

ON A POINCARÉ TYPE FORMULA FOR SOLUTIONS OF SINGULAR AND DEGENERATE ELLIPTIC EQUATIONS

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ABSTRACT. We provide a geometric Poincaré type formula for stable solutions of $-\Delta_p(u) = f(u)$. From this, we derive a symmetry result in the plane. This work is a refinement of previous results obtained by the authors under further integrability and regularity assumptions.

Let $p \in [2, +\infty)$, $f \in C^1(\mathbb{R})$ and Ω be a non-empty, open subset of \mathbb{R}^N . Assume that $u \in W_{\text{loc}}^{1,p}(\Omega)$ is a weak solution of

$$(1) \quad -\Delta_p(u) = f(u) \quad \text{in } \Omega.$$

We consider the weighted Sobolev space with weight $\rho = |\nabla u|^{p-2}$ (see¹ [4]). Such space, denoted by $H_\rho^{1,2}(\Omega)$ may be defined as the closure of $C^1(\Omega)$ with respect to the $\|\cdot\|_{H_\rho^{1,2}(\Omega)}$ -norm defined as

$$\begin{aligned} \|v\|_{H_\rho^{1,2}(\Omega)} &:= \|v\|_{L^2(\Omega)} + \|\nabla v\|_{L_\rho^2(\Omega)} \\ &= \sqrt{\int_\Omega |v(x)|^2 dx} + \sqrt{\int_\Omega |\nabla v(x)|^2 \rho(x) dx}. \end{aligned}$$

We also define $H_{\rho,0}^{1,2}(\Omega)$ to be the closure of $C_0^1(\Omega)$ with respect to the $H_\rho^{1,2}(\Omega)$ -norm. We suppose that u is stable, that is

$$(2) \quad \int_\Omega |\nabla u|^{p-2} |\nabla \varphi|^2 + (p-2) |\nabla u|^{p-4} (\nabla u, \nabla \varphi)^2 - f'(u) \varphi^2 dx \geq 0$$

for any $\varphi \in C_0^1(\Omega)$ – or, equivalently, by density, for any $\varphi \in H_{\rho,0}^{1,2}(\Omega)$. This stability condition is classical in the calculus of variation framework (for instance, local minima are

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¹We remark that the weight ρ is locally integrable, since we suppose here $p \geq 2$. The results of this paper, for $1 < p \leq 2$, are already contained in [7], since, in this case, $\nabla u \in W_{\text{loc}}^{1,2}(\Omega)$, thanks to [13].

stable solutions; see, e.g., [1, 7]). At any $x \in \Omega \cap \{\nabla u \neq 0\}$, we consider the level set of u , namely

$$\mathcal{L}_{u,x} = \{y \in \Omega \text{ s.t. } u(y) = u(x)\}.$$

By well-known regularity theory (see, e.g., [6, 13]), one has that

$$(3) \quad u \in C_{\text{loc}}^{1,\alpha}(\Omega) \cap C_{\text{loc}}^{2,\alpha}(\Omega \cap \{\nabla u \neq 0\})$$

and so $\mathcal{L}_{u,x}$ is a C^2 -hypersurface. In particular, we can consider the principal curvatures $k_{l,u}$ of $\mathcal{L}_{u,x}$, for $l = 1, \dots, N-1$. Also, given $g \in C^1(\Omega)$, one can define its tangential gradient with respect to $\mathcal{L}_{u,x}$, that is

$$(4) \quad \nabla_{\mathcal{L}_{u,x}} g = \nabla g - \left(\nabla g, \frac{\nabla u}{|\nabla u|} \right) \frac{\nabla u}{|\nabla u|}.$$

With this notation, the following inequality holds:

Theorem 1.

$$(5) \quad \begin{aligned} (p-1) \int_{\Omega} |\nabla u|^p |\nabla \varphi|^2 dx &\geq (p-1) \int_{\Omega \cap \{\nabla u \neq 0\}} |\nabla u|^{p-2} \varphi^2 |\nabla_{\mathcal{L}_{u,x}} |\nabla u||^2 dx \\ &+ \int_{\Omega \cap \{\nabla u \neq 0\}} |\nabla u|^p \varphi^2 \sum_{1 \leq l \leq N-1} k_{l,u}^2 dx \end{aligned}$$

for any $\varphi \in C_0^1(\Omega)$.

