w(G)=4
$$

$\left\{\begin{array}{l}\text { Pro: Actually } \operatorname{bcw}(G) \text { can be computed in linear time } \\ \text { Con: Adding a single edge may have tremendous effects to bcw(G) }\end{array}\right.$

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## Can we do better?

- Hint:
- why should clusters of vertices be of this limited kind?
- Use arbitrary (possibly small) sets of vertices!
- How can we arrange them in some tree-shape?
- What is the key property of tree-like structures (in most applications)?


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## Outline of PARTI

## Introduction to Decomposition Methods

## Tree Decompositions

## of Tree Decompositions



## Tree Decompositions [Robertson \& Seymour '86]



## Tree Decompositions [Robertson \& Seymour '86]



Graph G


Tree decomposition of width 2 of $\mathbf{G}$

## Tree Decompositions [Robertson \& Seymour '86]



Graph G


Tree decomposition of width 2 of $\mathbf{G}$

- Every edge realized in some bag
- Connectedness condition


## Connectedness condition for $h$



## Tree Decompositions and Treewidth







## Properties of Treewidth

- $\mathrm{tw}($ acyclic graph $)=1$
- tw(cycle) $=2$
- $\mathrm{tw}(\mathrm{G}+\mathrm{v}) \leq \mathrm{tw}(\mathrm{G})+1$
- $\mathrm{tw}(\mathrm{G}+\mathrm{e}) \leq \mathrm{tw}(\mathrm{G})+1$
- $\mathrm{tw}\left(\mathrm{K}_{\mathrm{n}}\right)=\mathrm{n}-1$
- tw is fixed-parameter tractable (parameter: treewidth)


## Outline of PARTI

## Decomposition Methods

Tree Decompositions
Applications of Tree Decompositions

1. Prove Tractability of bounded-width instances
a) Genuine tractability: $\mathrm{O}\left(\mathrm{n}^{\mathrm{f}(\mathrm{w})}\right)$-bounds
b) Fixed-Parameter tractability: $\mathrm{f}(\mathrm{w}) * \mathrm{O}\left(\mathrm{n}^{\mathrm{k}}\right)$
2. Tool for proving general tractability
a) Prove tractability for both large \& small width
b) Prove all yes-instances to have small width

## Use of Tree Decompositions

1. Prove Tractability of bounded-width instances
a) Genuine tractability: $\mathrm{O}\left(\mathrm{n}^{\mathrm{f}(w)}\right)$-bounds
constraint satisfaction = conjunctive database queries
b) Fixed-Parameter tractability: $\mathrm{f}(\mathrm{w}) * \mathrm{O}\left(\mathrm{n}^{\mathrm{k}}\right)$
multicut problem

## 2. Tool for proving general tractability

a) Prove tractability for both large \& small width finding even cycles in graphs - ESO over graphs
b) Prove all yes-instances to have small width the Partner Unit Problem

## Use of Tree Decompositions

1. Prove Tractability of bounded-width instances
a) Genuine tractability: $\mathrm{O}\left(\mathrm{n}^{\mathrm{f}(\mathrm{w})}\right)$-bounds In PART II
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1. Prove Tractability of bounded-width instances a) Genuine tractability: $\mathrm{O}\left(\mathrm{n}^{\mathrm{f}(\mathrm{w})}\right)$-bounds
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## An important Metatheorem

## Courcelle's Theorem [1987]

Let P be a problem on graphs that can be formulated in Monadic Second Order Logic (MSO).

Then P can be solved in liner time on graphs of bounded treewidth

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Courcelle's Theorem [1987]
Let $P$ be a problem on graphs that can be formulated in Monadic Second Order Logic (MSO).

Then P can be solved in liner time on graphs of bounded treewidth

- Theorem. (Fagin): Every NP-property over graphs can be represented by an existential formula of Second Order Logic. $\mathrm{NP}=\mathrm{ESO}$
- Monadic SO (MSO): Subclass of SO, only set variables, but no relation variables of higher arity. 3 -colorability $\in$ MSO.


## Three Colorability in MSO

$$
\begin{aligned}
(\exists R, G, B) \quad[ & (\forall x(R(x) \vee G(x) \vee B(x))) \\
& \wedge(\forall x(R(x) \Rightarrow(\neg G(x) \wedge \neg B(x)))) \\
& \wedge \\
& \wedge \\
& \wedge \\
& \wedge(\forall x, y(E(x, y) \Rightarrow(R(x) \Rightarrow(G(x) \vee B(y))))) \\
& \wedge(\forall x, y(E(x, y) \Rightarrow(G(x) \Rightarrow(R(x) \vee B(y))))) \\
& \wedge(\forall x, y(E(x, y) \Rightarrow(B(x) \Rightarrow(R(x) \vee G(y)))))]
\end{aligned}
$$

Courcelle's Theorem: Problems expressible in $\mathrm{MSO}_{2}$ are solvable in linear time on structures of bounded treewidth

... and in LOGSPACE [Elberfeld, Jacoby,Tantau]

Example - Graph Coloring

$$
\exists \mathrm{P} \forall \mathrm{x} \forall \mathrm{y}:(\mathrm{E}(\mathrm{x}, \mathrm{y}) \rightarrow(\mathrm{P}(\mathrm{x}) \not \equiv \mathrm{P}(\mathrm{y}))
$$

## Master Theorems for Treewidth

Arnborg, Lagergren, Seese '91:
Optimization version of Courcelle's Theorem:
Finding an optimal set $P$ such that $G \mid=\Phi(P)$ is FP-linear over inputs $G$ of bounded treewidth.

## Example:

Given a graph $G=(V, E)$
Find a smallest $P$ such that

$$
\forall x \forall y:(\mathrm{E}(\mathrm{x}, \mathrm{y}) \rightarrow(\mathrm{P}(\mathrm{x}) \not \equiv \mathrm{P}(\mathrm{y}))
$$

## Unrestricted Vertex Multicut Problems

H:
S1 T1
S2 T3
S2 T2

Find minimum-cardinality vertex set separating Si from Tj for each tuple $<\mathrm{Si}, \mathrm{Tj}>$ in relation H

## Unrestricted Vertex Multicut Problems

H:

| S 1 T 1 |  |
| :---: | :---: |
| s 2 | $\mathrm{T3}$ |
| S 2 | T 2 |

## Unrestricted Vertex Multicut Problems

## Results


[Guo et al. 06] UVMC FPT if |S|, |C| and tree-width fixed
[G. \& Tien Lee] UVMC FPT if overall structure has bounded tw. using master theorem by Arnborg, Lagergren and Seese.

## Unrestricted Vertex Multicut Problems

## PROOF

Definition 8. On structures $\mathcal{A}=(V, E, H)$ as above, let connects $(S, x, y)$ be defined as follows:

$$
\begin{aligned}
& S(x) \wedge S(y) \wedge \forall P((P(x) \wedge \neg P(y)) \rightarrow(\exists v \exists w(S(v) \wedge S(w) \wedge P(v) \wedge \neg P(w) \wedge E(v, w)))) \\
& u v m c(X) \equiv \forall x \forall y(H(x, y) \rightarrow \forall S(\operatorname{connects}(S, x, y) \rightarrow \exists v(X(v) \wedge S(v))))
\end{aligned}
$$

Minimize $X$ in uvmc
$X$ intersects each set that connects $x$ and $y$

1. Prove Tractability of bounded-width instances a) Genuine tractability: $\mathrm{O}\left(\mathrm{n}^{\mathrm{f}(\mathrm{w})}\right)$-bounds b) Fixed-Parameter tractability: $\mathrm{f}(\mathrm{w}) * \mathrm{O}\left(\mathrm{n}^{\mathrm{k}}\right)$
2. Tool for proving general tractability
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b) Prove all yes-instances to have small width

INPUT: A graph G, a constant k.
QUESTION: Decide whether $G$ has a cycle of length $0(\bmod k)$

In the past century, this was an open problem for a long time.

Carsten Thomassen in 1988 proved it polynomial for all graphs using treewidth as a tool.

## Proof Idea

## Small Treewidth ( $\leq \mathrm{c}$ )

"cycle of length 0 (mod k)" can be expressed un MSO
example
$\mathrm{k}=4$

$\rightarrow$ Courcelle's Theorem (but was not known then...)

## Large Treewidth (>c)

$\forall \mathrm{k} \exists \mathrm{c}$ : each graph $G$ with $\mathrm{tw}(\mathrm{G})>\mathrm{C}$ contains a subdivision of the $f(k)$-grid. [for suitable f]

$\forall n>f(k)$, each subdivision of $f(k)$-grid contains a cycle of length $0(\bmod k)$.

## Long Term Research Programme

Determine the complexity of SO fragments over finite structures.

Finite structures: words (strings), graphs, relational databases
Known: $\mathrm{SO}=\mathrm{PH} ; \mathrm{ESO}=\mathrm{NP}$

Which SO-fragments can be evaluated in polynomial time?

Which SO-fragments express regular languages on strings ?

More modestly: What about prefix classes?

## A "simple" Facility Placement Problem



Every room should be equipped with a computer.

If a printer is not present in a room, then one should be available in an adjacent room.

No room with a printer should be a meeting room.

Every room is at most 5 rooms distant from a meeting room.
[...]

## Simplest Form

Given an office layout as a graph, decide whether the facility placement constraints are satisfiable.

$$
\exists P \exists M \ldots \forall x \exists y((P(x) \vee E(x, y) \& P(y)) \& \ldots
$$

## Observe that this is an $\mathrm{E}_{1}{ }^{*}$ ae formula

This leads to the questions:
Are formulas of the type $E_{1}{ }^{*}$ ae or even $E^{*}$ ae polynomially verifiable over graphs?

What about other fragments of ESO or SO?

## Simplest Form

This motivates the following question:
Can formulas in classes such as $\mathrm{E}_{2}\left(\mathrm{ae}_{2}\right)$ or even $\operatorname{ESO}\left(\mathrm{e}^{*} \mathrm{ae}^{*}\right)$ be evaluated in polynomial time over strings?

More generally:
Which ESO-fragments admit polynomial-time model checking over strings ?

A similar, even more important question can be asked for graphs and general finite structures:

Which ESO-fragments admit polynomial-time model checking over graphs or arbitrary finite structures?

## Complexity of ESO Prefix Classes

Directed graphs (or undirected graphs with self-loops):
[G.,Kolaitis, Schwentick 2000]


Undirected graphs w/o self-loops:



Pattern graph P1


Graph G

Saturation of G via P1:


Relating $E_{1}^{*} a e$ to the Saturation Problem


Pattern graph P2


Graph G

Saturation of $\mathbf{G}$ via $\mathbf{P} 2$ impossible!
No cycle of length $0(\bmod 4)$ in $G$.