Notice that the left hand side of (5) is finite, since $u \in W_{\text{loc}}^{1,p}(\Omega)$ and $\varphi \in C_0^1(\Omega)$. Formula (5) was proved in [7], under the additional assumption that $\nabla u \in W_{\text{loc}}^{1,2}(\Omega)$, see (2.10) in [7], and several delicate approximation estimates will be needed here to remove such unnecessary hypothesis. When $p = 2$, formula (5) reduces to the important Poincaré type formula of [11, 12]. In fact, [11, 12] first introduced these types of weighed inequalities, in which the weighted norms of any test function φ is bounded by a weighted norm of its gradient, and the weights involve the geometry of the level sets of a stable solution. By an appropriate choice of the test function, as shown in [7], it is possible to deduce several interesting results on the geometry of the solution itself. In particular, following some ideas of [7], the symmetry result in the plane which is stated here below is a consequence of Theorem 1:

Corollary 2. *If u is a stable solution of $-\Delta_p(u) = f(u)$ in the whole \mathbb{R}^2 , with $|\nabla u| \in L^\infty(\mathbb{R}^2)$, then it possesses onedimensional symmetry, that is there exists $u_o : \mathbb{R} \rightarrow \mathbb{R}$ and $\varpi \in S^1$ such that*

$$u(x) = u_o(\varpi \cdot x) \quad \text{for any } x \in \mathbb{R}^2.$$

Under the additional assumption that $\nabla u \in W_{\text{loc}}^{1,2}(\mathbb{R}^2)$, Corollary 2 was proven in [7] (see, in particular, Theorem 1.1 there). Therefore, Corollary 2 is a refinement of a previous result of [7] which drops an unnecessary assumption. When $p = 2$, Corollary 2 is related to a celebrated problem posed by De Giorgi (see [5, 9, 3] and also [2, 1, 10, 8]). The proofs of Theorem 1 and Corollary 2 are contained in the forthcoming Sections 1 and 2, respectively.

1. PROOF OF THEOREM 1

From Proposition 2.2 of [4], we know that²

$$(6) \quad \frac{\partial u}{\partial x_j} = u_j \in H_{\rho, \text{loc}}^{1,2}(\Omega) \quad \text{for any } j = 1, \dots, N.$$

Moreover, as proved in [4],

$$(7) \quad |\nabla u|^{p-2} \nabla u \in H_{\text{loc}}^1(\Omega, \mathbb{R}^N)$$

Now, we consider (4) and we see that

$$(8) \quad |\nabla_{\mathcal{L}_{u,x}} g|^2 = |\nabla g|^2 - \left(\nabla g, \frac{\nabla u}{|\nabla u|} \right)^2.$$

Also, by a direct computation,

$$(9) \quad \frac{\partial |\nabla u|}{\partial x_j} = \frac{(\nabla u, \nabla u_j)}{|\nabla u|} \quad \text{in } \{\nabla u \neq 0\}.$$

From (8) and (9), we obtain that, in $\{\nabla u \neq 0\}$,

$$(10) \quad \begin{aligned} & |\nabla u|^{p-2} \left[|\nabla |\nabla u||^2 - \sum_{j=1}^N |\nabla u_j|^2 \right] - (p-2) |\nabla u|^{p-2} |\nabla_{\mathcal{L}_{u,x}} |\nabla u||^2 = \\ & |\nabla u|^{p-2} |\nabla |\nabla u||^2 + (p-2) |\nabla u|^{p-4} (\nabla u, \nabla |\nabla u|)^2 - \\ & \sum_{j=1}^N |\nabla u|^{p-2} |\nabla u_j|^2 - (p-2) \sum_{j=1}^N |\nabla u|^{p-4} (\nabla u, \nabla u_j)^2. \end{aligned}$$

Now, we observe that, by Cauchy-Schwarz inequality,

$$(11) \quad |\nabla u|^{p-2} \|D^2 u\| |\nabla \psi| \leq |\nabla u|^{p-2} \|D^2 u\|^2 \chi_\psi + |\nabla u|^{p-2} |\nabla \psi|^2 \in L^1(\Omega),$$

for any $\psi \in C_0^1(\Omega)$, where χ_ψ is the characteristic function of the support of $|\nabla \psi|$, thanks to (3), (6) and the fact that $p \geq 2$. From (1),

$$(12) \quad 0 = - \int_{\Omega} |\nabla u|^{p-2} (\nabla u, \nabla \psi_j) - f(u) \psi_j \, dx,$$