Graph G

Saturation of G via P1:


Relating $E_{1}^{*}$ ce to the Saturation Problem

$$
\begin{aligned}
\exists & P_{1}, P_{2} \forall x \exists y \\
& {\left[\left(E(x, y) \wedge P_{1}(x) \wedge P_{2}(x) \wedge P_{1}(y) \wedge \neg P_{2}(y)\right) \vee\right.} \\
& \left(E(x, y) \wedge P_{1}(x) \wedge \neg P_{2}(x) \wedge \neg P_{1}(y) \wedge \neg P_{2}(y)\right) \vee \\
& \left.\left(\neg E(x, y) \wedge \neg P_{1}(x) \wedge \neg P_{2}(x) \wedge P_{1}(y) \wedge P_{2}(y)\right)\right]
\end{aligned}
$$


corresponding pattern graph

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## Partner Units Scenario

- Track People in Buildings
- Sensors on Doors, Rooms Grouped into Zones

- Assigning Sensors and Zones to Control Units

- Respect Adjacency Constraints

Bipartite graph $\mathrm{G}=(\mathrm{V}, \mathrm{E}) \mathrm{V}=\mathrm{Va} \cup \mathrm{Vb}$;
$\mathrm{Va}=\{\mathrm{a} 1, \ldots, \mathrm{ar}\}$,
$\mathrm{Vb}=\{\mathrm{b} 1, \ldots, \mathrm{bs}\}$,
E : edges btw. Va and Vb

sensors
zones


Replace connections by connections to units
ai $\bigcirc$
O bj

## The Partner-Unit Problem

Bipartite graph $\mathrm{G}=(\mathrm{V}, \mathrm{E}) \mathrm{V}=\mathrm{Va} \cup \mathrm{Vb} ; \mathrm{Va}=\{\mathrm{a} 1, \ldots, \mathrm{ar}\}, \mathrm{Vb}=\{\mathrm{b} 1, \ldots, \mathrm{bs}\}, \mathrm{E}$ : edges btw. Va and Vb


Replace connections by connections to units


## The Partner-Unit Problem

Bipartite graph $\mathrm{G}=(\mathrm{V}, \mathrm{E}) \mathrm{V}=\mathrm{Va} \cup \mathrm{Vb} ; \mathrm{Va}=\{\mathrm{a} 1, \ldots, \mathrm{ar}\}, \mathrm{Vb}=\{\mathrm{b} 1, \ldots, \mathrm{bs}\}, \mathrm{E}$ : edges btw. Va and Vb


Replace connections by connections to units
OR


## The Partner-Unit Problem



## A No-Instance of Partner-Unit

Assume one node a is connected to 7 nodes
$\mathrm{b} 1, \ldots, \mathrm{~b} 7$ in G . Then instance G is unsolvable.


Thus, no vertex can have more than 6 neighbours in $G$.

## The PU Problem(s)

- PU DECISION PROBLEM (PUDP):

Given G , is there a $\mathrm{G}^{*}$ satisfying the constraints?
(Number of units irrelevant.)

- PU SEARCH PROBLEM (PUSP)

Given $G$, find a suitable $\mathrm{G}^{*}$ whenever possible.

- PU OPTIMIZATION PROBLEM (PUOP)

Given $G$, find a suitable $G^{*}$ with minimum
number of units |U| (whenever possible).

ASSUMPTION: G is connected.

Note: This assumption can be made wlog, because the PUDP can be otherwise decomposed into a conjunction of independent PUDPs, one for each component.

Lemma 1: If G is connected and solvable, then there exists a solution $G^{*}$ in which the unit-graph $U G=G^{*}[U]$ is connected.

## Topology of the Unit-Graph

Lemma 2: If $G$ is connected and solvable, then there exists a solution $\mathrm{G}^{*}$ whose unit graph is a cycle.


Note: We still don't know |U|, but we may just try all cycles of length max(|Va|,|Vb|)/2 to length |Va|+|Vb|. There are only linearly many! (Guessable in logspace)

## Result

## Theorem:

Assume $G$ is solvable through solution $G^{*}$ with $|U|=n$ and having unit function $f$. Then:
(1) $\mathrm{pw}(\mathrm{G}) \leq 11$
(2) $\mathrm{tw}(\mathrm{G}) \leq 5$
(3) There is a path decomposition $\mathrm{T}=(\mathrm{W}, \mathrm{A})$ that can be locally check to witnss PUDP solution $G^{*}$

## Example



## Example



Note: We cannot do better, thus the bound 11 is actually tight!

## Example

## We now show (2)

Strip off the Vb-elements and put them into separate bags.


Note: Other examples show, we cannot do better, thus the bound 5 is actually tight

Example

Example for lower bound 5
a1○
... and this G is actually solvable:


Theorem : PUDP is in polynomial time and is solvable by dynamic programming techniques.

Partner Units Results

| Name | Sensors | Zones | Edges | Cost | CSP | DECPUP |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| dbl-20 | 28 | 20 | 56 | 14 | $*$ | 0.01 |
| dbl-40 | 58 | 40 | 116 | 29 | $*$ | 0.05 |
| dbl-60 | 88 | 60 | 176 | 44 | * | 0.08 |
| dblv-30 | 28 | 30 | 92 | 15 | $*$ | 65.49 |
| dblv-60 | 58 | 60 | 192 | 30 | * | * |
| triple-30 | 40 | 30 | 78 | 20 | $*$ | 0.50 |
| triple-34 | 40 | 34 | 93 | 1 | * | * |
| grid-90 | 50 | 68 | 97 | 34 | $*$ | 0.03 |

## Case $\mathrm{N}>2$

For constant N totally open. Could well be NP-hard.
In fact, Unit Graph does not need to have bounded treewidth!

If N is not-constant, then NP-complete:

For Siemens, it seems that very small values of $N$ are relevant.

## Outline of PART II

Beyond Tree Decompositions Applications to Databases and CSPs

Structural and Consistency Properties

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## Beyond Treewidth

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- However, there are "simple" graphs that are heavily cyclic. For example, a clique.

There are also problems whose structure is better described by hypergraphs rather than by graphs...


## Database queries

- Database schema (scopes):
- Enrolled (Pers\#, Course, Reg-Date)
- Teaches (Pers\#, Course, Assigned)
- Parent (Pers1, Pers2)
- Is there any teacher having a child enrolled in her course?
ans $\leftarrow \operatorname{Enrolled}(S, C, R) \wedge \operatorname{Teaches}(P, C, A) \wedge$ Parent $(P, S)$


## Database queries



| Teaches |  |  |
| :--- | :--- | :--- |
| Nicola | Algebra | March |
| Georg | Logic | May |
| Frank | DB | June |
| Mimmo | DB | May |
| $\ldots \ldots \ldots$ | $\ldots \ldots$ | $\ldots \ldots$. |


| Parent |  |
| :--- | :---: |
| Mimmo | Luisa |
| Georg | Anita |
| Frank | Mary |
| $\ldots \ldots . .$. |  |

QUERY: Is there any teacher having a child enrolled in her course?
ans $\leqslant \operatorname{Enrolled}(S, C, R) \wedge \operatorname{Teaches}(P, C, A) \wedge$ Parent( $P, S$ )

Ans $\longleftarrow E n r o l l e d(S, C, R) \wedge \operatorname{Teaches}(P, C, A) \wedge \operatorname{Parent}(P, S)$


## Queries and Hypergraphs (2)

- Database schema (scopes):
- Enrolled (Pers\#, Course, Reg-Date)
- Teaches (Pers\#, Course, Assigned)
- Parent (Pers1, Pers2)

- Is there any teacher whose child attend some course?
Ans $\leftarrow \operatorname{Enrolled}\left(S, C^{\prime}, R\right)$ ^ Teaches $(P, C, A)$ ^
Parent $(P, S)$
ans $\leftarrow a\left(S, X, X^{\prime}, C, F\right) \wedge b\left(S, Y, Y^{\prime}, C^{\prime}, F^{\prime}\right) \wedge c\left(C, C^{\prime}, Z\right) \wedge d(X, Z) \wedge$

$$
\left.\begin{array}{rl}
e(Y, Z) \wedge f\left(F, F^{\prime}, Z^{\prime}\right) & \wedge g\left(X^{\prime}, Z^{\prime}\right)
\end{array}\right) h\left(Y^{\prime}, Z^{\prime}\right) \wedge ~ 子 ~\left(J, X, Y, X^{\prime}, Y^{\prime}\right) \wedge p\left(B, X^{\prime}, F\right) \wedge q\left(B^{\prime}, X^{\prime}, F\right)
$$



## Populating datawarehouses



## Constraint Satisfaction Problems

Crossword puzzle

| 1 | 2 | 3 | 4 | 5 |  | 6 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 7 |  |  |  | 8 | 9 | 10 |
| 11 | 12 | 13 |  | 14 |  | 15 |
| 16 |  | 17 |  | 18 |  | 19 |
| 20 | 21 | 22 | 23 | 24 | 25 | 26 |



1h:

| PARIS |
| :--- |
| PANDA |
| LAURA |
| ANITA |

1v: | LIMBO |
| :--- |
| LINGO |
| PETRA |
| PAMPA |
| PETER | and so on

## Constraint Satisfaction Problems




## A problem from Nasa

Part of relations for the Nasa problem

```
cid_260(Vid_49, Vid_366, Vid_224),
cid_261(Vid_100, Vid 391, Vid_392),
cid_262 (Vid_273, Vid_393, Vid_246),
cid_263(Vid_329, Vid_394, Vid_249),
cid_264(Vid_133, Vid_360, Vid_356),
cid_265(Vid_314, Vid 348, Vid 395),
cid_266(Vid_67, Vid_352, Vid_396)
cid_267(Vid_182, Vid_364, Vid_397),
cid_268(Vid_313, Vid_349, Vid_398),
cid_269(Vid_339, Vid_348, Vid_399),
cid_270(Vid_98, Vid_366, Vid_400),
cid_271(Vid_161, Vid_364, Vid_401),
cid_272(Vid_131, Vid_353, Vid_234),
cid_273(Vid_126, Vid_402, Vid_245),
cid_274(Vid_146, Vid_252, Vid_228),
cid_275(Vid_330, Vid_360, Vid_361),
```

- 680 constraints
- 579 variables


## Configuration problems (Renault example)

- Renault Megane configuration [Amilhastre, Fargier, Marquis AIJ, 2002] Used in CSP competitions and as a benchmark problem
- Variables encode type of engine, country, options like air cooling, etc.
- 99 variables with domains ranging from 2 to 43 .
- 858 constraints, which can be compressed to 113 constraints.
- The maximum arity is 10 (hyperedge cardinality/size of constraint scopes)
- Represented as extensive relations, the 113 constraints comprise about 200000 tuples
- $2.84 \times 10^{12}$ solutions.


## In the third part

## Representing Hypergraphs via Graphs



Hypergraph $H(Q)$


Primal graph $G(Q)$

## Hypergraphs vs Graphs



An acyclic hypergraph
Its cyclic primal graph

## Hypergraphs vs Graphs



There are two cliques.
We cannot know where they come from

## Further Graph Representations



## $\alpha$-acyclic Hypergraphs

Note the connectedness condition for a


## Again on the simplest query

Ans $\leftarrow \operatorname{Enrolled}\left(S, C^{\prime}, R\right) \wedge \operatorname{Teaches}(P, C, A) \wedge \operatorname{Parent}(P, S)$

$\alpha$-acyclic hypergraph
Join Tree

## Deciding Hypergraph Acyclicity

- Can be done in linear time by GYO-Reduction
[Yu and Özsoyoğlu, IEEE Compsac'79; see also Graham, Tech Rep'79]


## Input: Hypergraph H

Method: Apply the following two rules as long as possible:
(1) Eliminate vertices that are contained in at most one hyperedge
(2) Eliminate hyperedges that are empty or contained in other hyperedges
$H$ is ( $\alpha$-)acyclic iff the resulting hypergraph empty

Proof: Easy by considering leaves of join tree

## Example of GYO-Reduction


$H^{*}=(\varnothing, \varnothing)$
GYO reduct

## Example of GYO-irreducible Hypergraph



## Tree decompositions as Join trees

- Tree decomposition as a way of clustering vertices to obtain a join tree (acyclic hypergraph)
- Implicitly defines an equivalent acyclic instance

width 2 tree decomposition


Graph
Acyclic instance

## From graphs to acyclic hypergraphs

- The "degree of cyclicity" is the treewidth (maximum number of vertices in a cluster -1)
- In this example, the treewidth is 2
- That's ok! We started with a cyclic graph...

width 2 tree decomposition


Equivalent acyclic instance

## Not good for hypergraph-based problems

- Here the input instance is acyclic (hence, easy)
- However, its treewidth is 2 ! (similar troubles for all graph representations)


Input: acyclic hypergraph
Primal graph
width-2 tree decomposition

## A different notion of "width"

- Exploit the fact that a single hyperedge covers many vertices
- Degree of cyclicity: maximum number of hyperedges needed to cover every cluster


Input: acyclic instance
One hyperedge covers each cluster: width 1

## Generalizing acyclicity and treewidth

- Tree decomposition as a way of clustering vertices to obtain a join tree (acyclic hypergraph)
- Implicitly defines an equivalent acyclic instance
- Width of a decomposition: maximum number of hyperedges needed to cover each bag of the tree decomposition
- Generalized Hypertree Width (ghw): minimum width over all possible decompositions [Gottlob, Leone, Scarcello, JCSS'03]
- also known as (acyclic) cover width
- Generalizes both acyclicity and treewidth:
- Acyclic hypergraphs are precisely those having ghw = 1
- The "covering power" of a hyperedge is always greater than the covering power of a vertex (used in the treewidth)


## Tree Decomposition of a Hypergraph

H


Tree decomp of $\mathbf{G}(\mathbf{H})$


## 2 hyperedges suffice for each bag



## 2 hyperedges suffice for each bag



## 2 hyperedges suffice for each bag



## 2 hyperedges suffice for each bag



## 2 hyperedges suffice for each bag



## 2 hyperedges suffice for each bag



## 2 hyperedges suffice for each bag



## 2 hyperedges suffice for each bag



## Generalized Hypertree Decomposition

Notation:

- label decomposition vertices by hyperedges
- omit hyperedge elements not used for bag covering (hidden elements are replaced by "_")


Generalized hypetree decomposition of width 2

## Generalized Hypertree Decompositions


$\mathbf{j}\left(\_, \mathrm{X}, \mathrm{Y}, \ldots,-\right), \mathbf{c}\left(\mathrm{C}, \mathrm{C}^{\prime}, \mathrm{Z}\right)$
$\mathbf{j}\left(\_, \quad, \quad, X^{\prime}, Y^{\prime}\right), \mathbf{f}\left(\mathrm{F}, \mathrm{F}^{\prime}, Z^{\prime}\right)$
$\mathbf{d}(\mathrm{X}, \mathrm{Z})$

$$
\mathbf{e}(\mathrm{Y}, \mathrm{Z})
$$

$$
\mathbf{g}\left(\mathrm{X}^{\prime}, \mathrm{Z}^{\prime}\right), \mathbf{f}\left(\mathrm{F}, \mathrm{Z}^{\prime}, \mathrm{Z}^{\prime}\right)
$$

$$
\mathbf{h}\left(\mathrm{Y}^{\prime}, \mathrm{Z}^{\prime}\right)
$$

$$
\mathbf{p}\left(\mathrm{B}, \mathrm{X}^{\prime}, \mathrm{F}\right) \quad \mathbf{q}\left(\mathrm{B}^{\prime}, \mathrm{X}^{\prime}, \mathrm{F}\right)
$$

$$
\begin{aligned}
& a\left(S, X, X^{\prime}, C, F\right) \quad b\left(S, Y, Y^{\prime}, C^{\prime}, F^{\prime}\right) \quad c\left(C, C^{\prime}, Z\right) \quad d(X, Z) \\
& e(Y, Z) \quad f\left(F, F^{\prime}, Z^{\prime}\right) \quad g\left(X^{\prime}, Z^{\prime}\right) \quad h\left(Y^{\prime}, Z^{\prime}\right) \\
& j\left(J, X, Y, X^{\prime}, Y^{\prime}\right) \quad p\left(B, X^{\prime}, F\right) \quad q\left(B^{\prime}, X^{\prime}, F\right)
\end{aligned}
$$

## Basic Conditions ${ }_{(113)}$

Original (direct) definition
We group edges


## Basic Conditions ${ }_{(213)}$



## Connectedness Condition $_{(333)}$



- Can we determine in polynomial time whether ghw $(\mathrm{H})<\mathrm{k}$ for constant k ?


## Computational Question

- Can we determine in polynomial time whether ghw $(\mathrm{H})<\mathrm{k}$ for constant k ?


## Bad news: ghw $(\mathrm{H})<4$ ? NP-complete

[Gottlob, Miklós, and Schwentick, J.ACM‘09]

## Hypertree Decomposition (HTD)

## HTD = Generalized HTD +Special Condition

[Gottlob, Leone, Scarcello, PODS'99; JCSS'02]


## Special Condition



## Special Condition

Thus, e.g., all available variables in the root must be used


## Positive Results on Hypertree Decompositions

- For each query $Q, h w(Q) \leq q w(Q)$
- In some cases, $h w(Q)<q w(Q)$
- For fixed $k$, deciding whether $h w(Q) \leq k$ is in polynomial time (LOGCFL)
- Computing hypertree decompositions is feasible in polynomial time (for fixed $k$ ).

But: FP-intractable wrt k: W[2]-hard.

## Relationship GHW vs HW

Observation:

$$
\begin{aligned}
& \operatorname{ghw}(H)=h w\left(H^{*}\right) \\
& \text { where } H^{*}=H \cup\left\{E^{\prime} \mid \exists E \text { in edges }(H): E^{\prime} \subseteq E\right\}
\end{aligned}
$$

Exponential!
Approximation Theorem [Adler,Gottlob,Grohe ,05] :

$$
\operatorname{ghw}(\mathrm{H})<=3 h w(\mathrm{H})+1
$$

GHW and HW identify the same set of classes having bounded width

## Game Characterization: Robber and Marshals




## Game Characterization: Robber and Marshals

- A robber and $k$ marshals play the game on a hypergraph
- The marshals have to capture the robber
- The robber tries to elude her capture, by running arbitrarily fast on the vertices of the hypergraph


## Robbers and Marshals: The Rules

- Each marshal stays on an edge of the hypergraph and controls all of its vertices at once
- The robber can go from a vertex to another vertex running along the edges, but she cannot pass through vertices controlled by some marshal
- The marshals win the game if they are able to monotonically shrink the moving space of the robber, and thus eventually capture her
- Consequently, the robber wins if she can go back to some vertex previously controlled by marshals


## Step 0: the empty hypergraph



## Step 1: first move of the marshals



## Step 2a: shrinking the space



## Step 2a: shrinking the space



## Step 2a: shrinking the space






## Strategies and Decompositions

$$
\left.\begin{array}{rl}
\text { ans } \leftarrow a(S, X, T, R) \wedge b(S, Y, U, P) \wedge c(T, U, Z) \wedge e(Y, Z) \wedge \\
& g(X, Y)
\end{array}\right) f(R, P, V) \wedge \wedge d(W, X, Z)
$$



$$
\mathbf{a}(\mathrm{S}, \mathrm{X}, \mathrm{~T}, \mathrm{R}), \mathbf{b}(\mathrm{S}, \mathrm{Y}, \mathrm{U}, \mathrm{P})
$$



## $\mathbf{a}(\mathrm{S}, \mathrm{X}, \mathrm{T}, \mathrm{R}), \mathbf{b}(\mathrm{S}, \mathrm{Y}, \mathrm{U}, \mathrm{P})$



## The capture

$\mathbf{a}(S, X, T, R), \mathbf{b}(S, Y, U, P)$
$\mathbf{f}(\mathrm{R}, \mathrm{P}, \mathrm{V})$


$$
\mathbf{a}(\mathrm{S}, \mathrm{X}, \mathrm{~T}, \mathrm{R}), \mathbf{b}(\mathrm{S}, \mathrm{Y}, \mathrm{U}, \mathrm{P})
$$



$$
\mathbf{a}(\mathrm{S}, \mathrm{X}, \mathrm{~T}, \mathrm{R}), \mathbf{b}(\mathrm{S}, \mathrm{Y}, \mathrm{U}, \mathrm{P})
$$



## The capture

$\mathbf{a}(S, X, T, R), \mathbf{b}(S, Y, U, P)$


## Let $H$ be a hypergraph.

- Theorem: $H$ has hypertree width $\leq k$ if and only if $k$ marshals have a winning strategy on $H$.
- Corollary: $H$ is acyclic if and only if one marshal has a winning strategy on $H$.
- Winning strategies on H correspond to hypertree decompositions of H and vice versa.
[Gottlob, Leone, Scarcello, PODS'01, JCSS'03]


## A Useful Tool: Alternating Turing Machines

- Generalization of non-deterministic Turing machines
- There are two special states: and
- Acceptation: Computation tree
- ALOGSPACE = PTIME


## ATMs and LOGCFL

- LOGCFL: class of problems/languages that are logspace-reducible to a CFL
- Admit efficient parallel algorithms
$\mathrm{AC}_{0} \subseteq \mathrm{NL} \subseteq \mathrm{LOGCFL}=\mathrm{SAC}_{1} \subseteq \mathrm{AC}_{1} \subseteq \mathrm{NC}_{2} \subseteq{ }^{-} \subseteq \mathrm{NC}=\mathrm{AC} \subseteq \mathrm{P} \subseteq \mathrm{NP}$

> Characterization of LOGCFL [Ruzzo ‘80]:
> LOGCFL $=$ Class of all problems solvable with a logspace ATM with polynomial tree-size