for any $\psi \in C_0^\infty(\Omega)$. We remark that we can integrate by parts in (12), by means of (7). What is more, the distributional derivatives of $|\nabla u|^{p-2} u_i$ may be computed via a standard calculus, due to Remark 2.3 of [4] and Stampacchia's Theorem (for the latter, see, e.g., Theorem 1.56 on page 79 of [14]), giving

$$(13) \quad \partial_j (|\nabla u|^{p-2} u_i) = |\nabla u|^{p-2} u_{ij} + (p-2) |\nabla u|^{p-4} (\nabla u, \nabla u_j) u_i.$$

with $\partial_j (|\nabla u|^{p-2} u_i) = 0$ in the critical set $\{\nabla u = 0\}$, according to Stampacchia's Theorem. Therefore, (12), an integration by parts, (11) and (13) give that

$$(14) \quad 0 = \int_{\Omega} |\nabla u|^{p-2} (\nabla u_j, \nabla \psi) + (p-2) |\nabla u|^{p-4} (\nabla u, \nabla u_j) (\nabla u, \nabla \psi) - f'(u) u_j \psi \, dx,$$

²We remark that in some parts of [4] some further assumptions are taken, such as boundary conditions or sign hypotheses on the nonlinearity. This is not the case for the part of [4] that we use here, since it only deals with the local integrability properties of the solutions.

for any $\psi \in C_0^\infty(\Omega)$, and, in fact, by density and (11), for any $\psi \in C_0^1(\Omega)$. Now, we take $\varphi \in C_0^1(\Omega)$ as in the statement of Theorem 1 and we define, for a fixed j ,

$$\psi := u_j \cdot \varphi^2.$$

Let $\Omega' \subset \Omega$ be a bounded open set containing the support of φ and consider a sequence $w_\epsilon \in C^1(\Omega')$ which approaches u_j in the $\|\cdot\|_{H_\rho^{1,2}(\Omega')}$ -norm as $\epsilon \rightarrow 0^+$ (the existence of this sequence follows from (6) and our definition of $H_\rho^{1,2}$). Let $\psi_\epsilon := w_\epsilon \cdot \varphi^2$. Notice that $\psi_\epsilon \in C_0^1(\Omega)$ and so we can apply to it formula (14), obtaining

$$\begin{aligned} 0 &= \int_\Omega |\nabla u|^{p-2} (\nabla u_j, \nabla \psi_\epsilon) + (p-2) |\nabla u|^{p-4} (\nabla u, \nabla u_j) (\nabla u, \nabla \psi_\epsilon) - f'(u) u_j \psi_\epsilon \\ (15) \quad &= \int_\Omega |\nabla u|^{p-2} w_\epsilon (\nabla u_j, \nabla \varphi^2) + |\nabla u|^{p-2} \varphi^2 (\nabla u_j, \nabla w_\epsilon) \\ &\quad + (p-2) |\nabla u|^{p-4} (\nabla u, \nabla u_j) w_\epsilon (\nabla u, \nabla \varphi^2) \\ &\quad + (p-2) |\nabla u|^{p-4} (\nabla u, \nabla u_j) \varphi^2 (\nabla u, \nabla w_\epsilon) \\ &\quad - f'(u) u_j \varphi^2 w_\epsilon dx. \end{aligned}$$

Furthermore, for any bounded open set $\tilde{\Omega} \subset \Omega$, by Cauchy-Schwarz inequality and (6),

$$\begin{aligned} &\int_{\tilde{\Omega}} |\nabla u|^{p-2} \|D^2 u\| |\nabla w_\epsilon - \nabla u_j| dx \\ &\leq \sqrt{\int_{\tilde{\Omega}} |\nabla u|^{p-2} \|D^2 u\|^2 dx} \sqrt{\int_{\tilde{\Omega}} |\nabla u|^{p-2} |\nabla w_\epsilon - \nabla u_j|^2 dx}, \end{aligned}$$

which goes to zero as $\epsilon \rightarrow 0^+$, thanks to (3), (6) and the fact that $p \geq 2$. Consequently, by passing $\epsilon \rightarrow 0^+$ in (15), then summing over j , and recalling (13), we obtain that

$$\begin{aligned} (16) \quad &\sum_{j=1}^N \int_\Omega (|\nabla u|^{p-2} (\nabla u_j, \nabla (u_j \varphi^2)) + (p-2) |\nabla u|^{p-4} (\nabla u, \nabla u_j) (\nabla u, \nabla (u_j \varphi^2))) dx \\ &= \int_\Omega f'(u) |\nabla u|^2 \varphi^2 dx \end{aligned}$$

Now, fix $\varepsilon > 0$ and look at the stability condition (2) for the test function

$$\varphi \cdot G_\varepsilon(|\nabla u|)$$

with $G_\varepsilon \in C^\infty(\mathbb{R})$ such that $G_\varepsilon(t) = t$ if $|t| \geq 2\varepsilon$, $G_\varepsilon(t) = 0$ if $|t| \leq \varepsilon$ and $|G'_\varepsilon(t)| \leq 3$ for any $t \in \mathbb{R}$.