## A polynomial algorithm: ALOGSPACE

Marshals


## Actually, LOGCFL

## Once I have guessed R , how to guess the next marshal position S ?

 Marshals

Monotonicity: $\forall \mathrm{E} \in \operatorname{edges}\left(\mathrm{C}_{\mathrm{R}}\right):(\mathrm{E} \cap \mathrm{UR}) \subseteq \mathrm{US}$ Strict shrinking: (US) $\cap \mathrm{C}_{\mathrm{R}} \neq \varnothing$

## Outline of PART II

## Applications to Databases and CSPs

Structural and Consistency Properties

## Some hypergraph based problems

HOM: The homomorphism problem
$B C Q:$ Boolean conjunctive query evaluation
CSP: Constraint satisfaction problem

Important problems in different areas. All these problems are hypergraph based.
[e.g., Kolaitis \& Vardi, JCSS'98]

## The Homomorphism Problem

- Given two relational structures

$$
\begin{aligned}
\mathbb{A} & =\left(U, R_{1}, R_{2}, \ldots, R_{k}\right) \\
\mathbb{B} & =\left(V, S_{1}, S_{2}, \ldots, S_{k}\right)
\end{aligned}
$$

- Decide whether there exists a homomorphism $\boldsymbol{h}$ from $\mathbb{A}$ to $\mathbb{B}$

$$
\begin{aligned}
& h: U \longrightarrow V \\
& \text { such that } \quad \forall \mathbf{x}, \forall i \\
& \mathbf{x} \in R_{i} \Rightarrow h(\mathbf{x}) \in S_{i}
\end{aligned}
$$



| A |
| :--- |
| 1 2 <br> 1 3 <br> 2 3 <br> 3 4 <br> 2 5 <br> 4 5 <br> 3 6 |


|  | $\mathbb{B}$ |
| :--- | :--- |
| red | green |
| red | blue |
| green | red |
| green | blue |
| blue | red |
| blue | green |

## Example: graph colorability



## Complexity: HOM is NP-complete

(well-known, independently proved in various contexts)

Membership: Obvious, guess $h$.

Hardness: Transformation from 3COL.


Graph 3-colourable iff $\mathrm{HOM}(A, B)$ yes-instance.

## Conjunctive Database Queries

## DATABASE:



## QUERY:

Is there any teacher having a child enrolled in her course?
ans $\leftarrow \operatorname{Enrolled}(S, C, R) \wedge$ Teaches $(P, C, A) \wedge \operatorname{Parent}(P, S)$

## Conjunctive Database Queries

## DATABASE:



## CSPs as Homomorphism Problems



## CSPs as Homomorphism Problems



## CSPs as Homomorphism Problems



## Endomorphisms and cores

- Sometimes the two structures coincide
- Core: minimal substructure to which there is an endomorphism
- Cores are isomorphic to each other



## Endomorphisms and cores

- Sometimes the two structures coincide
- Core: minimal substructure to which there is an endomorphism
- Cores are isomorphic to each other



## Endomorphisms and cores

- Sometimes the two structures coincide
- Core: minimal substructure to which there is an endomorphism
- Cores are isomorphic to each other



## Cores and equivalent instances

- Can be used to simplify problems
- There is a homomorphism from $\mathbf{A}$ to $\mathbf{B}$ if and only if there is a homomorphism from a/any core of $\mathbf{A}$ to $\mathbf{B}$
- Sometimes terrific simplifications:

- This undirected grid is equivalent to a single edge. That is, it is equivalent to an acyclic instance!

$\mathcal{H}_{\mathbb{A}}$


## Structurally Restricted CSPs

The hypergraph is acyclic

$\mathcal{H}_{\mathbb{A}}$

## Structurally Restricted CSPs

The hypergraph is acyclic

- We have seen that Acyclicity is efficiently recognizable
- We shall see that Acyclic CSPs can be efficiently solved


## Basic Question

## INPUT: CSP instance $(\mathbb{A}, \mathbb{B})$

- Is there a homomorphism from $\mathbb{A}$ to $\mathbb{B}$ ?


## Basic Question (on Acyclic Instances)

INPUT: CSP instance $(\mathbb{A}, \mathbb{B})$

- Is there a homomorphism from $\mathbb{A}$ to $\mathbb{B}$ ?
- Feasible in polynomial time $\mathrm{O}\left(n^{2} \times \log n\right)$
- LOGCFL-complete


## Basic Question (on Acyclic Instances)

INPUT: CSP instance $(\mathbb{A}, \mathbb{B})$

- Is there a homomorphism from $\mathbb{A}$ to $\mathbb{B}$ ?
- Feasible in polynomial time $O\left(n^{2} \times \log n\right)$
- LOGCFL-complete


## Basic Question (on Acyclic Instances)

## INPUT: CSP instance $(\mathbb{A}, \mathbb{B})$

- Is there a homomorphism from $\mathbb{A}$ to $\mathbb{B}$ ?
- Feasible in polynomial time $\mathrm{O}\left(n^{2} \times \log n\right)$
- LOGCFL-complete
[Gottlob, Leone, Scarcello, J.ACM’00]

HOM: The homomorphism problem
$B C Q:$ Boolean conjunctive query evaluation

## CSP: Constraint satisfaction problem



## Yannakakis's Algorithm (Acyclic structures):

- Dynamic Programming over a Join Tree, where each vertex contains the relation associated with the corresponding hyperedge
- Therefore, if there are more constraints over the same relation, it may occur (as a copy) at different vertices




















## «Answering» Acyclic Instances

HOM: The homomorphism problem
$B C Q:$ Boolean conjunctive query evaluation
CSP: Constraint satisfaction problem


Yannakakis's Algorithm (Acyclic structures):
Dynamic Programming over a Join Tree


Solutions can be computed by adding a top-down phase to Yannakakis' algorithm for acyclic instances


## Computing the result (Acyclic)

- The result size can be exponential (even in the acyclic case).
- Even when the result is of polynomial size, it is in general hard to compute.
- In case of acyclic instances, the result can be computed in time polynomial in the result size (and with polynomial delay: first solution, if any, in polynomial time, and each subsequent solution within polynomial time from the previous one).
- This will remain true for the subsequent generalizations of acyclicity.
- Add a top-down phase to Yannakakis' algorithm for acyclic instances, thus obtaining a full reducer, and join the partial results (or perform a backtrack free visit)


## Outline of PART II

## Tree Decompositions

## Applications to Databases and CSPs

## Structural and Consistency Properties

| 1 | 2 | 3 | 4 | 5 |  | 6 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| 7 |  |  |  | 8 | 9 | 10 |
| 11 | 12 | 13 |  | 14 |  | 15 |
| 16 |  | 17 |  | 18 |  | 19 |
| 20 | 21 | 22 | 23 | 24 | 25 | 26 |


$\mathcal{H}_{\mathbb{A}}$

$\mathcal{H}_{\mathbb{A}}$

Transform the hypergraph into an acyclic one:

- Organize its edges (or nodes) in clusters
- Arrange the clusters as a tree, by satisfying the connectedness condition



## (Generalized) Hypertree Decompositions


$\mathcal{H}_{\mathbb{A}}$

Transform the hypergraph into an acyclic one:

- Organize its edges (or nodes) in clusters
- Arrange the clusters as a tree, by satisfying the connectedness condition



## (Generalized) Hypertree Decompositions



Each cluster can be seen as a subproblem
$\mathcal{H}_{\mathbb{A}}$

Transform the hypergraph into an acyclic one:

- Organize its edges (or nodes) in clusters
- Arrange the clusters as a tree, by satisfying the connectedness condition



## (Generalized) Hypertree Decompositions



Each cluster can be seen as a subproblem
$\mathcal{H}_{\mathbb{A}}$

Relations:


Relations:


## Toward an equivalent acyclic instance



- Each cluster can be seen as a subproblem $\quad \mathcal{H}_{\mathbb{A}}$
- Associate each subproblem with a fresh constraint


## Toward an equivalent acyclic instance



- Compute solutions for subproblems (exponential dependency on the width)
- Associate each subproblem with a fresh constraint
- Get an equivalent problem (all original constraints are there...)


A join tree of the
new instance

- Each cluster can be seen as a subproblem
- Compute solutions for subproblems (exponential dependency on the width)
- Associate each subproblem with a fresh constraint
- Get an equivalent problem (all original constraints are there...)


## An acyclic equivalent instance



- Each cluster can be seen as a subproblem
- Compute solutions for subproblems (exponential dependency on the width)
- Associate each subproblem with a fresh constraint
- Get an equivalent problem (all original constraints are there...)

Solve the acyclic instance with any known technique

## Tree Projection (idea)

- Generalization where suproblems are arbitrary (not necessarily clusters of $k$ edges or vertices)

- More information in the appendix


## Hypertrees for Databases



## Inside PostgreSQL



## Some experiments

- PostgreSQL standard ■PostgreSQL UP-VAR $\square$ PostgreSQL q-HD

(a) Acyclic Queries

(b) Chain Queries


## Large width example: Nasa problem

Part of relations for the Nasa problem

|  | 260(Vid_49, Vid_366, Vid 224) |
| :---: | :---: |
|  | cid $262\left(\mathrm{Vid}^{-273, ~ V i d ~}\right.$ |
|  |  |
|  | cid_264(Vid-133, Vid_360, Vid_356) |
|  | cid 265 (Vid 314, Vid 348, Vid |
|  | cid 266 (Vid 67 , Vid 352, Vid 396 ) |
|  | cid_267(Vid_182, Vid_364, Vid_397) |
|  | cid 268(Vid 313, Vid 349, Vid 398) |
|  | cid_269(Vid_339, Vid_348, Vid_399 |
|  | cid_270 (Vid_98, Vid_366, Vid 400) |
|  | cid_271 (Vid_161, Vid_364, Vid_401), |
|  | cid_272(Vid_131, Vid_353, Vid_234) |
|  | cid_273(Vid_126, Vid_402, Vid_245) |
|  | cid 274 (Vid ${ }^{-146, ~ V i d-252, ~ V i d ~}$ |
|  | cid_275(Vid_330, Vid_360, Vid_361 |

- 680 relations
- 579 variables


## Nasa problem: Hypertree



Part of hypertree for the Nasa problem
Best known hypertree-width for the Nasa problem is 22

## Further Structural Methods

- Many proposals in the literature, besides (generalized) hypertree width (see [Gottlob, Leone, Scarcello. Art. Int.'00])
- For the binary case, the method based on tree decompositions (first proposed as heuristics in [Dechter and Pearl. Art.Int.'88 and Art.Int.'89]) is the most powerful [Grohe. J.ACM'07]
- Let us recall some recent proposals for the general (non-binary) case:
- Fractional hypertree width [Grohe and Marx. SODA'06]
- Spread-cut decompositions [Cohen, Jeavons, and Gyssens. J.CSS'08]
- Component Decompositions [Gottlob,Miklòs,and Schwentick. J.ACM'09]
- Greedy tree projections [Greco and Scarcello, PODS'10, ArXiv'12]
- Computing a width-k decomposition is in PTIME for all of them (for any fixed $\mathrm{k}>0$ ).
- If we relax the above requirement, we can consider fixed-parameter tractable methods. If the size of the hypergraph structure is the fixed parameter, the most powerful is the Submodular width [Marx. STOC'10]


## Heuristics for large width instances (CSPs)

1. Computing decompositions

- Heuristics to get variants of (hyper)tree decompositions

2. Evaluating instances

- Computing all solutions of the subproblems involved at each node may be prohibitive
- Memory explosion
- Solution: combine with other techniques. E.g., in CSPs,
- use (hyper)tree decompositions for bounding the search space [Otten and Dechter. UAl'08]
- use (hyper)tree decompositions for improving the performance of consistency algorithms (hence, speeding-up propagations) [Karakashian, Woodward, and Choueiry. AAAl'13]


## Alternative constraint encodings

- Most results hold on constraint encodings where allowed tuples are listed as finite relations
- Alternative encodings make sense
- For instance,
- constraint satisfaction with succinctly specified relations [Chen and Grohe. J.CSS'10]
- see also [Cohen, Green, and Houghton. CP’09]


## Local (pairwise) consistency

- For every relation/constraint: each tuple matches some tuple in every other relation
- Can be enforced in polynomial time: take the join of all pairs of relations/constraints until a fixpoint is reached, or some relation becomes empty





## Enforcing pairwise consistency

- Further steps are useless, because the instance is now locally consistent
- On acyclic instances, same result as Yannakakis' algorithm on the join tree!