Note that $\varphi \cdot G_\varepsilon(|\nabla u|)$ is admissible as test function in the linearized equation (2) (that is $\varphi \cdot G_\varepsilon(|\nabla u|) \in H_{\rho,0}^{1,2}(\Omega)$) since G_ε is locally Lipschitz continuous and vanishes in a neighborhood of 0. Consequently $G_\varepsilon(|\nabla u|)$ is identically zero in a neighborhood of the critical set $\nabla u = 0$, while elsewhere we can exploit (3): more precisely, $\varphi \cdot G_\varepsilon(|\nabla u|) \in H_0^{1,2}(\Omega)$, and $H_0^{1,2}(\Omega) \subset H_{\rho,0}^{1,2}(\Omega)$ since $p \geq 2$ (see [4]). Consequently the distributional derivatives may be calculated

in a standard way and (2) gives

$$\begin{aligned}
& \int_{\Omega} |\nabla u|^{p-2} (G_{\varepsilon}(|\nabla u|))^2 \cdot |\nabla \varphi|^2 + (p-2) |\nabla u|^{p-4} (\nabla u, \nabla \varphi)^2 \cdot (G_{\varepsilon}(|\nabla u|))^2 dx + \\
& \int_{\Omega} |\nabla u|^{p-2} (G'_{\varepsilon}(|\nabla u|))^2 \cdot |\nabla |\nabla u||^2 \varphi^2 + \\
& (p-2) |\nabla u|^{p-4} \varphi^2 (G'_{\varepsilon}(|\nabla u|))^2 (\nabla u, \nabla |\nabla u|)^2 dx + \\
(17) \quad & 2 \int_{\Omega} |\nabla u|^{p-2} (G_{\varepsilon}(|\nabla u|)) (G'_{\varepsilon}(|\nabla u|)) \varphi (\nabla |\nabla u|, \nabla \varphi) + \\
& (p-2) |\nabla u|^{p-4} \varphi (G'_{\varepsilon}(|\nabla u|)) (G_{\varepsilon}(|\nabla u|)) (\nabla u, \nabla |\nabla u|) (\nabla u, \nabla \varphi) dx - \\
& \int_{\Omega} f'(u) (G_{\varepsilon}(|\nabla u|))^2 \cdot \varphi^2 dx \geq 0.
\end{aligned}$$

Now, we set $\Omega_o := \Omega \cap \{\nabla u \neq 0\}$. Notice that, for any $m > 0$ and any $\Psi \in L^1(\mathbb{R}^N)$, with $\Psi = 0$ outside Ω , we have

$$\begin{aligned}
(18) \quad & \lim_{\varepsilon \rightarrow 0^+} \int_{\Omega} (G'_{\varepsilon}(|\nabla u|))^m \Psi - \int_{\Omega_o} \Psi \\
& \leq \lim_{\varepsilon \rightarrow 0^+} \int_{\Omega_o} 3^m |\Psi| \chi_{\varepsilon \leq |\nabla u| \leq 2\varepsilon} = 0.
\end{aligned}$$

We now let $\varepsilon \rightarrow 0^+$ in (17): using (6), (18) and the dominated convergence theorem, we conclude that

$$\begin{aligned}
& \int_{\Omega_o} |\nabla u|^p |\nabla \varphi|^2 + (p-2) |\nabla u|^{p-2} (\nabla u, \nabla \varphi)^2 dx + \\
& \int_{\Omega_o} |\nabla u|^{p-2} |\nabla |\nabla u||^2 \varphi^2 + (p-2) |\nabla u|^{p-4} \varphi^2 (\nabla u, \nabla |\nabla u|)^2 dx + \\
(19) \quad & 2 \int_{\Omega_o} |\nabla u|^{p-2} |\nabla u| \varphi (\nabla |\nabla u|, \nabla \varphi) + \\
& + (p-2) |\nabla u|^{p-4} \varphi |\nabla u| (\nabla u, \nabla |\nabla u|) (\nabla u, \nabla \varphi) dx - \\
& \int_{\Omega} f'(u) |\nabla u|^2 \varphi^2 dx \geq 0.
\end{aligned}$$