## Easy on Acyclic Instances

- Computing a join tree
(in linear time, and logspace-complete [GLS'98+ SL=L]) may be viewed as a clever way to enforce pairwise consistency

- Cost for the computation of the full reducer:

$$
O\left(m n^{2} \log n\right) \text { vs } O(m n \log n)
$$

- N.B. n is the (maximum) number of tuples in a relation and may be very large (esp. in database applications)


## Global and pairwise Consistency

- Yannakakis' algorithm actually solves acyclic instances because of their following crucial property:
- Local (pairwise) consistency $\rightarrow$ Global consistency [Beeri, Fagin, Maier, and Yannakakis. J.ACM'83]
- Global consistency: Every tuple in each relation can be extended to a full (global) solution
- In particular, if all relations/constraints are pairwise consistent, then the result is not empty
- Not true in the general case:

$$
\text { ans:- } a(X, Y) \wedge b(Y, Z) \wedge c(Z, X)
$$



## Consistency in Databases and CSPs

- Huge number of works in the database and constraint satisfaction literature about different kinds (and levels) of consistencies
(e.g., recall the seminal paper [Mackworth. Art. Int., 1977] or [Beeri, Fagin, Maier, and Yannakakis. J.ACM'83] and [Dechter and van Beek. TCS'97])
- Most theoretical papers in the database community
- Also practical papers in the constraint satisfaction community:
- Local consistencies are crucial for filtering domains and constraints
- Allow tremendous speed-up in constraint solvers
- Sometimes allow backtrack-free computations


## Global consistency in Databases and CSPs

- Global consistency (GC): Every tuple in each relation can be extended to a full (global) solution
[Beeri, Fagin, Maier, and Yannakakis. J.ACM'83]
- For instances with $m$ constraints, it is also known as
- m-wise consistency [Gyssens. TODS'86]
- relational ( $i ; m$ )-consistency [Dechter and van Beek. TCS'97]
- $\boldsymbol{R}\left({ }^{*}, \boldsymbol{m}\right) \boldsymbol{C}$ [Karakashian, Woodward, Reeson, Choueiry and Bessiere. AAAl'10]
- ...
- Remark:

In the CSP literature, "global consistent network" sometimes means "strongly n-consistent network", which is a different notion (see, e.g., [Constraint Processing, Dechter, 2003]).

## On the desirability of Global Consistency

- If an instance is globally consistent, we can immediately read partial solutions from the constraint/database relations
- full solutions are often computed efficiently
- can be exploited in heuristics by constraint solvers. For a very recent example, see
- [Karakashian, Woodward, and Choueiry. AAAl'13]: enforce global consistency on groups of subproblems (tree-like arranged) for bolstering propagations


## When pairwise consistency entails GC

- We have seen that it happens in acyclic instances...
- Is it the case that this condition is also necessary?
- What is the real power of local consistency?
i.e., relational arc-consistency (more precisely, arc-consistency on the dual graph)
Also known as
- pairwise consistency [Janssen, Jégou, Nougier, and Vilarem.

IEEE WS Tools for Al'89],

- 2-wise consistency [Gyssens. TODS'86],
- R(*,2)C [Karakashian, Woodward, Reeson, Choueiry and Bessiere. AAAl'10]
- ...



## When pairwise consistency entails GC

- We have seen that it happens in acyclic instances...
- The classical result that this is also necessary
[Beeri, Fagin, Maier, and Yannakakis. J.ACM'83] actually holds only if relations cannot be used in more than one constraint/query atoms
- In fact, it works even on some cyclic instances
- We now have a precise structural characterization of the instances where local consistency entails global consistency
- it applies to the binary case, too
- it applies to the more general case where pairwise consistency is enforced between each pair of arbitrary defined subproblems (see appendix)!
[Greco and Scarcello. PODS'10]


## The Power of Pairwise Consistency

- Let us describe when local (pairwise) consistency (LC) entails global consistency (GC), on the basis of the constraint structure
- That is, we describe the condition such that:
- whenever it holds, LC entails GC for every possible CSP instance (i.e., no matter on the constraint relations)
- if it does not hold, there exists an instance where LC fails
- If we are interested only in the decision problem (is the CSP satisfiable?) than this condition is the existence of an acyclic core [Atserias, Bulatov, and Dalmau. ICALP'07]


## The Power of Pairwise Consistency

- Does pairwise consistency entail global consistency in this case?

Constraints

$$
\begin{aligned}
& \text { e(A,B) } \\
& e(A, C) \\
& e(D, C) \\
& e(D, B)
\end{aligned}
$$

## The Power of Pairwise Consistency

- Does pairwise consistency entail global consistency in this case?
- Yes! No matter of the tuples in the constraint relation $\boldsymbol{e}$
- Every constraint is a core of the instance


$$
\begin{aligned}
& \mathrm{e}(\mathrm{~A}, \mathrm{~B}) \\
& \mathrm{e}(\mathrm{~A}, \mathrm{C}) \\
& \mathrm{e}(\mathrm{D}, \mathrm{C}) \\
& \mathrm{e}(\mathrm{D}, \mathrm{~B})
\end{aligned}
$$

## The Power of Pairwise Consistency

- Does pairwise consistency entail global consistency in this case?
- Yes! No matter of the tuples in the constraint relation $\boldsymbol{e}$
- Every constraint is a core of the instance

Constraints

$$
\begin{aligned}
& \mathrm{e}(\mathrm{~A}, \mathrm{~B}) \\
& \mathrm{e}(\mathrm{~A}, \mathrm{C}) \\
& \mathrm{e}(\mathrm{D}, \mathrm{C}) \\
& \mathrm{e}(\mathrm{D}, \mathrm{~B})
\end{aligned}
$$

## tp-covering (acyclic version)

- The constraint $e(X, Y)$ is tp-covered in an acyclic hypergraph if,
- add a fresh constraint $e^{\prime}(\mathrm{X}, \mathrm{Y})$ (where e' is a fresh relational symbol),
- a core of the new instance has an acyclic hypergraph
- Intuitively the "coloring" of $e(X, Y)$ forces the core of the new structure to deal with the ordered pair ( $\mathrm{X}, \mathrm{Y}$ )
- Indeed, every core must contain e' $(X, Y)$
- Instead, the usual notion of the core does not preserve the meaning of variables
- this is crucial for computing solutions, but not for the decision problem


## The Power of Pairwise Consistency

- The constraint $e(X, Y)$ is tp-covered in an acyclic hypergraph if,
- add a fresh constraint $e^{\prime}(\mathrm{X}, \mathrm{Y})$ (where $\mathrm{e}^{\prime}$ is a fresh relational symbol),
- a core of the new instance has an acyclic hypergraph


## Local (pairwise) consistency entails Global consistency if and only if every constraint is tp-covered in an acyclic hypergraph

## tp-covering by Example

- The constraint $e(X, Y)$ is tp-covered in an acyclic hypergraph if,
- add a fresh constraint e' $(\mathrm{X}, \mathrm{Y})$ (where e' is a fresh relational symbol),
- a core of the new instance has an acyclic hypergraph

$$
e(A, B) \text { is tp-covered }
$$



## tp-covering by Example

- The constraint $e(X, Y)$ is tp-covered in an acyclic hypergraph if,
- add a fresh constraint e' $(\mathrm{X}, \mathrm{Y})$ (where e' is a fresh relational symbol),
- a core of the new instance has an acyclic hypergraph

$e(F, C)$ is tp-covered


## tp-covering by Example

- Here pairwise consistency solves the satisfaction problem
- The structure of any core is an undirected acyclic graph



## The power of Pairwise Consistency

- Here pairwise consistency solves the satisfaction problem
- The structure of any core is an undirected acyclic graph
- However, it does not entail global consistency
- There is an instance that is pairwise consistent but $e(A, B)$ contains wrong tuples

$e(A, B)$ is not tp-covered: the core of the new structure is cyclic


## A generalization: Local k-consistency

- Consider subproblems of $k$ constraints
- Local $k$-consistency: pairwise consistency over such (kconstraints) subproblems
Equivalent to relational $k$-consistency [Dechter and van Beek. TCS'97]

> Local k-consistency entails Global consistency if and only if every constraint is tp-covered in a hypergraph having Generalized Hypertree widith $k$

[Greco and Scarcello. PODS'10]

- See the appendix for a further generalization to arbitrary subproblems in the general framework of tree projections


## Outline of Part III

Applications to Optimization Problems
Application: Nash Equilibria
Application: Coalitional Games
Application: Combinatorial Auctions
Appendix: Beyond Hypertree Width

## Outline of Part III

Applications to Optimization Problems

## Nash Equilibria

## pplication: Coalitional Games

## ontceation: Combinatorial Auctions

Beyond Hypertree Width

## Constraint Optimization Problems

- Classically, CSP: Constraint Satisfaction Problem
- However, sometimes a solution is enough to "satisfy" (constraints), but not enough to make (users) "happy"


Any best<br>(or at least good) solution

- Hence, several variants of the basic CSP framework:
- E.g., fuzzy, probabilistic, weighted, lexicographic, penalty, valued, semiring-based, ...


## Classical CSPs



- Set of constraint relations


## Puzzles for Experts...

| 1 | 2 | 3 | 4 | 5 |  | 6 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| 7 |  |  |  | 8 | 9 | 10 |
| 11 | 12 | 13 |  | 14 |  | 15 |
| 16 |  | 17 |  | 18 |  | 19 |
| 20 | 21 | 22 | 23 | 24 | 25 | 26 |

The puzzle in general admits more than one solution...


- E.g., find the solution that minimizes the total number of vowels occurring in the words


## A Classification for Optimization Problems



## A Classification for Optimization Problems



## A Classification for Optimization Problems



## CSOP: Tractability of Acyclic Instances

- Adapt the dynamic programming approach in (Yannakakis'81)



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- Adapt the dynamic programming approach in (Yannakakis'81)

With a bottom-up computation:


- Filter the tuples that do not match


## CSOP: Tractability of Acyclic Instances

- Adapt the dynamic programming approach in (Yannakakis'81)

With a bottom-up computation:


## CSOP: Tractability of Acyclic Instances

- Adapt the dynamic programming approach in (Yannakakis'81)

With a bottom-up computation:


- Filter the tuples that do not match
- Compute the cost of the best partial solution, by looking at the children

$$
\begin{aligned}
& \operatorname{cost}(\mathrm{C} / \mathrm{C} 1)=\operatorname{cost}(\mathrm{D} / \mathrm{D} 1)=0 \\
& \operatorname{cost}(\mathrm{C} / \mathrm{C} 2)=\operatorname{cost}(\mathrm{D} / \mathrm{D} 2)=1 \\
& \operatorname{cost}(\mathrm{E} / \mathrm{E} 1)=\operatorname{cost}(\mathrm{F} / \mathrm{F} 1)=0 \\
& \operatorname{cost}(\mathrm{E} / \mathrm{E} 2)=\operatorname{cost}(\mathrm{F} / \mathrm{F} 2)=1
\end{aligned}
$$

## CSOP: Tractability of Acyclic Instances

- Adapt the dynamic programming approach in (Yannakakis'81)



## CSOP: Tractability of Acyclic Instances

- Adapt the dynamic programming approach in (Yannakakis'81)

With a bottom-up computation:


## WCSP: Tractability of Acyclic Instances

| 12345 |
| :--- |
| PARIS |
| PANDA |
| LAURA |
| ANITA |


[Gottlob, Greco, and Scarcello, ICALP‘09]

## WCSP: Tractability of Acyclic Instances



- Is feasible in linear time

The mapping: Preserves the solutions

- Preserves acyclicity

| 1 | 2 | 3 | 4 | 5 |  | 6 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| 7 |  |  |  | 8 | 9 | 10 |
| 11 | 12 | 13 |  | 14 |  | 15 |
| 16 |  | 17 |  | 18 |  | 19 |
| 20 | 21 | 22 | 23 | 24 | 25 | 26 |

- Maximize the number of words placed in the puzzle

[Gottlob, Greco, and Scarcello, ICALP‘09]


## In-Tractability of MAX-CSP Instances

| 1 | 2 | 3 | 4 | 5 |  | 6 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| 7 |  |  |  | 8 | 9 | 10 |
| 11 | 12 | 13 |  | 14 |  | 15 |
| 16 |  | 17 |  | 18 |  | 19 |
| 20 | 21 | 22 | 23 | 24 | 25 | 26 |

- Add a "big" constraint with no tuple

- Maximize the number of words placed in the puzzle


The puzzle is satisfiable $\leftrightarrow$ exactly one constraint is violated in the acyclic MAX-CSP

## Tractability of MAX-CSP Instances



## Tractability of MAX-CSP Instances



## Tractability of MAX-CSP Instances




## In-Tractability of MAX-CSP Instances



- Is feasible in time exponential in the width

The mapping: Preserves the solutions
Leads to an Acyclic CSOP Instance

## Outline of Part III

## Applications to Optimization Problems

Application: Nash Equilibria
Application: Coalitional Games
Application: Combinatorial Auctions
Beyond Hypertree Midth

## Game Theory (in a Nutshell)



## Each player:

- Has a goal to be achieved
- Has a set of possible actions
- Interacts with other players
- Is rational

Which actions have to be performed?

Strong equilibria

Kernel

Nucleolus
Core

Shapley value

Stable sets

## Game Theory (in a Nutshell)

## Each player:

- Has a goal to be achieved
- Has a set of possible actions
- Interacts with other players
- Is rational

Which actions have to be performed?

Solution Concepts
Nash equilibria
Strong equilibria

## Non-Cooperative Games $(113)$

$\square$
Payoff maximization problem Fach player:

- Has goal to be achieved
- Has a set of possible actions
- Interacts with other players
- Is rational

| Bob | John goes out | John stays at home |
| ---: | :---: | :---: |
| out | 2 | 0 |
| home | 0 | 1 |
|  |  |  |


| John | Bob goes out | Bob stays at home |
| ---: | :---: | :---: |
| out | 1 | 1 |
| home | 0 | 0 |
|  |  |  |

## Non-Cooperative Games ${ }_{(233)}$

Nash equilibria


| Bob | John goes out | John stays at home |
| ---: | :---: | :---: |
| out | 2 | 0 |
|  | 0 | 1 |
|  |  |  |


| John | Bob goes out | Bob stays at home |
| ---: | :---: | :---: |
| out | 1 | 1 |
| home | 0 | 0 |
|  |  |  |

## Non-Cooperative Games ${ }_{(233)}$



| Bob | John goes out | John stays at home |
| :---: | :---: | :---: |
| out | 2 | 0 |
| home | 0 | 1 |



## Non-Cooperative Games ${ }_{(233)}$

Payoff maximization problem

Nash equilibria


| Bob | John goes out | John stays at home |  |
| :---: | :---: | :---: | :---: |
| out | 2 |  | 0 |
| home |  | 0 |  |


| John | Bob goes out | Bob stays at home |
| :---: | :---: | :---: |
| out | 1 | 1 |
| home | 0 | 0 |
|  |  |  |

## Non-Cooperative Games ${ }_{(233)}$

Payoff maximization problem

Nash equilibria


| Bob | John goes out | John stays at home |
| :---: | :---: | :---: |
| out | $\mathbf{2}$ | 0 |
| home | 0 | 1 |
|  |  |  |


| John | Bob goes out | Bob stays at home |
| :---: | :---: | :---: |
| out | $\mathbf{1}$ | 1 |
| home | 0 | 0 |
|  | 0 |  |

## Non-Cooperative Games $(333)$

Payoff maximization problem

pure Nash equilibria


Every game admits a mixed Nash equilibrium,

- where players chose their strategies according to probability distributions


## Succint Game Representations

- Players:
- Maria, Francesco
- Choices:
- movie, opera

If 2 players, then size $=2^{2}$

| Maria | Francesco, movie | Francesco, opera |
| :---: | :---: | :---: |
| movie | 2 | 0 |
| opera | 0 | 1 |
|  |  |  |

## Succint Game Representations

- Players:
- Maria, Francesco, Paola
- Choices:
- movie, opera

If 2 players, then size $=2^{2}$
If 3 players, then size $=2^{3}$

| Maria | $\mathrm{F}_{\text {movie }}$ and $\mathrm{P}_{\text {movie }}$ | $\mathrm{F}_{\text {movie }}$ and $\mathrm{P}_{\text {opera }}$ | $\mathrm{F}_{\text {opera }}$ and $\mathrm{P}_{\text {movie }}$ | $\mathrm{F}_{\text {opera }}$ and $\mathrm{P}_{\text {opera }}$ |
| :---: | :---: | :---: | :---: | :---: |
| movie | 2 | 0 | 2 | 1 |
|  | 0 | 1 | 2 | 2 |
|  |  |  |  |  |

## Succint Game Representations

- Players:
- Maria, Francesco, Paola, Roberto, and Giorgio
- Choices:
- movie, opera

If 2 players, then size $=2^{2}$
If 3 players, then size $=2^{3}$

If N players, then size $=2^{\mathrm{N}}$

| Maria | $\mathrm{F}_{\text {movie }}$ and $\mathrm{P}_{\text {movie }}$ and $\mathrm{R}_{\text {movie }}$ and $\mathrm{G}_{\text {movie }}$ |  |  |  |
| :---: | :---: | :---: | :---: | :---: |
| movie | 2 | $\ldots$ | ....... | ....... |
| opera | 0 | $\cdots$ | ........ | ..... |

## Succint Game Representations

- Players:
- Francesco, Paola, Roberto, Giorgio, and Maria
- Choices:
- movie, opera



## Succinct Game Representations

- Players:
- Francesco, Paola, Roberto, Giorgio, and Maria
- Choices:

- movie, opera

| $F$ | $P_{m} R_{m}$ | $P_{m} R_{o}$ | $P_{o} R_{m}$ | $P_{o} R_{o}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $m$ | 2 | 2 | 1 | 0 |
| $o$ | 0 | 2 | 1 | 2 |$\quad$| $G$ |
| :---: |


| $R$ | $F_{m}$ | $F_{o}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $m$ | 0 | 1 |
| $o$ | 2 | 0 |$\quad$| $P$ |
| :--- |

## Pure Equilibria

- Players:
- Francesco, Paola, Roberto, Giorgio, and Maria
- Choices:
- movie, opera

| $F$ | $P_{m} R_{m}$ | $P_{m} R_{o}$ | $P_{o} R_{m}$ | $P_{o} R_{o}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $m$ | 2 | 2 | 1 | 0 |
| $o$ | 0 | 2 | 1 | 2 |$\quad$| $G$ |
| :---: |


| $R$ | $F_{m}$ | $F_{o}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $m$ | 0 | 1 |
| $o$ | 2 | 0 |$\quad$| $P$ |
| :--- |

## Pure Equilibria

- Players:
- Francesco, Paola, Roberto, Giorgio, and Maria
- Choices:
- movie, opera

| $F$ | $P_{m} R_{m}$ | $P_{m} R_{o}$ | $P_{o} R_{m}$ | $P_{o} R_{o}$ |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $m$ | 2 | 2 | 1 | 0 |  | $G$ | $P_{m} F_{m}$ | $P_{m} F_{o}$ | $P_{o} F_{m}$ |
|  | $P_{o} F_{o}$ |  |  |  |  |  |  |  |  |
| $o$ | 0 | 2 | 1 | 2 | 2 | 0 | 0 | 1 |  |


| $R$ | $F_{m}$ | $F_{o}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $m$ | 0 | 1 |
| $o$ | 2 | 0 |

## Pure Equilibria

- Players:
- Francesco, Paola, Roberto, Giorgio, and Maria
- Choices:

NP=hard!