We now use (16) and (19) to get

$$\begin{aligned}
& \int_{\Omega_o} |\nabla u|^p |\nabla \varphi|^2 + (p-2) |\nabla u|^{p-2} (\nabla u, \nabla \varphi)^2 dx + \\
& \int_{\Omega_o} |\nabla u|^{p-2} |\nabla |\nabla u||^2 \varphi^2 + (p-2) |\nabla u|^{p-4} \varphi^2 (\nabla u, \nabla |\nabla u|)^2 dx + \\
& 2 \int_{\Omega_o} |\nabla u|^{p-2} |\nabla u| \varphi (\nabla |\nabla u|, \nabla \varphi) + \\
& (p-2) |\nabla u|^{p-4} \varphi |\nabla u| (\nabla u, \nabla |\nabla u|) (\nabla u, \nabla \varphi) dx \geq \\
& \geq \sum_{j=1}^N \int_{\Omega} |\nabla u|^{p-2} (\nabla u_j, \nabla (u_j \varphi^2)) + (p-2) |\nabla u|^{p-4} (\nabla u, \nabla u_j) (\nabla u, \nabla (u_j \varphi^2)) dx \\
& = 2 \sum_{j=1}^N \int_{\Omega} |\nabla u|^{p-2} u_j \varphi (\nabla u_j, \nabla \varphi) + (p-2) |\nabla u|^{p-4} u_j \varphi (\nabla u, \nabla u_j) (\nabla u, \nabla \varphi) dx + \\
& \sum_{j=1}^N \int_{\Omega} |\nabla u|^{p-2} \varphi^2 |\nabla u_j|^2 + (p-2) |\nabla u|^{p-4} \varphi^2 (\nabla u, \nabla u_j)^2 dx.
\end{aligned}$$

After an elementary, but remarkable, simplification, the above inequality implies that

$$\begin{aligned}
(20) \quad & \int_{\Omega_o} |\nabla u|^p |\nabla \varphi|^2 + (p-2) |\nabla u|^{p-2} (\nabla u, \nabla \varphi)^2 dx + \\
& \int_{\Omega_o} |\nabla u|^{p-2} |\nabla |\nabla u||^2 \varphi^2 + (p-2) |\nabla u|^{p-4} \varphi^2 (\nabla u, \nabla |\nabla u|)^2 dx \geq \\
& \geq \sum_{j=1}^N \int_{\Omega} |\nabla u|^{p-2} \varphi^2 |\nabla u_j|^2 + (p-2) |\nabla u|^{p-4} \varphi^2 (\nabla u, \nabla u_j)^2 dx.
\end{aligned}$$

From this and (10), we conclude that

$$\begin{aligned}
(21) \quad & (p-1) \int_{\Omega_o} |\nabla u|^p |\nabla \varphi|^2 dx \geq \\
& \geq \int_{\Omega_o} |\nabla u|^{p-2} \varphi^2 \left[\sum_{j=1}^N |\nabla u_j|^2 - |\nabla |\nabla u||^2 \right] + (p-2) |\nabla u|^{p-2} \varphi^2 |\nabla_{\mathcal{L}_{u,x}} |\nabla u||^2 dx.
\end{aligned}$$

On the other hand, by formula (2.1) of [12],

$$\sum_{j=1}^N |\nabla u_j|^2 - |\nabla |\nabla u||^2 = |\nabla u|^2 \sum_{1 \leq l \leq N-1} k_{l,u}^2 + |\nabla_{\mathcal{L}_{u,x}} |\nabla u||^2.$$

Plugging this into (21) and rearranging the terms, we obtain (5). This completes the proof of Theorem 1. \blacksquare

2. PROOF OF COROLLARY 2

We observe that (5) holds true and therefore the hypotheses of Corollary 2.6 of [7] are satisfied. Consequently, Corollary 2.6 of [7] implies that both $\nabla_{\mathcal{L}_{u,x}} |\nabla u|$ and $k_{1,u}$ vanish

on $\{\nabla u \neq 0\}$. This and Lemma 2.11 of [7] entail that u possesses one-dimensional symmetry. ■

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