- movie, opera

| $F$ | $P_{m} R_{m}$ | $P_{m} R_{o}$ | $P_{o} R_{m}$ | $P_{o} R_{o}$ |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $m$ | 2 | 2 | 1 | 0 |  | $G$ | $P_{m} F_{m}$ | $P_{m} F_{o}$ | $P_{o} F_{m}$ |
|  | $P_{o} F_{o}$ |  |  |  |  |  |  |  |  |
| $o$ | 0 | 2 | 1 | 2 | 2 | 0 | 0 | 1 |  |


| $R$ | $F_{m}$ | $F_{o}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $m$ | 0 | 1 |
| $o$ | 2 | 0 |

## Nash Equilibrium Existence

## Constraint Satisfaction Problem

1
Solve CSP in polynomial time using known methods
[Gottlob, Greco, and Scarcello, JAIR'05]

## Encoding Games in CSPs

| $F$ | $P_{m} R_{m}$ | $P_{m} R_{o}$ | $P_{o} R_{m}$ | $P_{o} R_{o}$ |
| :---: | :---: | :---: | :---: | :---: |
| $m$ | 2 | 2 | 1 | 0 |
| $o$ | 0 | 2 | 1 | 2 |


| $R$ | $F_{m}$ | $F_{o}$ |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $m$ | 0 | 1 |  |  |  |
| $o$ | 2 | 0 |  | $F_{m}$ | $F_{o}$ |
| $m$ | 2 | 0 |  |  |  |


| $F$ | $\mathbb{P}$ | R |
| :---: | :---: | :---: |
| m | m | m |
| m | m | 0 |
| 0 | $m$ | 0 |
| $m$ | 0 | $m$ |
| 0 | 0 | $m$ |
| 0 | 0 | 0 |

rG:

| G | P | F | $\boldsymbol{T}_{\boldsymbol{R}}$ : | R | F | $\boldsymbol{T}_{P}$ : |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| m | m | m |  |  | m |  |  |
|  | m | m |  | m | 0 |  |  |
| m | m | 0 |  |  |  |  |  |
| $\bigcirc$ | m | $\bigcirc$ |  |  |  |  |  |
| m | 0 | m |  |  |  | M | R |
| $\bigcirc$ | $\bigcirc$ | m |  |  |  |  |  |
| m | $\bigcirc$ | $\bigcirc$ | 303 |  | M | m | m |
| 0 | - | 0 |  |  |  |  |  |


| P | F |
| :---: | :---: |
| m | m |
| o | a |

## Encoding Games in CSPs

| $F$ | $P_{m} R_{m}$ | $P_{m} R_{o}$ | $P_{o} R_{m}$ | $P_{o} R_{o}$ |
| :---: | :---: | :---: | :---: | :---: |
| $m$ | 2 | 2 | 1 | 0 |
| $o$ | 0 | 2 | 1 | 2 |


| $R$ | $F_{m}$ | $F_{o}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $m$ | 0 | 1 |
| $o$ | 2 | 0 |


| $F$ | $P$ | $R$ |
| :---: | :---: | :---: |
| In | m | m |
| m | $m$ | 0 |
| 0 | $m$ | 0 |
| $m$ | 0 | $m$ |
| 0 | 0 | $m$ |
| 0 | 0 | 0 |

TG:

| G | P | F | $\underbrace{\tau_{R}:}$ | R | F | ${ }_{T}{ }^{\prime}$ : |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\begin{aligned} & \mathrm{m} \\ & 0 \end{aligned}$ | $\begin{aligned} & \mathrm{mm} \\ & \mathrm{~m} \end{aligned}$ | m <br> m <br> 1 |  | $\bigcirc$ | ${ }^{\text {m }}$ |  |  |
| m | m | - |  |  |  |  |  |
| $\bigcirc$ | m | - |  |  |  |  |  |
| m | $\circ$ | m |  |  |  | M | R |
| $\mathrm{m}$ | $0$ | $\stackrel{\square}{\circ}$ |  |  | $\mathrm{ram}_{\text {: }}$ | m | m |


| $\mathbf{P}$ | $\mathbf{F}$ |
| :---: | :---: |
| m | m |
| o | a |

## Encoding Games in CSPs

| $F$ | $P_{m} R_{m}$ | $P_{m} R_{o}$ | $P_{o} R_{m}$ | $P_{o} R_{o}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $m$ | 2 | 2 | 1 | 0 |
| $o$ | 0 | 2 | 1 | 2 |$\quad$| $G$ |
| :---: |



## Interaction Among Players: Friends

- The interaction structure of a game $G$ can be represented by:
- the dependency graph $G(G)$ according to $\operatorname{Neigh}(G)$
- a hypergraph $H(G)$ with edges: $H(p)=\operatorname{Neigh}(p) \cup\{p\}$


G (FRIENDS)


H(FRIENDS)

## Interaction Among Players: Friends

This is the same structure as the one of the associated CSP


## Interaction Among Players: Friends

This is the same structure as the one of the associated CSP

On (nearly)-Acyclic Instances, Nash equilibria are easy


## Outline of Part III

## oplications to Optimization Problems

## Application: Coalitional Games

## Application: Combinatorial Auctions

## Beyond Hypertree Width

## Game Theory (in a Nutshell)

## Each player:

- Has a goal to be achieved
- Has a set of possible actions
- Interacts with other players
- Is rational

Which actions have to be performed?

## Cooperative Game Theory $_{(112)}$



## Cooperative Game Theory $_{(112)}$


> Players get $9 \$$, if they enforce connectivity
$>$ Enforcing connectivity over an edge as a cost

## Cooperative Game Theory $_{(112)}$


> Players get 9\$, if they enforce connectivity
$>$ Enforcing connectivity over an edge as a cost

Coalition $\{F, P, R, M\}$ gets 9\$, and pays 6\$

$$
\text { worth } v(\{F, P, R, M\})=9 \$-6 \$
$$

## Cooperative Game Theory $_{(112)}$



How to distribute 9\$, based on such worths?

## Cooperative Game Theory $_{(222)}$

## Each player:

- Has a goal to be achieved
- Has a set of possible actions
- Interacts with other players
fairness


| coalition | worth |
| :---: | :---: |
| $\{F\}$ | 0 |
| $\ldots$ | 0 |
| $\{G, P, R, M\}$ | 0 |
| $\{F, P, R, M\}$ | 3 |
| $\{G, F, P, R, M\}$ | 4 |

How to distribute 9\$, based on such worths?

## Cooperative Game Theory $_{(222)}$

## Each player:

- Has a goal to be achieved
- Has a set of possible actions
- Interacts with other players fairness


How to distribute $9 \$$, based on such worths?

## Cooperative Game Theory $_{(212)}$

Find the distribution(s) that:

- Each coalition has a positiveena
- Lexicographically maximizes the pxcess nucleolus
- Is immune against devis bargaing


How to distribute 9\$, based on such worths?

## The Model

- Players form coalitions
- Each coalition is associated with a worth
- A total worth has to be distributed

$$
\mathcal{G}=\langle N, v\rangle, v: 2^{N} \mapsto \mathbb{R}
$$

- Outcomes belong to the imputation set $X(\mathcal{G})$

$$
x \in X(\mathcal{G})\left\{\begin{array}{c}
\bullet \text { Efficiency } \\
x(N)=v(N) \\
\text { • Individual Rationality } \\
x_{i} \geq v(\{i\}), \quad \forall i \in N
\end{array}\right.
$$

## The Model

- Players form coalitions
- Each coalition is associated with a worth
- A total worth has to be distributed

$$
\mathcal{G}=\langle N, v\rangle, v: 2^{N} \mapsto \mathbb{R}
$$

- Solution Concepts characterize outcomes in terms of
- Fairness
- Stability


## The Model

- Players form coalitions
- Each coalition is associated with a worth
- A total worth has to be distributed

$$
\mathcal{G}=\langle N, v\rangle, v: 2^{N} \mapsto \mathbb{R}
$$

- Solution Concepts characterize outcomes in terms of
- Fairness
- Stability

$$
\begin{array}{rr}
0 \geq e(S, x)=v(S)-\sum_{i \in S} x_{i} \\
\text { The Core: } \quad \forall S \subseteq N, x(S) \geq v(S) ; \\
x(N)=v(N)
\end{array}
$$

## Complexity of Solution Concepts

- Nucleolus
- Kernel
- Bargaining Set
- Stable Sets


## Graph games:

- Succinct specification
- Core existence is coNP-complete



## Complexity of Solution Concepts

- Nucleolus
- Kernel
- Bargaining Set
- Stable Sets


## Reductions for graph games

## Succinct games:

- Nucleolus is PNP-complete
- Kernel is PNP-complete
- Bargaing set is coNP ${ }^{N P}$-complete
- Stable sets is still open
[Greco, Malizia, Palopoli, Scarcello, AIJ‘11]
Ellipsoid method $+$
NP separation oracles


## Membership in the Core on Graph Games

The Core: $\forall S \subseteq N, x(S) \geq v(S)$;

$$
x(N)=v(N)
$$

Consider the sentence, over the graph where $N$ is the set of nodes and $E$ the set of edges :

$$
\begin{aligned}
& \operatorname{proj}(X, Y) \equiv X \subseteq N \wedge \\
& \forall c, c^{\prime}\left(Y\left(c, c^{\prime}\right) \rightarrow X(c) \wedge x\left(c^{\prime}\right)\right) \wedge \\
& \forall c, c^{\prime}\left(X(c) \wedge X\left(c^{\prime}\right) \wedge E\left(c, c^{\prime}\right) \rightarrow Y\left(c, c^{\prime}\right)\right)
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$$

...it tells that Y is the set of edges covered by the nodes in X

## Membership in the Core on Graph Games

The Core: $\forall S \subseteq N, x(S) \geq v(S)$;

$$
x(N)=v(N)
$$

Let $\operatorname{proj}(X, Y)$ be the formula stating that Y is the set of edges covered by the nodes in X

Define the following weights: $\quad w_{E}\left(c, c^{\prime}\right)=-w\left(c, c^{\prime}\right) ; \quad w_{N}(c)=x_{c}$


Value of the edge (negated) Value at the imputation

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Find the "minimum-weight" $\mathbf{X}$ and $\mathbf{Y}$ such that $\operatorname{proj}(X, Y)$ holds

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Value of the edge (negated) Value at the imputation

Find the "minimum-weight" $\mathbf{X}$ and $\mathbf{Y}$ such that $\operatorname{proj}(X, Y)$ holds

Max (value of edges - value of the imputation), i.e., $\max _{S \subseteq N} e(S, x)$

## Outline of Part III

## pplications to Optimization Problems

## hopilcation: Nash Equilibria

Application: Coalitional Games

## Application: Combinatorial Auctions

## Beyond Hypertree Width

57

57

## Example: Combinatorial Auctions



Winner Determination Problem

- Determine the outcome that maximizes the sum of accepted bid prices


## Example: Combinatorial Auctions



Winner Determination Problem $\quad 1 / \square \quad 180$

- Determine the outcome that maximizes the sum of accepted bid prices

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- Other applications [Cramton, Shoham, and Steinberg, '06]
- airport runway access
- trucking
- bus routes
- industrial procurement

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Winner Determination is NP-hard

## Structural Properties


item hypergraph

## Structural Properties



> The Winner Determination Problem remains NP-hard even in case of acyclic hypergraphs

## Dual Hypergraph


item hypergraph

## Dual Hypergraph



dual hypergraph

## The Approach


[Gottlob \& Greco, EC'07]


## Outline of Part III

## pplications to Optimization Problems

## ppilcation: Nash Equilibria

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Apolication: Combinatorial Auctions
Appendix: Beyond Hypertree Width

## Going Beyond...

- Treewidth and Hypertree width are based on tree-like aggregations of subproblems that are efficiently solvable
- k variables (resp. k atoms) $\rightarrow\|I\| \|^{\mathrm{k}}$ solutions (per subproblem)
- Is there some more general property that makes the number of solutions in any bag polynomial?
- YES!
[Grohe \& Marx '06]


## Fractional Hypertree Decompositions

In a fractional hypertree decomposition of width $w$, bags of vertices are arranged in a tree structure such that

1. For every edge $e$, there is a bag containing the vertices of $e$.
2. For every vertex $v$, the bags containing $v$ form a connected subtree.
3. A fractional edge cover of weight $w$ is given for each bag.

Fractional hypertree width: width of the best decomposition.
Note: fractional hypertree width $\leq$ generalized hypertree width

> [Grohe \& Marx '06]

- A query may be solved efficiently, if a fractional hypertree decomposition is given
- FHDs are approximable: If the the width is $\leq w$, a decomposition of width $O\left(w^{3}\right)$ may be computed in polynomial time [Marx '09]


## More Beyond?

- A new notion: the submodular width
- Bounded submodular width is a necessary and sufficient condition for fixed-parameter tractability (under a technical complexity assumption)


## Revisiting Decomposition Methods



Relations:


Relations:

$$
\{1 \mathrm{~V}, 20 \mathrm{H}\}=1 \mathrm{~V} \bowtie 20 \mathrm{H}
$$

## Revisiting Decomposition Methods



Relations:

$$
\{1 \mathrm{~V}, 20 \mathrm{H}\}=1 \mathrm{~V} \triangleright 20 \mathrm{H}
$$

## Revisiting Decomposition Methods



Relations:
$\{1 \mathrm{~V}, 2 \mathrm{OH}\}=1 \mathrm{~V} \bowtie 20 \mathrm{H}$

## Revisiting Decomposition Methods

CSP instance $(\mathbb{A}, \mathbb{B})$

$\mathbb{A}_{\mathcal{V}}=\ell-\operatorname{DM}(\mathbb{A}) \mathbb{B}_{\mathcal{V}}=r-\operatorname{DM}(\mathbb{A}, \mathbb{B})$ I


Relations:

$$
\{1 \mathrm{~V}, 20 \mathrm{H}\}=1 \mathrm{~V} \bowtie 20 \mathrm{H}
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## Revisiting Decomposition Methods




Work on subproblems


Relations:

$$
\{1 \mathrm{~V}, 20 \mathrm{H}\}=1 \mathrm{~V} \bowtie 20 \mathrm{H}
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## Revisiting Decomposition Methods

CSP instance $(\mathbb{A}, \mathbb{B})$


Scopes
Solutions

Work on subproblems

- Generalized hypertree width: take all views that can be computed by joining at most $k$ atoms (k query views)



## Revisiting Decomposition Methods

CSP instance $(\mathbb{A}, \mathbb{B})$
 $\mathbb{A}_{\mathcal{V}}=\ell-\operatorname{DM}(\mathbb{A}) \mathbb{B}_{\mathcal{V}}=r-\operatorname{DM}(\mathbb{A}, \mathbb{B})$


- Generalized hypertree width: take all views that can be computed by joining at most $k$ atoms (k query views)



## Requirements on Subproblem Definition



1. Every constraint is associated with a base subproblem
2. Further subproblems can be defined

## Acyclicity in Decomposition Methods



Working on subproblems is not necessarily beneficial...

## Acyclicity in Decomposition Methods

CSP instance $(\mathbb{A}, \mathbb{B})$


Working on subproblems is not necessarily beneficial...

Can some and/or portions of them be selected such that:

- They still cover $\mathbb{A}$, and
- They can be arranged as a tree



## Tree Projections (by Example)

$\mathbb{A}: r_{1}(A, B, C) \quad r_{2}(A, F) \quad r_{3}(C, D) \quad r_{4}(D, E, F)$
$r_{5}(E, F, G) \quad r_{6}(G, H, I) \quad r_{7}(I, J) \quad r_{8}(J, K)$

Structure of the CSP

## Tree Projections (by Example)

$\mathbb{A}: \quad r_{1}(A, B, C) \quad r_{2}(A, F) \quad r_{3}(C, D) \quad r_{4}(D, E, F)$
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Structure of the CSP
Available Views

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Structure of the CSP
Tree Projection
Available Views

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$r_{5}(E, F, G) \quad r_{6}(G, H, I) \quad r_{7}(I, J) \quad r_{8}(J, K)$


Structure of the CSP
Tree Projection
Available Views

## (Noticeable) Examples



- Treewidth: take all views that can be computed with at most $k$ variables
- Generalized hypertree width: take all views that can be computed by joining at most $k$ atoms (k query views)
- Fractional hypertree width: take all views that can be computed through subproblems having fractional cover at most $k$ (or use Marx's $\mathrm{O}\left(\mathrm{k}^{3}\right)$ approximation to have polynomially many views)


## Tree Decomposition



## A General Framework, but

- Decide the existence of a tree projection is NP-hard

[Gottlob, Miklos, and Schwentick, JACM'09]


## A General Framework, but

- Decide the existence of a tree projection is NP-hard

Hold on generalized hypertree width too.

[Gottlob, Miklos, and Schwentick, JACM‘09]

## A Source of Complexity: The Core

The core of a query $Q$ is a query $Q$ ' s.t.:

1. $\operatorname{atoms}\left(Q^{\prime}\right) \subseteq \operatorname{atoms}(Q)$
2. There is a mapping $h: \operatorname{var}(Q) \rightarrow \operatorname{var}\left(Q^{\prime}\right)$ s.t., $\forall r(\boldsymbol{X}) \in \operatorname{atoms}(Q), r(h(\boldsymbol{X})) \in a t o m s\left(Q^{\prime}\right)$
3. There is no query $Q$ " satisfying 1 and 2 and such that atoms (Q") $\subset$ atoms(Q')

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3. There is no query $Q$ " satisfying 1 and 2 and such that atoms $\left(Q^{\prime \prime}\right) \subset$ atoms $\left(Q^{\prime}\right)$

Example:

$Q$


Q'


## A Source of Complexity: The Core

Cores are isomorphic
The "Core"

Cores are equivalent to the query

Example:
Q


Q'


## Example

$Q: r(A, B) \wedge r(B, C) \wedge r(A, C) \wedge r(D, C) \wedge$
$r(D, B) \wedge r(A, E) \wedge r(F, E)$,


## Example

$Q: \quad r(A, B) \wedge r(B, C) \wedge r(A, C) \wedge r(D, C) \wedge$
$r(D, B) \wedge r(A, E) \wedge r(F, E)$,


## Cores and Tree Projections



Structure of the CSP
Tree Projection


Available Views

## Cores and Tree Projections



Tree Projection


Available Views

## Cores and Tree Projections



Structure of the CSP
Tree Projection
Available Views

## Cores and Tree Projections



Structure of the CSP
Tree Projection
Available Views

## CORE is NP-hard

- Deciding whether Q' is the core of Q is NP-hard
- For instance, let 3COL be the class of all 3colourable graphs containing a triangle
- Clearly, deciding whether $\mathrm{G} \in 3 \mathrm{COL}$ is NP-hard
- It is easy to see that $\mathrm{G} \in 3 \mathrm{COL} \Leftrightarrow \mathrm{K}_{3}$ is the core of G

Example:
$Q$


Q'


## Enforcing Local Consistency (Acyclic)



# Enforcing Local Consistency (Decomposition 



## Enforcing Local Consistency

CSP instance $(\mathbb{A}, \mathbb{B})$


$$
\mathbb{A}_{\mathcal{V}}=\ell-\operatorname{DM}(\mathbb{A}) \mathbb{B}_{\mathcal{V}}=r-\operatorname{DM}(\mathbb{A}, \mathbb{B})
$$

If there is a tree projection, then enforcing local consistency over the views solves the decision problem
[Sagiv \& Smueli, ‘93]

## Enforcing Local Consistency


[Sagiv \& Smueli, ‘93]

## Even Better



There is a polynomial-time algorithm that: either returns that there is no tree projection, or solves the decision problem

## Even Better

CSP instance $(\mathbb{A}, \mathbb{B})$

$\mathbb{B}_{\mathcal{V}}=r-\operatorname{DM}(\mathbb{A}, \mathbb{B})$


There is a polynomial-time algorithm that:

- either returns that there is no tree projection,
- or solves the decision problem


## The Precise Power of Local Consistency

- The followings are equivalent:
- Local consistency solves the decision problem
- There is a core of the query having a tree projection


## The Precise Power of Local Consistency

- The followings are equivalent
- Local consistency solves the decision problem
- There is a core of the query having a tree projection

$$
\begin{aligned}
Q: & r(A, B) \wedge r(B, C) \wedge r(A, C) \wedge r(D, C) \wedge \\
& r(D, B) \wedge r(A, E) \wedge r(F, E)
\end{aligned}
$$



## The Precise Power of Local Consistency

- The followings are equivalent
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$$
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Q: & r(A, B) \wedge r(B, C) \wedge r(A, C) \wedge r(D, C) \wedge \\
& r(D, B) \wedge r(A, E) \wedge r(F, E),
\end{aligned}
$$


a core with TP

a core without TP

## A Relevant Specialization (not immediate)

- The followings are equivalent
- Local consistency solves the decision problem
- There is a core of the query having a tree projection

The CSP has generalized hypertreewidth k at most

Over all union of $k$ atoms

## Back on the Result

- The followings are equivalent
- Local consistency solves the decision problem
- There is a core of the query having a tree projection
«Promise» tractability
- There is no polynomial time algorithm that
- either solves the decision problem
- or disproves the promise


## Local consistency for computing solutions

- The followings are equivalent
- Local consistency entails «views containing variables O are correct»
- The set of variables $O$ is tp-covered in a tree projection
$Q: r(A, B) \wedge r(B, C) \wedge r(A, C) \wedge r(D, C) \wedge$

$$
r(D, B) \wedge r(A, E) \wedge r(F, E), \wedge \operatorname{atoms}(\{A, E\})
$$


$\{A, E\}$ is tp-covered

E A core with a TP

## Local consistency for computing solutions

- The followings are equivalent
- Local consistency entails «views containing variables O are correct»
- The set of variables $O$ is tp-covered in a tree projection
$\begin{aligned} Q: & r(A, B) \wedge r(B, C) \wedge r(A, C) \wedge r(D, C) \wedge \\ & r(D, B) \wedge r(A, E) \wedge r(F, E), \wedge \text { atoms }(\{A, F\})\end{aligned}$



## Local and global consistency

- The followings are equivalent
- Local consistency entails global consistency
- Every query atom/constraint is tp-covered in a tree projection
$Q: r(A, B) \wedge r(B, C) \wedge r(A, C) \wedge r(D, C) \wedge$ $r(D, B) \wedge r(A, E) \wedge r(F, E), \wedge \operatorname{atoms}(\{D, B\})$



## Thank you!

